



## Measuring and Characterizing Community Recovery to Earthquake: the Case of 2008 Wenchuan Earthquake, China

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1  
2 **Abstract.** Our world is prone to more frequent, deadly and costly earthquake disasters, which are  
3 increasingly uncertain and complex due to the rapid environmental and socio-economic changes  
4 occurring at multiple scales. There is an urgent need to recover rapidly and effectively for community  
5 after earthquake disasters. To enhance community recovery, it is necessary to have a good initial  
6 understanding of what it is, its determinants and how it can be measured, maintained and improved. So  
7 this article proposes the concept of community recovery as the capacity to recover and rebuild after the  
8 earthquake disasters by considering the original perspective of recovery. And we develop a new  
9 quantitative approach to measure community recovery to earthquake from four dimensions (population,  
10 economic, building, and infrastructure) by extending the concepts of recovery triangle. Taking the  
11 community of Wenchuan as the example to test our mathematical model and compare different recovery  
12 levels of four dimensions under the situation of Wenchuan Earthquake, the results can help the policy  
13 makers identify the low-recovery dimensions of Wenchuan to enhance post-disaster recovery and  
14 reconstruction efforts, and address the vital importance of local government in improving the  
15 post-disaster recovery.

### 16 17 **1 Introduction**

18  
19 The damaging earthquake risk of cities as the biggest risk of all natural disasters has specifically  
20 increased over the years due to the increasing complexities in urban environments and a high  
21 concentrated urbanization in seismic risk-prone areas. The growing large-scale devastating effects  
22 caused by recent catastrophic earthquakes (e.g. 15 August 2007, Peru; 12 May 2008, Wenchuan, China;  
23 12 January, 2010, Haiti; 11 March 2011, Honshu Island, Japan) have attracted the attention of the policy  
24 makers to formulate effective risk prevention policies. The earthquake risk depends on the seismic  
25 hazard, but it is more dependent on the inherent properties of the communities which is compounded by  
26 the vulnerability, adaptation and resilience. Above all of these inherent properties, resilience is



27 interpreted to be the central component of disaster risk reduction, which is used to bridge the two other  
28 properties together. Some researchers asserted that a disaster-resilient community has the ability to cope  
29 with the disaster strikes, and improve its inherent genetic or behavioral characteristics to better adapt to  
30 disasters rather than regain pre-disaster levels of vulnerability (Mooney 2009). So policymakers have  
31 called for concerted efforts to build “earthquake-resilience community” for the purpose of finding the  
32 new stable states and rebuilding a safer community in the historically experienced deleterious  
33 earthquake disasters (Alesch 2009). The definition of resilience is the ability that is exposed to seismic  
34 hazards to resist, absorb, accommodate and recover from seismic hazards quickly and efficiently, which  
35 is divided by some scholars into during-disaster resistance, short-term post-disaster recovery, and  
36 long-term post-disaster trans-formative (UN/ISDR 2010). Recovery represents a fundamental  
37 dimension of disaster resilience, includes both the possibilities o return to normal, that is, pre-disaster  
38 condition, and alternatively, to be rebuilt or transformed to a completely different status. So  
39 reconstruction, restoration, rehabilitation and post-disaster redevelopment are all considered to be the  
40 parts of the recovery process, yet it is widely acknowledged to be the final phase of the disaster life  
41 cycle (Tierney et al. 2001; NRC 2006; Peacock et al. 2008; Olshansky and Chang 2009).  
42 In academia, recovery has traditionally taken on a more outcome-oriented conceptualization, with  
43 emphasis on the physical aspect as seen in early studies (Haas et al. 1977). Researchers like Nigg then  
44 began to point out that recovery should be conceptualized as a social process that “begins before a  
45 disaster occurs and encompasses decision-making concerning emergency response, restoration, and  
46 reconstruction activities following the disaster” (Nigg 1995). Some other scholars have suggested that  
47 recovery can be defined as the “process by which a community has experienced a structural failure of  
48 this sort to reestablish a routine, organized, institutionalized mode of adaptation to its post-impact  
49 environment” since the disaster was often seen as a failure of social structure (Bates and Gillis Peacock  
50 1989). These changes in the definition to reflect the shifts in conceptualizing disaster recovery in the  
51 last few decades from a linear, static issue focused on the physical aspects referred to a specific set of  
52 stages, to a dynamic, interactive, multi-dimensional decision-making process, including the  
53 ‘reconstructing, and remodeling of the natural and social-economic environment by pre-disaster  
54 planning and post-disaster actions’ (Smith and Wenger 2007). And the researches surrounding “disaster  
55 recovery” have attracted more and more attention in recent years. Definitions of this term vary in the  
56 literature, which are commonly used in the sense of ‘returning to pre-disaster conditions’, or ‘reaching a  
57 new stable state that may be different from either of these’ (Quarantelli 1999). The new National  
58 Disaster Recovery Framework developed by FEMA in 2011(FEMA 2011) define recovery as “those  
59 capabilities necessary to assist communities affected by an incident to recover effectively, including, but  
60 not limited to, rebuilding infrastructure systems, providing adequate interim and long-term housing for  
61 survivors; restoring health, social, and community services; promoting economic development; and  
62 restoring natural and cultural resources”. And community recovery emerges “as the outcome of several  
63 sets of activities: restoring basic services to acceptable levels, replacing infrastructure capacity that is



