



1 **Study On The Driving Mechanism Of Hydrologic Drought In Karst**  
2 **Basin Based On Landform Index: A Case Study of Guizhou, China\***

3  
4 Zhonghua He <sup>1,2\*</sup>, Hong Liang <sup>1</sup>, Zhaohui Yang <sup>3</sup>, Xinbo Zeng <sup>3</sup>

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6 1. School of Geographic and Environment Science, Guizhou Normal University, Guiyang, Guizhou, 550001, China

7 2. School of Geography and Environment Sciences, Guizhou Normal University / State Engineering Technology Institute for Karst  
8 Desertification Control, Guiyang, Guizhou, 550001, China

9 3. Department of Water Resources of Guizhou Province, Guiyang, Guizhou, 510275, China

10  
11 **Abstract:** In recent years, hydrological droughts in the Karst Basins have become more frequent and have caused serious  
12 ecological and environmental problems. This paper took the karst drainage basin of Guizhou, China as the study area to analyze the  
13 geomorphologic distribution and the temporal-spatial variations of hydrological droughts. The results indicated that ① the rainfall  
14 and its variation during drought periods had very limited impacts on the hydrological droughts in karst drainage basins; ② During  
15 2000-2010, the hydrological droughts in Guizhou Province increased year by year, and the inter-annual variation of hydrological  
16 droughts in Guizhou had obvious stage characteristics. The overall regional distribution of hydrological drought severity in Guizhou  
17 is "severe in the south and light in the north, severe in the west and light in the east". ③ From the overall distribution of the landform  
18 types, the mountains, hills and basins have a certain impact on hydrologic droughts, but the impacts are insignificant. From the  
19 distribution of single landform types, the influences on hydrological droughts are particularly significant in high-medium mountains,  
20 deep-high hills and high basins, and where are also relatively light areas for hydrologic drought severity, While the relatively serious  
21 areas of that in the low mountains, shallow-low hills and low basins.

22 **Keywords:** Watershed Hydrological Drought; Geomorphologic Index; Landform Type; karst drainage basin

23  
24 **1. Introduction**

25 In recent years, droughts have become more and more frequent, which, like large-scale disasters such as  
26 floods, earthquakes and volcanic eruptions, are natural disasters that threaten human life and property security (EU,  
27 2006, 2007; Sheffield et al., 2011). The nature of droughts is the lack of water in the basins. The main  
28 source of basin recharge is atmospheric precipitation, followed by runoff recharge from the adjacent watershed  
29 (for the karst watershed). The amount of recharge in the catchments is greatly affected by rainfall, and the impact  
30 of basin topography on the primary distribution of precipitation should not be underestimated. In particular, the  
31 landform types and its morphological characteristics, the combination of landform types and spatial features are  
32 crucial to recharge / infiltration effect. Drought phenomenon is very complicated and has the characteristics of  
33 temporal and spatial distribution as well as being influenced by human activities. Therefore, it is difficult to define  
34 and study the drought simply (Van Loon et al., 2012). Therefore, the drought is usually divided into four types:

\* Corresponding author.

<sup>1,2</sup>Zhonghua He (1976–); male; born in Xingyi, Guizhou; doctor, professor, and master tutor; and mainly engaged in the study on karst hydrology, water resource, and remote sensing.



35 meteorological drought, agricultural drought, hydrologic drought and socio-economic drought (Van Huijgevoort et  
36 al.,2014; Van Lanen et al.,2013). Hydrologic drought is the continuation and development of meteorological  
37 drought and agricultural drought. It is the final and most complete drought that is caused by the river runoff below  
38 its normal level due to imbalance between precipitation and surface water or groundwater(Dracup et al.,1980;  
39 Feng, 1993).

40 The present studies on hydrologic droughts, the theory of runs is firstly applied to make quantitative  
41 expressions for the characteristics of hydrological droughts (Yevjevich, 1967), and study the characteristics of  
42 extreme hydrological droughts following the extremity of independent and dependent orders in normality, log  
43 normality, and  $\gamma$  distribution (Sen,1977,1990, and 1991; Guven,1983; Sharma,1998). Utilizing the different  
44 drought indices like the Regional Drought Area Index (*RDAI*) of daily runoff series and Drought Potential Index  
45 (*DPI*) are to analyze the characteristics of regional hydrological droughts (Fleig ,2011), and study the relationship  
46 of double variables between the drought duration and intensity (Kim,2006;Panu,2009). Employing the  
47 Standardized Runoff and Rainfall Indexes (*SRRI*) are to study the influences of channel improvement and  
48 nonlocal diversion on the process and level of hydrologic droughts (Wen, 2011). The level, process, and  
49 recurrence interval of hydrologic droughts are studied by utilizing Palmer Drought Index (*PDI*), Soil Moisture  
50 Model (*SMM*), Runoff Sequence (*RS*), Standardized Rainfall Index (*SRI*), and Vegetation Health Index (*VHI*),  
51 respectively (Nyabeze,2004; Mondal,2015). Some scholars make a time series analysis and random simulation for  
52 the hydrologic drought severity by using an autoregression model (Abebe, 2008), and make the Probabilistic  
53 prediction of hydrologic drought by a conditional probability approach based on the meta-Gaussian model (Hao et  
54 al.,2016), the seasonal forecasting of hydrologic droughts in the Limpopo Basin by a statistical analysis method,  
55 respectively (Seibert et al.,2017). Rudd et al., (2017) was the first to use a national-scale gridded hydrologic  
56 model to characterise droughts across Great Britain over the last century, and it was found that the model can very  
57 well simulate low flows in many catchments across Great Britain. The threshold level method was also applied to  
58 time series of monthly mean river flow and soil moisture to identify historic droughts (1891–2015), and it was  
59 shown that the national-scale gridded output can be used to identify historic drought periods. Meantime, A small  
60 number of scholars explore the spatial–temporal distribution differences between the characteristics of the  
61 meteorological and hydrological droughts from the basin scale (Hisdal, 2003; Tallaksen, 2009). Among domestic  
62 studies for hydrological droughts, the theory of runs is mainly applied to analyze the influence factors of runoff  
63 volume in dry season and the identification of hydrological droughts (Feng,1997), and study the probability  
64 density and distribution functions of extreme hydrological drought duration (Feng, 1993,1994, and 1995). Using  
65 the fractal theory is to study the temporal fractal characteristics of hydrologic droughts, and estimate the  
66 hydrologic drought severity by the time fractal dimension (Feng, 1997). Employing the Copula Joint Distribution  
67 Function is to construct the joint distribution of hydrological drought characteristics (Zhou, 2011; Yan, 2007; Xu,  
68 2010; Ma, 2010). However, most of the researches are still taking the different drought indices to make the  
69 identification, characteristic analysis and prediction of hydrologic droughts, respectively. For example, Zhai et al.,  
70 (2015) established a new hydrologic drought assessment index named Standard Water Resources Index (*SWRI*),  
71 and developed a basic framework of hydrologic drought identification, assessment and characteristic analysis by  
72 combining the distributed hydrologic model, Copula functions and statistical test methods. Zhao et al.,(2016)  
73 selected the most suitable distribution from the logistic, normal, two-parameter log-normal, and Weibull



74 probability distributions to establish the Standardized Streamflow Drought Index (*SSDI*), classified the drought  
75 magnitudes of hydrologic drought events by the *SDDI*, and validated the applicability and rationality of the *SSDI*  
76 based on the actual drought situations in the Fenhe River Basin. Wu et al., (2016) constructed a Regional  
77 Hydrologic Droughts Index (*RHDI*) combined with the percentages of runoff and precipitation anomalies,  
78 obtained the frequency of corresponding drought grades, and then determined the threshold value of the different  
79 drought grades based on the cumulative frequency of the *RHDI*. Tu et al., (2016) constructed the Copula Model of  
80 two-variable joint distribution of hydrologic drought characteristics based on the test method of Cramer-von  
81 Mises Statistics associated with Rosenblatt transfer, and analyzed the hydrologic drought characteristics under a  
82 changing environment in Dongjiang River Basin. Based on the Variable Infiltration Capacity (*VIC*) model, Ren et  
83 al., (2016) quantitatively separated the effects of climate change and human activities on runoff reduction, and  
84 analyzed the spatial-temporal evolution characteristics of hydrologic droughts by the Standardized Runoff Index  
85 (*SRI*). Li et al., (2016) analyzed the evaluation characteristics of the meteorological and hydrological droughts by  
86 using Standard Precipitation Evapotranspiration Index (*SPEI*) and Streamflow Drought Drought Index (*SDI*), and  
87 discussed the response of hydrological droughts to meteorological droughts. He et al., (2015) analyzed the  
88 spatial-temporal characteristics of the meteorological and hydrologic droughts by Standardized Precipitation Index  
89 (*SPI*), Standardized Discharge Index (*SDI*) and associated indicators with the trend, time lag cross-correlation  
90 across the Yellow River Basin (YRB) during 1961-2010. Zhang et al., (2016) constructed the Copula prediction  
91 model of hydrologic droughts based on the Copula Function and Runoff Distribution Function by the Standard  
92 Runoff Index (*SRI*) according to the seasonal runoff-related characteristics, and made an empirical analysis for the  
93 hydrologic station of the Aksu River West Bride.

94 However, the present studies on the hydrologic droughts in Karst basins, except for some relevant research  
95 contents of this team (He et al., 2013, 2014, 2015, 2018), have not seen a more detailed study reporting. Thus, this  
96 paper is to take the Karst drainage basins in Guizhou Province of China as the study areas, make the identification  
97 and quantification for hydrologic droughts by utilizing the Runoff Drought Severity Index (*RDSI*) (Feng, 1997 &  
98 1997), and study the topographic features and hydrologic drought characteristics. And the driving mechanism of  
99 hydrological droughts in karst basins is further studied.

## 100 2. Study areas

101 Guizhou Province, located in southwest China, adjoins Hunan Province to the east, Guangxi Province to the  
102 south, Yunnan Province to the west and Sichuan Province and Chongqing Municipality to the north. Situated on  
103 the east slope of the Yunnan-Guizhou plateau, it occupies an area of 176, 167 km<sup>2</sup> enclosed by coordinate points  
104 of 24°37'N to 29°13'N, 103°36' E to 109°35'E (Fig. 1). The landscape in Guizhou is controlled deeply by the  
105 geological structures, and is mainly dominated by basins, hills and mountains with towering mountains, cutting  
106 strong, and significant elevation differences between valleys. Guizhou is an extremely developed karst province.  
107 Karst topography is complete and widely distributed with the total area of the carbonate rock outcrops account for  
108 73%. Guizhou Province is located in the subtropical East Asia monsoon region, and the climate type belongs to  
109 China's subtropical humid monsoon climate. In most parts of the province, the climate is mild with no frost in  
110 winter and no heat in summer, four distinct seasons, abundant annual rainfall and uneven spatial and temporal



111 distribution, and average annual precipitation across the province in the 1100~1300 mm. With poor lighting  
 112 conditions, lots of rainy days and high relative temperature, and 1200-1600 sunshine hours of every year in most  
 113 part of the province. The rivers in Guizhou are densely covered with a total length of 1,1270 km, of which 93 are  
 114 over 50 km in length. The Wumeng-MiaoLing Ridge watershed in Guizhou is a watershed, belonging to the  
 115 Yangtze River and Pearl River basins, ie the northern part of the Yangtze River Of the Jinsha River system, the  
 116 upper reaches of the Yangtze River mainstream system, the Wujiang River system and the Dongting Lake water  
 117 system, and the south of the Pearl River Basin Nanpanjiang River system, Beipanjiang River, Hongshuihe and  
 118 Duliujiang river system.

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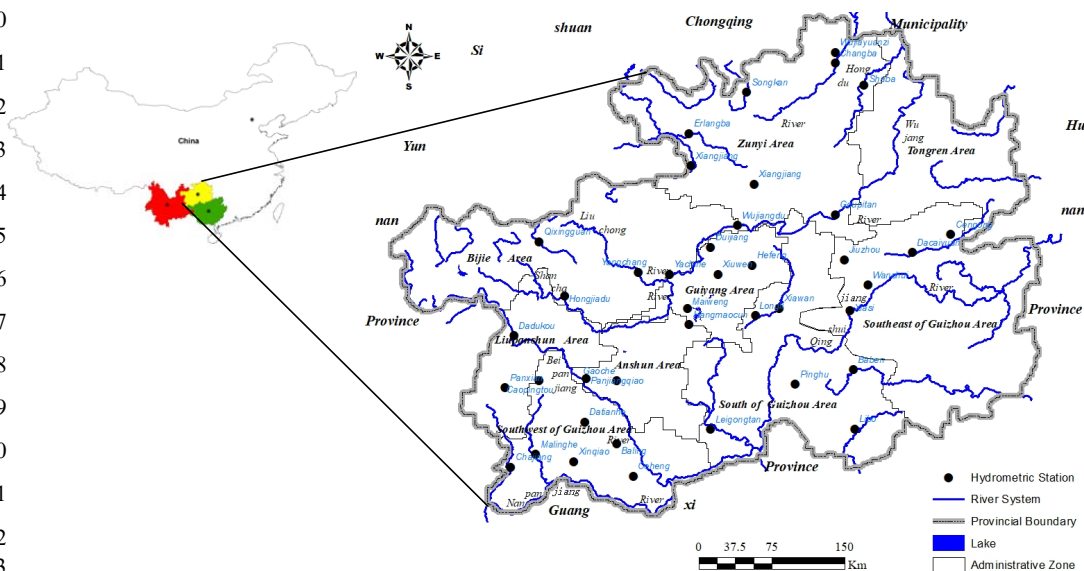


Fig.1 Sketch map of the study area

### 135 3. Data and methods

#### 136 3.1 Study data

##### 137 (1) Hydrological data

138 Considering the typicality and representativeness of hydrological data and the continuity and homogeneity of  
 139 the hydrological data in this study area, this paper selected the monthly runoff and rainfall measurements of 40  
 140 hydrometric stations in Guizhou Province (Fig. 1). Hydrological data were collected from "Guizhou Statistics on  
 141 Mean Monthly Flows per Calendar Year" compiled by Guizhou hydrologic station, with reference to "Guizhou  
 142 Water Resource Report" compiled by Guizhou Hydrology & Water Resources Department, and selected annual  
 143 minimum monthly average runoff and the average monthly rainfall with the time range from January 2000 to  
 144 December 2010 .