64 damaged or destroyed, rebuilding or replacing critical social or economic elements of the community  
65 that are damaged or lost, and establishing or reestablishing relationships and linkages among critical  
66 elements of the community” (Alesch et al. 2009).

67 In recent years, much of the current disaster literature provides two major perspectives and  
68 interpretations to measure recovery: (i) returning to pre-disaster situations; and (ii) obtaining a new  
69 normal conditions (Chang et al. 2011). The first perspective and interpretation is conceptually based on  
70 the comparison of the community conditions before the disaster and after the recovery process, and it  
71 emphasizing on the rebounding as quickly as possible (Wildavsky 1991; Sherrieb et al. 2010). In this  
72 regard, the pre-disaster situations are considered to be the normal state. The rapid recovery process is  
73 designed to minimize losses caused by disasters (Alesch et al. 2001). The second perspective and  
74 interpretation highlights how there is a new normal state after a disaster (Alesch et al. 2009; Chang et al.  
75 2010). However, the ‘new normal state’ is more applicable to post-disaster attitudes and behavior of  
76 human, showing the evolution of the collective psychology, than it is to physical recovery. Beside that,  
77 some recovery indexes have been designed to track the recovery progress, such as the Social  
78 Vulnerability Index proposed by Cutter and Finch (2008), Spatial Recovery Index (SRI) proposed by  
79 Ward et al. (2010) and so on. These recovery indexes resonate with the fine view of the bouncing back  
80 method in as much as these dimensions are critical to understand the post-disaster improved situations.

81 Nowadays, the research of disaster recovery is in the initial stage, more key research questions need to  
82 be resolved: Why do some communities recover more quickly and successfully than others? Is there a  
83 timetable for recovery? How does the recovery trajectory of communities differ by type and magnitude  
84 of the hazard event, conditions of initial damage, characteristics of the community, and decisions made  
85 over the course of reconstruction and recovery? How do different types of assistance and recovery  
86 resources affect recovery? What types of decisions and strategies are most critical to recovery? How do  
87 disasters affect communities over the long term? In the past studies, the idea of post-disaster  
88 improvement is preferred by many scholars to the idea of bringing back to or regaining the pre-disaster  
89 normality, especially when the disasters are occurring in developing countries, while the concepts and  
90 practices of sustainable development and risk reduction are being integrated into disaster recovery  
91 processes. The concept of disaster recovery is recognized as ordered, knowable, and predicable, for the  
92 emphasis is mainly focus on the building environment. However, later studies have shown that the  
93 recovery process does not follow a predictable timeline, and that the recovery process is increasingly to  
94 multi-dimensional, including both physical (economic) and social-psychological aspects. The  
95 determinants of disaster recovery are many, include socioeconomic status and development trends,  
96 structural change and adaptation, disaster impacts and disruptions, post-disaster response efforts,  
97 informal and formal external assistance (governmental and institutional capacity), and  
98 macro-socioeconomic or program/policy changes. So the measurement of disaster recovery is a  
99 complex construct, a recurrent problem is the lack of a simple, feasible and effective measurement of  
100 disaster recovery. So in this paper, we proposed a new, practical method for measuring and