##### 145 (2) Remote sensing data

146 Taking into account the evolution of the geomorphology is a slow and long geological process, and the type



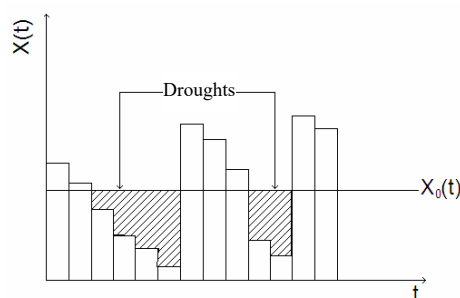
147 and shape of the topography in 2000-2010 remained basically unchanged. Therefore, this paper extracted the  
 148 geomorphological information based on the LS5\_TM images of the month corresponding to the minimum  
 149 monthly mean runoff in 2006 (Time: January to December 2006; Strip Number & Line Number: 126~129,  
 150 040~043; Data Format & Level: \*\*.geotiff, L4). The Digital Elevation Model (DEM) is based on data provided by  
 151 the United States Geological Survey (USGS) (Data Format: Grid; Coordinate System: WGS\_84; Spatial  
 152 Resolution: 30 m).

### 153 3.2 Study methods

#### 154 (1) Identification of hydrological drought

155 Hydrological drought is the phenomenon when the river flow is lower than its normal value. In other words,  
 156 the river flow cannot satisfy the water supply demand in a certain period (Van Loon et al., 2012, 2015; Mishra,  
 157 2010). The run theory (Herbst et al., 1996) was adopted to identify hydrologic drought (Fig.2). For a runoff time  
 158 series  $x(t)$ , a significant drought period could be taken as  $X(t) < X_0(t)$  after applying a truncation level  $X_0(t)$ . The  
 159 length of negative runs  $D(X(t) < X_0(t))$  is the duration of drought L. The total number of negative runs is the total  
 160 deficit of water for the drought S. The intensity of negative runs is the magnitude of drought M, indicating the  
 161 average water deficit volume of the drought period:  $M = S/L$ .

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164

**Fig. 2** Identification of hydrologic droughts

165 In this paper, hydrologic droughts in the karst drainage basins were identified by using the Mean Monthly  
 166 Flow (MMF) of the period from 2000 to 2010 as truncation level. And taking the MMMF of sampling sites as Y  
 167 axis and the series of sampling sites as X axis. Because of Hydrologic Drought Severity (HDS) mainly depends on  
 168 the volume of water deficit and the length of drought duration, this paper took Relative Drought Severity Index  
 169 (RDSI) (Feng et al., 1997) as the measurement of HDS, and the formula for calculating RDSI was presented in the  
 170 equation below:

171

$$RDSI = LD \times DI \quad (1)$$

172

Where  $LD$  is the relative drought duration within a year; (valued as 1/12 in this paper).  $DI$  is the relative  
 173 water deficit of that drought period.

174

To eliminate the impact of units of measurement for the runoff, the following non-dimensionalization  
 175 equation was adopted:



$$DI = \frac{X_i - X_{mean}}{X_{mean}} \quad (2)$$

176 Where  $X_i$  is the *MMMF* for sample site  $i$ ;  $X_{mean}$  is the *MMMF*, viz., the truncation level.

177 *RDSI* is a negative value and the larger the absolute value is, the more severe the drought is.

178 (2) *Landform index*

179 This paper made some processes on the spectral radiance and apparent reflectance of remote sensing data  
 180 corresponding to the minimum monthly average runoff depth of the hydrological station in 2006, and extracted the  
 181 sample sites controlled by the hydrologic cross-section (He et al., 2012). The object-oriented classification  
 182 technology was been used to extract the Geomorphic Type Indicators (*GTI*) and Landform Index (*LI*) based on  
 183 the *GTI* and *LI* (Tab.1 and Tab.2) (MA et al., 2012), and referring to "Guizhou Geomorphology Map" (internal  
 184 data) compiled by Guizhou Normal University.

185 Tab. 1 Basic classification of landforms

1 <sup>st</sup> grade landforms	1 <sup>st</sup> grade classification criteria Depth of dissection, surface D(m)	2 <sup>nd</sup> grade landforms	2 <sup>nd</sup> grade classification criteria Absolute altitude H(m)	3 <sup>rd</sup> grade landforms	3 <sup>rd</sup> grade classification criteria Depth of dissection, surface D(m)
Basin	S < 9°  D < 100	Depression	Slope of basin bottom < 5° and area < 1 km <sup>2</sup>		
		Low	H < 900		
		Medium	900 ≤ H < 1900		
Hill	9° ≤ S < 14°	High	1900 ≤ H	Shallow	D < 200
		Low	H < 900	Deep	200 ≤ D
		Medium	900 ≤ H < 1900	Shallow	D < 200
		High	1900 ≤ H	Deep	200 ≤ D
Mountain	14° ≤ S	Low	H < 900	Shallow	D < 200
		Medium	900 ≤ H	Deep	200 ≤ D
		High	H < 900	Low	900 ≤ H < 1400
				Mid	1400 ≤ H < 1900
				High	1900 ≤ H

187 Tab. 2 Indices for landform classification

Name	Formula	Range	Description
symmetry	$2 \sqrt{\frac{\frac{1}{4}(\text{Var}X + \text{Var}Y)^2 + (\text{Var}XY)^2 - \text{Var}X\text{Var}Y}{\text{Var}X + \text{Var}Y}}$	[0,1]	VarX: Variance in X direction VarY: variance in Y direction. Eigenvalue rises with symmetry $\sqrt{\#P_v}$ : diameter of square object containing $\#P_v$ pixel.
Square fit index (or density index)	$\frac{\sqrt{\#P_v}}{1 + \sqrt{\text{Var}X + \text{Var}Y}}$	[0, a value determined by the shape of image object]	$\sqrt{\text{Var}X + \text{Var}Y}$ : diameter of the ellipse $P_v$ : image object V expressed in pixels The more the image object resembles a rectangle in shape the higher its characteristic value,
Rectangle fit index	$\frac{\#\{(x,y) \in P_v : \rho_v(x,y) \leq 1\}}{\#P_v} - 1$	[0,1], 1: 100% fit, 0: 0% of pixels fit into the rectangle	$\rho_v(x,y)$ : rectangular distance at a pixel (x,y).
Ellipse fit index	$2 \cdot \frac{\#\{(x,y) \in P_v : \varepsilon_v(x,y) \leq 1\}}{\#P_v} - 1$	[0, 1], 1: 100% fit, 0: ≤ 50% of pixels fit into the ellipse.	$\varepsilon_v(x,y)$ : ellipse distance at a pixel (x,y). $P_v$ : image object V expressed in pixels $\#P_v$ : image object V expressed in pixels

189 Note: Definiens Developer7 Reference Book was consulted for this index



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## 191 **4. Results and analysis**

### 192 *4.1. Geomorphic distribution characteristics of Karst basins*

#### 193 *4.1.1 Distribution characteristics of geomorphic types*

194 The overall landscape of Guizhou is dominated by mountains, followed by hills and basins. And these  
195 mountains were mostly dominated by low-medium and mid-medium mountains with the total area of the province  
196 accounting for 27.37% and 16.94%, respectively, followed by low mountains (10.96%) and high-medium  
197 mountains (4.93%). Hills are dominated by low hills with an area of 22.06%, followed by mid-hills (9%) and high  
198 hills (3.09%). Basins were mostly low basins (4.86%), followed by medium basins (0.51%), high basins (0.25%)  
199 and a few depressions (0.012%). Guizhou is a mountainous province with mountainous areas all over the province,  
200 while only a few areas are less widely distributed, such as Liupanshui and Anshun areas, but a large proportion of  
201 hilly areas are distributed in Guizhou, and mountains and hills in Guizhou show a "symmetrical" distribution.  
202 Hilly landforms in the province are distributed, but presented "trough" in the Southwest area, "broken"  
203 phenomenon in Zunyi. Basins are less distributed in the whole province, and presented "broken" phenomenon in  
204 the parts of southwest area, Liupanshui, Anshun and Zunyi (Fig. 3a).

#### 205 *4.1.2 Characteristics of topographic relief degrees*

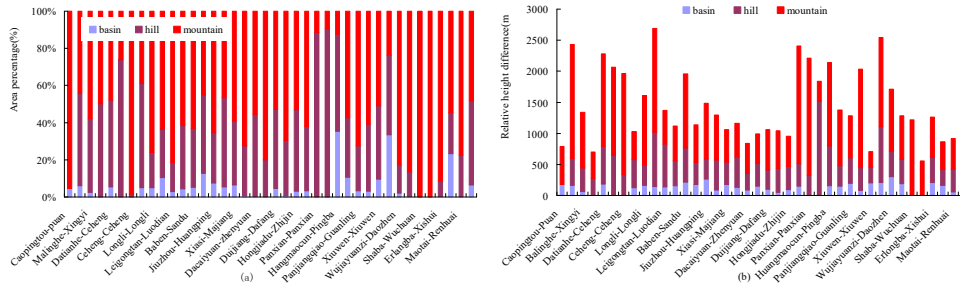
206 In Guizhou, the spatial distribution of the Topographic Relief Degrees (*TRD*) of mountains is basically  
207 consistent with that of the hills, and the peak *TRD* of mountains presents in Dadukou, Shuicheng (relative relief  
208 1898m), Panxian (relative relief 1885 m) and Chajiang, Xinyi (relative relief 1842 m). While the maximum of  
209 relative relief of hills presents in Maiweng, Pingba (1518 m), and Hefeng, Kaiyang ( 896.4 m ) and Xiawan,  
210 Guiding (870.28 m ) (Fig. 3b).

#### 211 *4.1.3 Distribution characteristics of landforms*

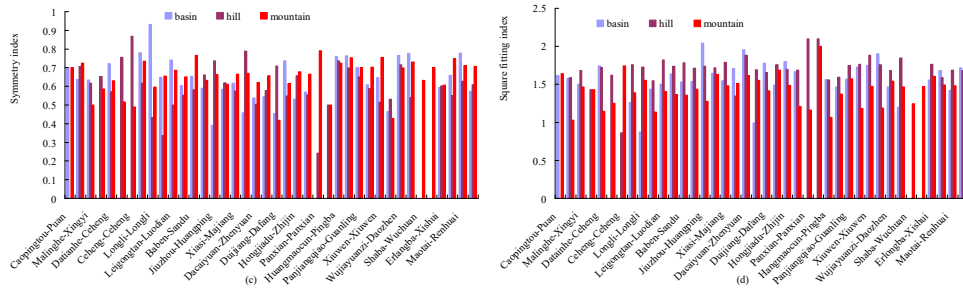
212 From the analyses of the symmetry of topographic distribution, the symmetry indices of the three types of  
213 landforms all fluctuate around 0.6, indicating that there is a certain degree of "symmetry" in the mountain  
214 landform, hilly landform and basin topography (Figure 3c), and the symmetry index of mountain topography  
215 fluctuates within 0.4 ~ 0.8, the hills fluctuate within 0.2 ~ 0.9, and the basins fluctuate within 0.4 ~ 1. The square  
216 fitting index (density index) of the mountains, hills and basins all fluctuate around 1.5, indicating the "squareness"  
217 distribution of the topography of the mountains, hills and basins. In general, the hilly square fitting index (density  
218 index) is greater than the mountain, indicating that the hilly landform morphology is closer to "square" than the  
219 mountain topography (Fig 3d). The rectangle fitting index of hilly landform is generally greater than that of  
220 mountainous area, and the rectangle fitting index of mountain topography fluctuates within 0.4 ~ 0.7 (Fig. 3e).  
221 Similarly, the elliptic fitting index of the hilly landform is generally greater than that of the mountainous area. The  
222 elliptic fitted index of the hilly and basin fluctuates greatly, i.e., varies from 0 to 0.6 and from 0 to 0.7, respectively,  
223 and the "broken" phenomenon occurs in some areas (Fig.3f) .



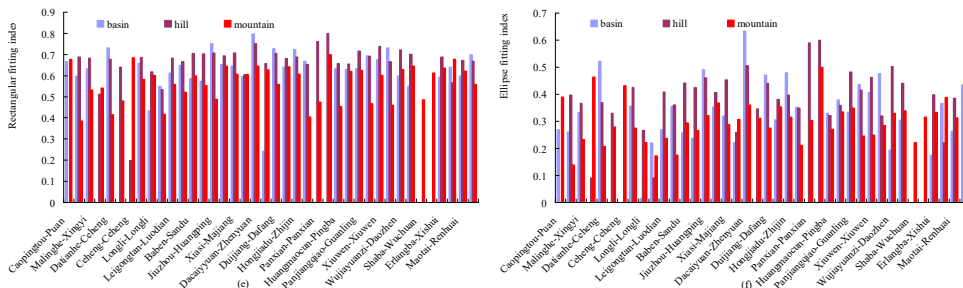
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Fig.3 The overall distribution of landform types

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## 4.2. Hydrologic drought characteristics in Karst basins

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### 4.2.1 Inter-annual variation characteristics of hydrological drought

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During 2000-2010, the hydrological droughts in Guizhou Province increased year by year, most notably in 2010 ( $RDSI = -0.634$ ), followed by 2005 ( $RDSI = -0.591$ ) and 2009 ( $RDSI = -0.555$ ), the lighter was in 2000 ( $RDSI = -0.528$ ). From 2000 to 2010, the inter-annual variation of hydrological droughts in Guizhou had obvious stage characteristics, which could be generally divided into "three stages and four periods", that was, the first transitional period from 2000 to 2001 (relative annual rate was 10.13%), 2004-2005 as the second transitional phase (annual relative variability of 11.09%), 2009-2010 for the third transitional phase (annual relative variability of 18.76%), and 2000 for the first period of drought, 2004 for the second period of drought, 2005-2009 for the third period of drought, 2010 for the fourth period of drought (Fig.4a).