101 characterizing community recovery to earthquake in four dimensions, and applied it to Wenchuan  
102 Community. The final products of our research provide insights for decision-makers to acknowledge  
103 and understand the differential levels of community recovery in these four dimensions, in order to  
104 maximize the overall post-disaster community recovery by prioritizing efforts, and formulating effective,  
105 operational and valuable reconstruction strategies and policies.

106

## 107 2 Study Area

108

109 The Wenchuan Community (31°East, 103.4°North) in Sichuan Province of China was hit by a  
110 magnitude 8.0 Ms (the surface-wave magnitude) and 7.9 Mw earthquake (Wenchuan Earthquake)  
111 (Figure 1) at 14:28:04 CST (China Standard Time) on May 12, 2008. The Epicentral intensity of this  
112 earthquake was up to 11 degrees, and the areas directly devastated by this earthquake were as large as  
113 100,000 square kilometers. Wenchuan Earthquake is the most destructive and widespread earthquake  
114 since the founding of the People's Republic of China, which affected more than half of China and other  
115 Asian countries and regions. Up to September 18, 2008, the Wenchuan Earthquake caused 69,227  
116 people dead, 374,643 injured, and 17,923 missing. Direct economic losses reached 845.2 billion yuan  
117 (\$ 133.2 billion). The Wenchuan Community as the epicenter of Wenchuan earthquake was the hardest  
118 hit (Figure 2b). In Wenchuan Community, this earthquake left 15,941 people dead, 34,583 injured, and  
119 7,930 people have been listed as missing. The Wenchuan Community was razed by this earthquake: all  
120 infrastructures were completely destroyed, most buildings were severely damaged, many economic  
121 sectors such as industry, commerce and tourism were suffered heavy losses (64.3 billion yuan (\$ 10.1  
122 billion) in direct economic losses).