238

During 2000-2010, the coefficient of variation ( $C_v$ ) of hydrological droughts in Guizhou showed obvious inter-annual variability, showing a tendency of decreasing year by year. The inter-annual variation of hydrological droughts occurred most frequently in 2000 ( $C_v = -0.685$ ) and in 2004 ( $C_v = -0.65$ ), with relatively small inter-annual

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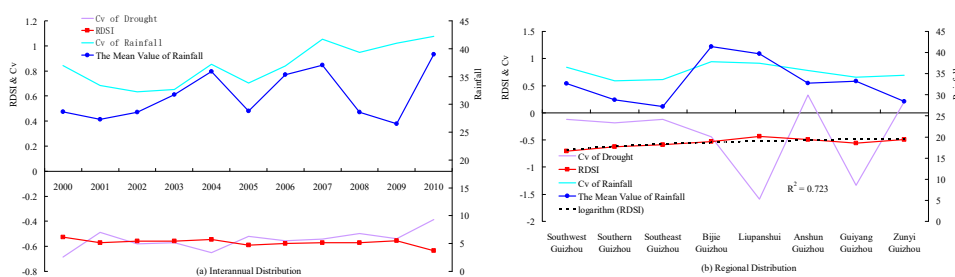


241 variations in 2010 ( $C_v=-0.385$ ) and 2001 ( $C_v=-0.487$ ). The inter-annual differences of the  $C_v$  values of regional  
 242 hydrological droughts was significant with annual relative variability as high as 66.11% (2000-2001), followed by  
 243 2009-2010 (relative annual rate of 51.04%), 2004-2005 (rate of 30.94%). The  $RDSI$  of hydrological droughts was  
 244 opposite to the  $C_v$  of hydrological droughts, that was, the greater the  $RDSI$  value of hydrological droughts, the  
 245 smaller the  $C_v$  value of hydrological droughts (2010). On the contrary, the smaller the  $RDSI$  value of hydrological  
 246 droughts was, the greater the  $C_v$  value of hydrological droughts (2000) was. The inter-annual variation trends of  
 247 the  $RDSI$  and  $C_v$  values of hydrological droughts was the opposite (Fig.4a).

#### 248 4.2.2 Spatial distribution of hydrologic drought

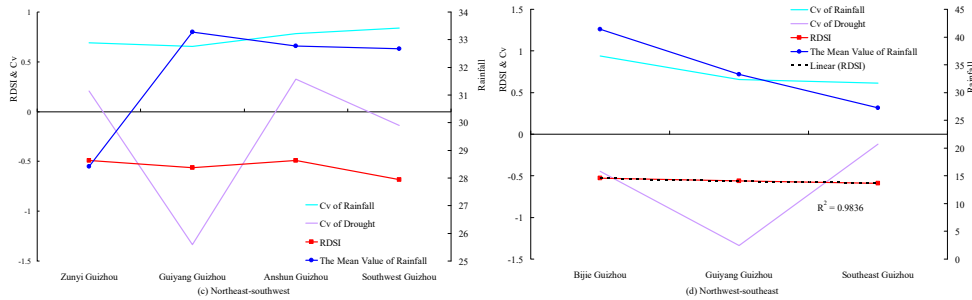
249 The overall regional distribution of hydrological drought severity in Guizhou is "severe in the south and light  
 250 in the north, severe in the west and light in the east" (Fig. 4b). The most severe areas of hydrological drought  
 251 appeared in the "Southwest Guizhou Province", and the relatively light areas of that in the "Zunyi Area". The  
 252 regional variation of  $C_v$  values of hydrological droughts is divided into two sections, that is, the first half is  
 253 "curved- type" and the second half is "W-shaped ", which shows the regional variation of  $C_v$  values is small in the  
 254 southern part of Guizhou, and large in the other areas. For example, the  $C_v$  value of hydrological drought in  
 255 Liupanshui reaches a maximum value ( $C_v=-1.595$ ), and the  $C_v$  value of hydrological drought in Zunyi reaches a  
 256 minimum ( $C_v=0.207$ ).The Northeast Southwest Distribution (Fig. 4c): hydrological drought severities "gradually  
 257 increased", and showed a small "wave-type" distribution. The regional variation of  $C_v$  values is greatly, and shows  
 258 "N-type" distribution. The Northwest Southeast Distribution (Fig. 4d), North-South Distribution (Fig.4e) and  
 259 Western Distribution (Fig.4f): the  $RDSI$  values of hydrological droughts in Karst basins are both greater than  
 260 -0.44.The hydrologic drought severities gradually increase, showing a "linear" distribution with linear fitting  
 261 indices  $R^2=0.995$ ,  $R^2 = 0.9978$  and  $R^2=0.3794$ , respectively. The  $C_v$  values of regional hydrological droughts vary  
 262 greatly, showing a "V-shaped" distribution. The Southern Distribution (Fig.4g): the hydrological drought severities  
 263 in Karst basins ware "gradually reduced" with a "linear" distribution ( $R^2=0.9633$ ), and the regional variation of  $C_v$   
 264 values of hydrologic droughts is small.

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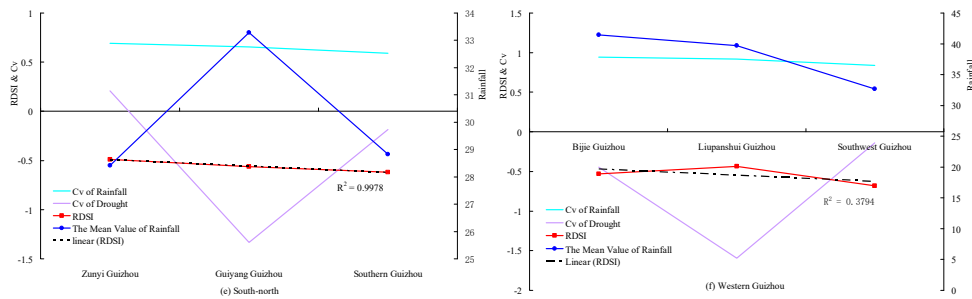




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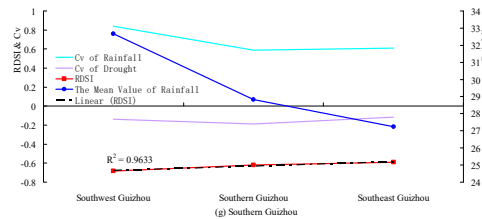


Fig.4 The spatial and temporal distribution of hydrological droughts and impacts of rainfall factors