123

124 **Figure 1.** Location of Wenchuan Earthquake

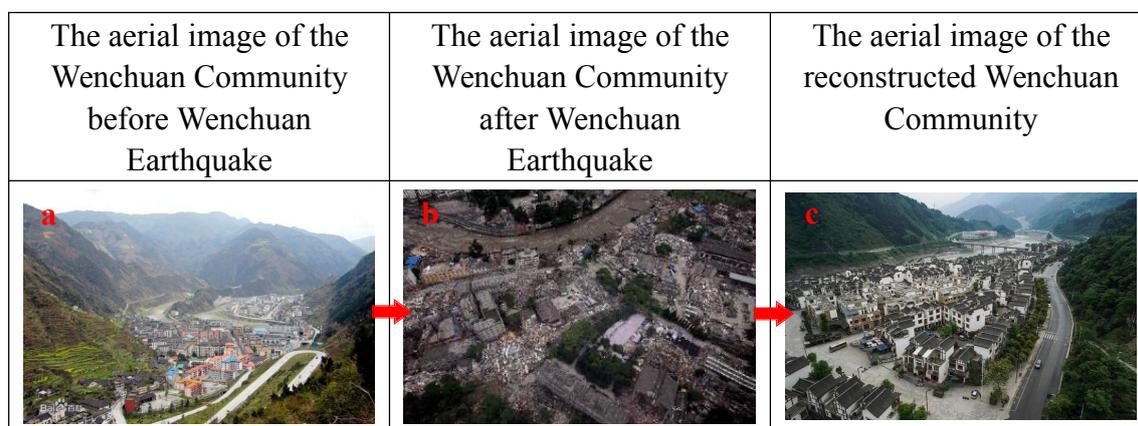




125

126 After Wenchuan Earthquake, Chinese Central Government commanded a large number of rescuers  
127 (including firefighters, special police, volunteers and humanitarian relief experts) from all over China  
128 and around the world to take emergency response measures. On June 8, 2008, "Regulations on  
129 Post-Wenchuan Earthquake Rehabilitation and Reconstruction" was promulgated, and the Chinese  
130 government announced to invest 1 trillion yuan (\$157.7 billion) to rebuild the affected areas over the  
131 next 3 years. In the rebuilding and recovery processes, with the principle of "one province helps one  
132 severely affected communities", 19 provinces and cities (e.g. Guangdong, Jiangsu, Shanghai, Shandong,  
133 Zhejiang, Beijing, Liaoning, Henan, Hebei, Shanxi, Fujian, Huan, Hubei, Anhui, Tianjin, Heilongjiang,  
134 Chongqing, Jiangxi, Jilin) supported the reconstruction of 18 worst-hit communities (e.g. Wenchuan,  
135 Qingchuan, Beichuan, Mianzhu, and so on) for three years. Just forced on the Wenchuan Community,  
136 the reconstruction projects of the national plan are more than 4,000, with the total investment of 40  
137 billion yuan (\$ 6.3 billion) from 2008 to 2011. On the third anniversary of Wenchuan Earthquake (May  
138 12, 2011), the reconstruction of the Wenchuan Community is completed, and the Wenchuan Community  
139 is from ruins to prosperity (Figure 2c).

140



141 **Figure 2.** The development process of the Wenchuan Community in, during, and after Wenchuan  
142 Earthquake (from May 12, 2008 to May 12, 2011)

143

### 144 3 Data and Methods

145

#### 146 3.1 Data Sources

147

148 Data of the detail reconstruction or recovery processes of the Wenchuan Community after the  
149 earthquake including population, economy, building and infrastructure are mainly obtained from the  
150 reports on the work of the Wenchuan government from 2008 to 2014. Data of the recovery process and  
151 status of the affected people are gotten by the random interview of 1000 affected families from all



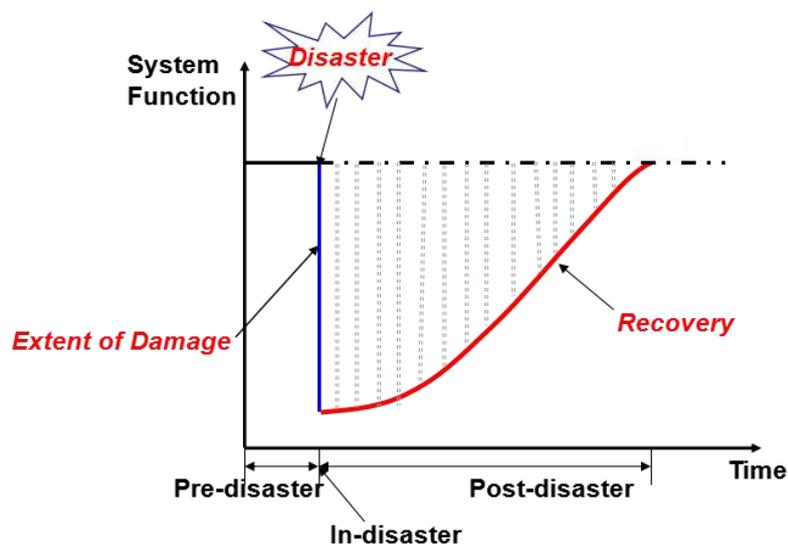
152 resettlement sites. Other statistics and description data are gathered by combining different sources (e.g.,  
153 official statistical yearbooks, newspapers and media reports) following the Wenchuan Earthquake. And  
154 the local information of the reconstruction processes of buildings and infrastructure of Wenchuan  
155 Community, which are obtained by field surveys and interviews. After the earthquake, the government  
156 made every effort to restore infrastructure services of the affected areas, and the emergency water  
157 supply, telecommunications, electricity, and roads were recovered respectively on May 13, May 15,  
158 May 17, and August 12, 2008. With regarding to repair and rebuild the earthquake-affected buildings,  
159 501 reconstruction projects with the total investment of 22.177 billion yuan (\$ 3.5 billion) are completed  
160 in Wenchuan Community. From 2008 to 2011, reconstruction projects had been completed by 19%,  
161 53%, and 94.7% in each year. In 2012, all of these 501 reconstruction projects were completed. These  
162 all data were entered into a computerized database. This database was an important source of  
163 information for measuring the recovery of the Wenchuan Community to the earthquake.