### 270 4.3. Driving mechanism of hydrologic drought in Karst Basins

#### 271 4.3.1 Driving mechanism of rainfall factors to hydrologic drought

##### 272 (1) Inter-annual changes driven by rainfall factors

273 Watershed hydrological drought refers to the phenomenon of shortage of water due to different basin  
 274 underlying factors when rainfall is low (or constant). Feng (et al.,1997) Pointed out that the runoff in the dry  
 275 season mainly comes from the amount of water retained in the catchment at the end of the flood season, and the  
 276 amount of rainfall in the dry season, with the former accounting for a large proportion. The flood storage at the  
 277 end of the flood season is mainly determined by the flood season precipitation and catchment factors, and the  
 278 latter represents a significant proportion. As can be seen from Fig.4a, the mean value of rainfall in the driest  
 279 month was "increasing year by year" from 2000 to 2010, while the hydrological drought severity in Karst basins  
 280 was "serious year by year", which indicates that rainfall during drought periods has little effect on hydrological  
 281 drought with the correlation coefficient  $R=-0.468$ , and significant probability  $P=0.147$ . In 2010, the average  
 282 rainfall of the driest month was 38.949 mm with the  $RDSI=-0.634$ , and 28.651 mm with the  $RDSI=-0.528$  in  
 283 2000. The difference of average rainfall of the driest month in 2001-2004 and 2005-2009 was very big, but that of  
 284 drought degree was very small. In 2000-2010, the inter-annual variability of  $C_v$  values of the average rainfall in



285 the driest month was great, and showed an "increasing" trend (Fig.4a), while that of  $C_v$  values of hydrologic  
286 droughts was relatively small, and showed an "decreasing" trend, which indicates that the change of rainfall in the  
287 driest month has little effect on the hydrologic drought severity with the  $R=0.323$ ,  $P=0.332$ . Similarly, the  $C_v$  value  
288 of the average rainfall in the driest month was 1.075, the drought index  $C_v=-0.385$  in 2010, and the average  
289 rainfall  $C_v=0.843$ , the drought index  $C_v=-0.685$  in 2000. Similarly, the  $C_v$  value of the average rainfall in the driest  
290 month was 1.075, the drought index  $C_v=-0.385$  in 2010, and the average rainfall  $C_v=0.843$ , the drought index  
291  $C_v=-0.685$  in 2000. From 2005 to 2008, the great was the Inter-annual variability of  $C_v$  values of the average  
292 rainfall in driest month, the small that of  $C_v$  values of hydrologic drought severities.

### 293 (2) Regional changes driven by rainfall factors

294 In Guizhou Province, the spatial distribution of the mean rainfall in the driest month changed greatly, with a  
295 "hump type" (Fig.4b).The spatial distribution of the *RDSIs* has little change and has a "logarithmic" distribution  
296 with a logarithmic fitting index of  $R^2=0.723$ . This indicates that the spatial distribution of rainfall in the driest  
297 month has little effect on that of the *RDSIs*, and Pearson correlation coefficient  $R=0.4$ , the significant probability  
298  $P=0.326$ . The  $C_v$  of rainfall has little effect on the  $C_v$  of hydrological droughts ( $R=-0.27$ ,  $P=0.518$ ).*The Northeast*  
299 *Southwest Distribution* (Fig.4c) and *North-South Distribution* (Fig.4e): the spatial distribution of rainfall in the  
300 driest month changes a lot and appears "single peak". The rainfall had little effect on the watershed hydrological  
301 droughts. The correlation coefficient and significance ware  $R=-0.454$ ,  $P=0.546$ , and  $R=-0.122$ ,  $P=0.922$ ,  
302 respectively. The space change of the  $C_v$  of rainfall is small, which has a small influence on the  $C_v$  of hydrological  
303 droughts. The correlation coefficient and significance ware  $R=-0.55$ ,  $P=0.45$ , and  $R=0.87$ ,  $P=0.945$ , respectively.  
304 *The Western Distribution* (Fig.4f): The spatial variation of rainfall is small and shows a "decreasing" trend. The  
305 rainfall has no significant effect on hydrological droughts ( $R=0.841$ ,  $P=0.364$ ). There is no linear correlation  
306 between the  $C_v$  of rainfall and the  $C_v$  of hydrological droughts ( $R=-0.478$ ,  $P=0.683$ ). *The Northwest southeast*  
307 *distribution* (Fig.4d) and *southern distribution* (Fig.4g): The rainfall dropps drastically, and has a significant  
308 impact on hydrological droughts, the correlation coefficient and significance ware  $R=0.998$ ,  $P=0.041$ , and  
309  $R=-0.999$ ,  $P=0.028$ , respectively. However, the  $C_v$  of rainfall has no significant effect on the  $C_v$  of hydrological  
310 droughts, and the correlation coefficient and significance ware  $R=0.135$ ,  $P=0.913$ , and  $R=0.302$ ,  $P=0.805$ ,  
311 respectively.

### 312 4.3.2 Driving mechanism of landforms characteristics to hydrologic drought

#### 313 (1) The driven by landform types

314 During the drought period, there is no rainfall or little rainfall in the karst catchments, which could not solve  
315 the drought problem. The runoff recharge mainly comes from the rainfall at the end of the flood season, and the  
316 recharge in the adjacent catchment (the non-closed catchment).Therefore, the topography type plays an important  
317 role in rainfall recharge. Different types of landforms, such as landforms, topographic relief degrees (Ma et al.,  
318 2012) and surface cutting depths of them are quite different, greatly influence on the horizontal flow on the  
319 surface and vertical flow under the ground of the rainfall, affect the rainfall recharge to the basin, and which relate



320 to the occurrence of watershed hydrological droughts. From the overall geomorphologic types of Guizhou, the  
321 area distributions of mountains, hills and basins are related to *RDSI*. The correlation coefficients are  
322  $R_{(mountain)}=-0.399$ ,  $R_{(hill)}=-0.212$  and  $R_{(basin)}=0.209$ , respectively. Except basins, Hills and mountains do not pass the  
323 significance test of 0.05. From the correlation between single landform types and *RDSI* (Fig. 5a), the correlation  
324 could be divided into three sections. They are the basin section, showing "*N type*" and hilly section, showing  
325 "*bimodal type*" and mountainous section, showing "*growth type*". In the basin section, the smallest is the  
326 correlation between low-lying basins and *RDSIs* ( $R=-0.291$ ,  $P=0.069$ ), the highest in the high basins ( $R=0.478$ ,  
327  $P=0.002$ ). In the hilly section, the smallest is the correlation between shallow low hills and *RDSIs* ( $R=-0.241$ ,  
328  $P=0.134$ ), the highest in the deep high hills ( $R=0.523$ ,  $P=0.001$ ), followed by deep-medium hills ( $R=0.177$ ,  
329  $P=0.273$ ). In the mountainous section, the highest is the correlation between high-medium mountains and *RDSIs*  
330 ( $R=0.414$ ,  $P=0.008$ ), the smallest in the low mountains ( $R=-0.073$ ,  $P=0.653$ ). The *RDSI* value of hydrological  
331 droughts is negative. That is, the greater the negative, the more severe the hydrological drought severity. Therefore,  
332 the correlation coefficients (*Rs*) of the landform types and *RDSIs* are larger, the more significant the influences of  
333 the landform types on the hydrological droughts are, and the lighter the hydrological droughts are. On the contrary,  
334 the greater the negative *Rs* between the landform types and the *RDSIs*, the more significant the influences of  
335 topography on hydrological droughts are, and the more serious the hydrological droughts are. In summary, the  
336 correlation coefficients (*Rs*) of high-medium mountains, deep-high hills and high basins are all greater than 0 and  
337 through the significance test of 0.01, which indicates that it is the relatively lightly areas of hydrologic droughts in  
338 the high-medium mountains, deep-high hills and high basins, while the relatively serious areas in low basins,  
339 shallow-low hills and low mountains with the negative *Rs*. With the elevation increasing, the *Rs* between landform  
340 types and *RDSIs* change from negative to positive and then increase in the basins, hills and mountains, which  
341 indicates that it is getting lighter for the watershed hydrological droughts with the altitude increasing. This could  
342 be that the high altitude area has low erosion basis and shallow groundwater, while the low altitude area would  
343 have the opposite situations.

344 (2) *The driven by landform dissection depths*

345 Affect the lateral velocity of surface water produced by rainfall, in addition to landform types, its relief  
346 amplitude or the depth of dissection could not be underestimated. The deeper the surface-cutted depth, the greater  
347 the surface fluctuation (correlation coefficient  $R_{basin \& \ basin}=0.842$ ,  $R_{hill \& \ hill}=0.982$  and  $R_{mountain \& \ mountain}=0.362$ ), and  
348 the longer the confluence of rainfall on the surface, the more rainfall infiltration, so the lighter the hydrological  
349 drought severity occurs. As shown in Fig. 5a, the correlation between surface cutting depth and *RDSI* could be  
350 divided into three sections, that is, the basin section is "*V-shaped*" and the hilly section is "*W-type*" and the  
351 mountain section is "*V-type*".

352 Similarly, the impacts of the surface-cutted depths in high basins, deep-high hills and high-medium  
353 mountains on hydrologic droughts are the largest with the correlation and significance of  $R=0.536$  and  $P=0.0$ ,  
354  $R=0.568$  and  $P=0.0$ ,  $R=0.557$  and  $P=0.0$ , respectively. while those of low basins, deep-low hills and low-medium  
355 mountains are the smallest with the  $R=0.148$  and  $P=0.361$ ,  $R=-0.092$  and  $P=0.572$ ,  $R=-0.104$  and  $P=0.522$ ,

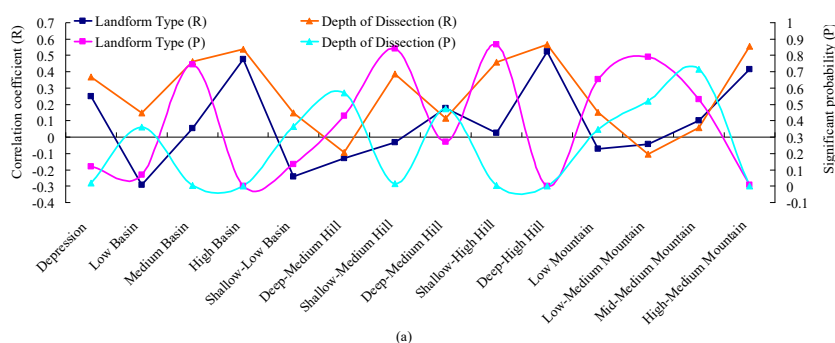


356 respectively. This indicates that the relatively light areas for hydrologic drought severity in the high basins,  
 357 deep-high hills and high-medium mountains, and the relatively serious areas in low basins, deep-low hills and  
 358 low-medium mountains, which could be because that deeper dissection provides more time for the rainfall to form  
 359 surface flows and increases the volume of infiltration. The  $R$  between  $RDSI$  and surface-cutted depth from  
 360 depression to high-medium mountain is generally "increasing", which shows that the hydrologic drought severity  
 361 in Karst basins is a getting lighter trend from depression to high-medium mountain.

362 (3) *The driven by landform characteristics*

363 Another important characteristic value of geomorphology types is morphological index, such as symmetry  
 364 index, square fitting index (density index), rectangle fitting index and ellipse fitting index, which reflect the  
 365 morphological characteristics and shape complexities of landform types from a different point of view, and also  
 366 reflect the closure degree of the surface-groundwater system. Fig.5b is the correlation between morphological  
 367 index and  $RDSIs$ , similarly divided into three sections. That is, a "V type" for the symmetry index, square fitting  
 368 index and rectangular fitting index, a "N type" for the ellipse fitting index in basin sections, and a "U-shaped" for  
 369 the symmetry index, square fitting index and rectangular fitting index, a "W-type" for the ellipse fitting index in  
 370 hilly sections, and a "V-shaped" for the four kinds of morphological index in mountain sections. The  $R$ s between  
 371 four kinds of morphological indices of the high basins, deep-high hills and high-medium mountains and the  $RDSIs$   
 372 are greater than 0, and  $P=0.0$ , which indicates that it has a significant impact on hydrological droughts, and is also  
 373 a relatively light area for hydrologic drought severity in the high basins, deep-high hills and high-medium  
 374 mountains. The  $R$ s between four kinds of morphological indices of the mid-medium mountains and the  $RDSIs$  are  
 375 the minimum, which shows that the shape distribution of mid-medium mountains has no obvious or no influence  
 376 on the watershed hydrological droughts. From depressions to high mountains, the  $R$ s between the four  
 377 morphological indices and  $RDSIs$  are positive (except the low-medium mountain by ellipse fit index). Especially  
 378 from depression to deep-high hills, the  $R$ s between of them are the relatively large, which indicates that the  
 379 morphological distribution of landform types has different impact on watershed hydrologic droughts. It could be  
 380 that the larger the morphological index of morphological types, the more regular the shape distribution of the  
 381 landscape, and the simpler the edge distribution of landform types, the less outflow of water out of the basin, and  
 382 the smaller the probability of watershed hydrological drought occurs.

383



384

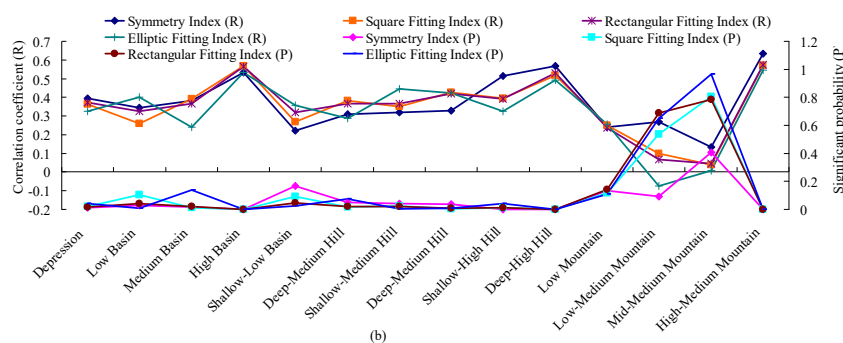


Fig.5 The correlation coefficients between landform types and hydrological droughts

385  
 386

### 4.3.3 Driving mechanism of hydrologic drought variability

#### (1) The inter-annual variability driven of hydrologic drought

Hydrological drought is the continuation and development of meteorological drought and agricultural drought. It is the final and most complete drought. However, once hydrological drought has occurred, it indicates that ① the deficiency of rainfall has reached an abnormal level; ② the catchment has a water deficit and a lower groundwater table; ③ irrigation is no longer possible (Geng et al., 1992). Once the hydrological drought occurs, it will be a devastating damage to the ecological environment of Karst basins. The result shows that the soil water content or soil water holding capacity drops sharply, even reaches the level of withering coefficient, which makes it difficult to supplement plant physiological water demand, resulting in the drying up and death of large areas of crops and vegetation. In addition to that, the vegetation coverage will drop, making the soils and rocks of the catchment become naked and scorched and thereby producing a lot of sands and dusts that may aggravate the greenhouse effect. Water storage medium is seriously damaged, thus affecting the basin's water storage capacity, which is an important factor that led to the hydrological drought in the coming year. Making pairwise correlation analysis on the *RDSIs* of 2000-2010 showed that each correlation coefficient was above 0.501, each significance probability was below 0.001, which indicates that the inter-annual affect each other of hydrologic droughts during 2000-2010 was particularly significant.