164

### 165 **3.2 Defining the concept of community recovery to earthquake**

166

167 The researches contain many major conceptual and measurement approaches to define and measure  
168 community recovery. Community recovery, as the final phase of the disaster life cycle, continues  
169 beyond emergency response, that might be taken in the immediate aftermath of a disruption until  
170 returning to pre-disaster normality or transforming to a new stable state. This phase may take days,  
171 months, even years, to accomplish; thus, requiring long-term planning. The recovery is a dynamic,  
172 complex and challenging process that involves all sectors of a community, comprised of the impact of  
173 disasters, households, business, buildings, as well the lifeline system (Miles and Chang, 2007). In many  
174 cases, it is not even clear if and when recovery has been achieved because of varying stakeholder goals  
175 for the community, for example with some wanting it returned to its pre-disaster status and others  
176 wanting it to undergo change to realize a vision in which advances are made in risk reduction and other  
177 areas. But most of all, the decision-makers of local governments mainly through improving the speed of  
178 the recovery process to restore the operation of the interrupted business, and to rebuild damaged  
179 infrastructure to allow the restarting of normal activities (Alesch et al. 2001). So the speed of the  
180 recovery process can be defined as the key indicator of measuring the community recovery in much  
181 disaster literature. In this paper, we define the concept of community recovery as the capacity of a  
182 community to recover and rebuild itself rapidly to an acceptable level of functioning and structure  
183 following the earthquake disaster occurs (Figure 3).



184

185 **Figure 3.** The the concept of community recovery

186

187 Since recovery begins when a community “repairs or develops social, political, and economic processes  
188 that enable it to function in the new context within which it finds itself” (Alesch et al. 2009). When a  
189 devastating earthquake hits a community, people are injured or killed, economy interruption begins,  
190 buildings are collapsed, and infrastructures are disrupted. The ability of the community to carry out  
191 recovery activities to minimize the immediate impacts caused by an earthquake. According to the  
192 characteristics of earthquake disaster, and in order to better interpret all aspects of recovery of the  
193 community, the community recovery in this paper is divided into four dimensions (such as population,  
194 economy, buildings, and infrastructure):

195 (1) Population recovery

196 Earthquake disasters are becoming more complex and uncertain in recent years as a result of the  
197 increasing populations living in seismic areas, which is considered to be exposed to a relatively high  
198 degree of earthquake risk. So this would increase the population affected by earthquake disasters, which  
199 in further can increase the pre-disaster extent of casualties. On the contrary, the trend of rapid  
200 urbanization could induce a future of increased post-disaster population recovery (e.g. the growth rate  
201 can be described as the population recovery in Figure 3). And benefits and restoration efforts are  
202 distributed unequally in the recovery process amongst different sub-populations according to their  
203 geographic locations, socioeconomic status, and different reconstruction programs. So in this paper, the  
204 population recovery is measured based on the index of the average growth rate of the proportion of the  
205 recovered population (e.g. the injured people were treated, the homeless people were placed) in the total  
206 affected population after an earthquake disaster.

207 (2) Economic recovery



208 Economic recovery as a promoter of recovery, refers to making the best of the internal and external  
209 resources that are available to speed recovery to return to a previous level of economic function at a  
210 given point in post-disaster time. The local economic status determines how rapidly a community can  
211 recover from such earthquake disasters (Lee 2014; Anne and Adam 2011). Continuation of trends that  
212 have been concentrating on the increased significantly economic damage (EM-DAT 2012), while  
213 increasing economic development has increased economic vulnerability to earthquake disasters, and in  
214 turn a strong and diverse regional economy have direct influence on the recovery capacity to earthquake  
215 disasters (e.g. the growth rate can be described as the economic recovery in Figure 4). So in this paper,  
216 the economic recovery is measured based on the index of the average growth rate of gross domestic  
217 product (GDP) of the affected area after an earthquake disaster.

### 218 (3) Building recovery

219 Building recovery refers to the capacity of a community for post-disaster building reconstruction and  
220 retrofitting, which are often amenable to taking on board resilient technologies, given that they have  
221 witnessed the effects of the initial threat. The resilient buildings can adjust to certain changes in  
222 conditions to counteract damaging structural reactions in response to an seismic hazard. Buildings built  
223 with adequate consideration of the earthquake effects that are appropriate for their location dominate the  
224 exposure to earthquakes. And the application of earthquake-resistant building codes can make buildings  
225 not be seismically vulnerable by helping to prevent or minimize damage to the built environment during  
226 earthquake disasters. High-level building recovery is addressed in rebuilding and retrofitting these  
227 earthquake resistant buildings (e.g. the rebuild rate can be described as the building recovery in Figure  
228 4), which helps to build-in recovery and provide enhanced safety built environment for community. So  
229 in this paper, the building recovery is measured based on the index of average rebuilding rate of the  
230 collapsed buildings of the affected area after an earthquake disaster.

### 231 (4) Infrastructure recovery

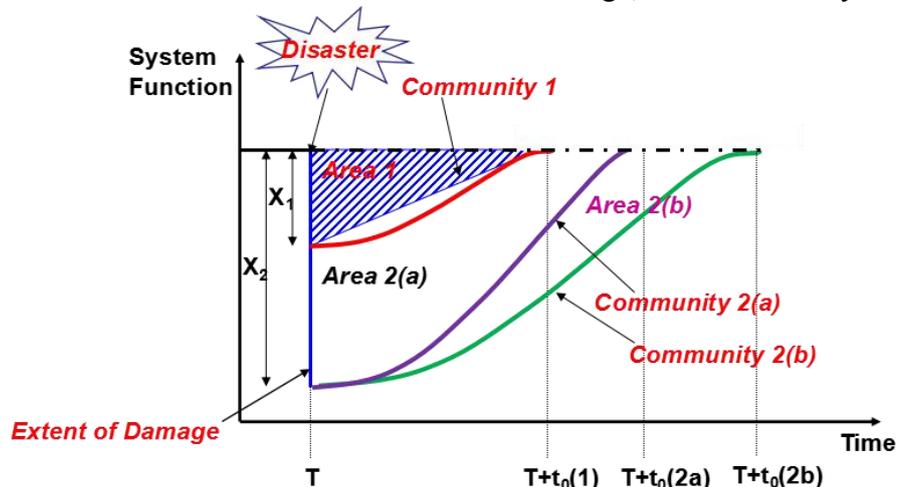
232 Infrastructure recovery is the judgment to characterize the ability of the key infrastructure which is  
233 threatened and disrupted by the earthquake disasters to recover function to the extent possible in  
234 post-disaster time. The disruption of the infrastructure system in a major earthquake disaster as the  
235 indirect economic damage of a community, suggests whether such community to be resilient, to what  
236 extent. A resilient infrastructure system must be designed to continue functioning under extreme seismic  
237 hazard conditions, which is a priority goal for earthquake-resilient communities. The capacity for  
238 critical infrastructure to quickly restore services following an earthquake determines how rapidly  
239 communities can recover from such disasters. Many researches rank the availability of electricity, roads,  
240 telecommunications, and water supply as the top four critical infrastructure or lifeline systems that need  
241 to function following an earthquake (O'Rourke 2009). A high rate of infrastructure deterioration may be  
242 due to the poor quality, the aged equipment, and the highly exposed locations, while the development of  
243 the infrastructure system is identified as a strategic priority to be essential to increase the recovery of  
244 infrastructure (e.g. the recovered rate can be described as the infrastructure recovery in Figure 4). So in



245 this paper, the infrastructure recovery is measured based on the index of the average recovered rate of  
 246 the disrupted infrastructures of the affected area after an earthquake disaster.