#### (2) The regional variability driven of hydrologic drought

The Karst drainage basin is a binary three-dimensional space structure with the binary media and dual water systems. According to the closed degree of surface water system and groundwater system, YANG (1982) classified karst basins into the Surplus Basin, Balanced river Basin and Deficit Basin. On the one hand, in karst basins, the basin water storage in the dry season is the main source of basin runoff recharge. Therefore, the strong / weak of basin water storage capacity affects the amount of runoff during the dry season, which is directly related to the occurrence of hydrological droughts. On the other hand, the water storage capacity of karst basins is greatly influenced by the basin storage medium and its water system. The water storage medium affects the type of water storage space, the size of water storage space and the numbers of water storage space, which will affect the amount of basin water storage. Water system is the channel of energy flow and information flow, which is the reflection of secondary distribution of rainfall on the surface and is the key factor of water balance in the basins.



414 In the karst areas, the rainfall during the dry period has little effect on the surface runoff. The runoff recharges  
415 mainly come from the water storage in the basin or the water storage in the adjacent basin. Therefore, it is  
416 significant for the mutual influence of hydrologic droughts in the Adjacent drainage basins, for example, in the  
417 Bijie area & Guiyang City ( $R=0.832$ ,  $P=0.01$ ), Bijie area & Anshun area ( $R=0.816$ ,  $P=0.014$ ), and Anshun area &  
418 Guiyang city ( $R=0.753$ ,  $P=0.031$ ). However, they may belong to neighboring areas from the administrative  
419 divisions, which could be that the surface water system and the groundwater system are not closed, resulting in the  
420 exchange of groundwater. If there is no exchange of groundwater or has not been lost of surface water,  
421 hydrologic droughts will have little or no influence on each other even in the adjacent areas, such as Qianxinan  
422 area & Anshun area ( $R=-0.199$ ,  $P=0.637$ ).

## 423 5. Conclusions

424 Based on the TM images and DEM data, This paper extracted the landform types, the morphological indices  
425 of geomorphology types, the topographic relief degrees and so on by the use of the object-oriented classification  
426 technique, and systematically analyzed the distribution of geomorphology types in Guizhou, the temporal and  
427 spatial distribution of hydrological droughts in Karst basins, and the correlation between the rainfall during dry  
428 periods, geomorphology types and the hydrological droughts in the basins. The results show that:

429 (1) During 2000-2010, the hydrological droughts in Guizhou Province increased year by year, most notably  
430 in 2010 ( $RDSI=-0.634$ ), which was in line with the southwestern drought in 2010. The inter-annual variation of  
431 hydrological droughts had obvious stage characteristics, which could be generally divided into "three stages and  
432 four periods", that was, the first transitional period from 2000 to 2001 (relative annual rate of change was  
433 10.126%), the second transitional period from 2004 to 2005 (relative annual rate of 11.01%), and the third  
434 transitional period from 2009 to 2010 (relative annual rate of 18.76%). 2000 was the first drought period,  
435 2001-2004 was the second drought period, the third period of drought in 2005-2009 and the fourth period of  
436 drought in 2010. The overall regional distribution of hydrological drought severity in Guizhou was "*severe in the  
437 south and light in the north, severe in the west and light in the east*". The most severe areas for hydrological  
438 drought severity appeared in the "*Southwest Guizhou Province*", and the relatively light areas for that in the  
439 "*Zunyi Area*".

440 (2) The rainfall during drought periods has little effect on hydrological drought. For example, the mean value  
441 of rainfall in the driest month was "increasing year by year" from 2000 to 2010, while the severity of hydrological  
442 droughts in Karst basins was "serious year by year". The change of rainfall in the driest month has little effect on  
443 the severity of hydrologic droughts. For example, in 2000-2010, the inter-annual variability of  $C_v$  values of the  
444 average rainfall in the driest month was great, and showed an "increasing" trend, while that of  $C_v$  values of  
445 hydrologic droughts was relatively small, and showed an "decreasing" trend. The spatial distribution of rainfall in  
446 the driest month has little effect on that of the  $RDSIs$ . For example, the spatial distribution of the mean rainfall of  
447 the driest month in Guizhou Province showed a great change with a "hump type" distribution. The spatial  
448 distribution of the  $RDSIs$  showed a small change with a "logarithmic" distribution.



449 (3) During the dry period, it is significant for the mutual influence of hydrologic droughts in the Adjacent  
450 drainage basins, for example, in the Bijie area & Guiyang City ( $R=0.832$ ,  $P=0.01$ ), Bijie area & Anshun area  
451 ( $R=0.816$ ,  $P=0.014$ ), and Anshun area & Guiyang city ( $R=0.753$ ,  $P=0.031$ ). This may be that the rainfall during  
452 the dry period has little effect on the surface runoff in the karst areas, and the runoff recharges mainly come from  
453 the water storage in the basin or the water storage in the adjacent basins. At the same time, the inter-annual affect  
454 each other of hydrologic droughts during 2000-2010 was particularly significant.

455 (4) From the overall geomorphologic types of Guizhou, the area distributions of mountains, hills and basins  
456 have certain influence on the hydrological droughts in Karst basins, but the effect is not significant. From the  
457 distributions of single landform types, the influence of high-medium mountains, deep-high hills and high basins  
458 on hydrological droughts is especially significant. And it is relatively light area for hydrologic droughts in the  
459 high-medium mountains, deep-high hills and high basins, and is relatively serious area in low basins, shallow-low  
460 hills and low mountains. This indicates that the hydrological droughts in Karst basins are the more and more light  
461 with the altitude increasing. The correlations between depth of dissection and *RDSI* from depression to  
462 high-medium mountain are generally "increasing", which indicates that the hydrologic droughts in the basins  
463 show a tendency of "getting lighter and lighter". There are significant impacts on the hydrological droughts for the  
464 landforms distribution of high basins, deep-high hills and high-medium mountains, and where are also relatively  
465 light distribution areas of hydrologic drought severity. From depressions to high mountains, the correlation  
466 coefficients (*Rs*) between the four morphological indices and *RDSIs* are positive (except the low-medium  
467 mountain by ellipse fit index), and the relatively large for the *Rs* especially from depression to deep-high hills,  
468 which indicates that the morphological distribution of landform types has different impact on hydrologic droughts  
469 in Karst basins. This could be that the larger the morphological index of morphological types, the more regular the  
470 shape distribution of the landscape, and the simpler the edge distribution of landform types, the less outflow of  
471 water out of the basin, and the smaller the probability of hydrological droughts in Karst basins occurs.

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473

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