247  
 248 **3.3 Measuring the community recovery to earthquake**

249  
 250 The approach taken in this paper for measuring community recovery is based upon the concept of the  
 251 disaster recovery triangle. Originally introduced by Bruneau et al., and extended by Zobel, the disaster  
 252 recovery is calculated by two factors: robustness (the strength of the system, measured by its ability to  
 253 resist the impact of a disaster event, in terms of the extent of damage suffered because of the event),  
 254 and rapidity (the rate at which a system is able to recover to an acceptable level of functionality). And  
 255 the disaster recovery triangle (in the form of the area above the quality curve) represented the  
 256 relationship between these two factors. So for example, the area 1 of the triangle (calculated by the  
 257 product of the extent of damage and the time needed to recover normal operations) can be interpreted to  
 258 assess the recovery of community 1 in Figure 5. However, in our opinion, using the disaster recovery  
 259 triangle to measure the recovery is not so accurate. Firstly, robustness as one factor of this triangle,  
 260 which addressed the ability to resist the disaster, is generally considered to be the extent of damage of  
 261 the community. Secondly, the disaster recovery triangle can not be accurately used by decision makers  
 262 to compare the recovery of different communities. For example, in Figure 4, if the initial extent of  
 263 damage ( $X_2$ ) is the same, the size of the area ( $\text{Area } 2(a) < \text{Area } 2(b)$ ) can represent the degree of the  
 264 recovery ( $\text{Recovery } 2(a) > \text{Recovery } 2(b)$ ) of the communities (Community 2(a), Community 2(b)). But if the  
 265 initial extent of damage ( $X_1 < X_2$ ) is different, the size of the area ( $\text{Area } 1 < \text{Area } 2(a)$ ) can't represent the  
 266 degree of the recovery ( $\text{Recovery } 1 < \text{Recovery } 2(a)$ ) of the communities (Community 1, Community 2(a)).  
 267 The smaller size of the area 1 is due to the less extent of damage, but the recovery curve is not very high.

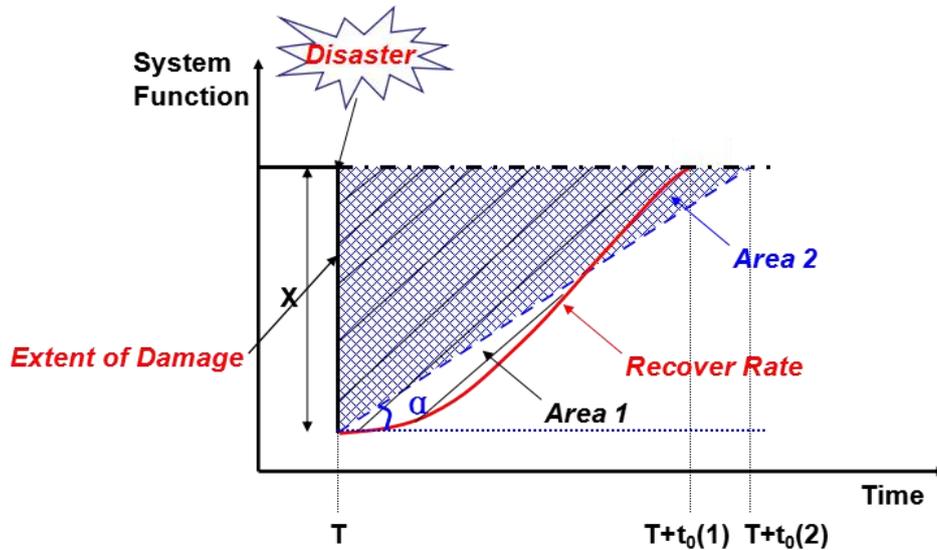


268  
 269 **Figure 4.** The concept of the recovery triangle  
 270



271 Therefore, to compare the recovery of different community, this paper extends the original concept of  
 272 recovery triangle and proposes a new recovery measurement to fit this paradigm. We use the recover  
 273 rate to measure community recovery (see in Figure 5). However, the slope of the curve is different at  
 274 each time point, and not a constant. For the purpose of facilitating the calculation, we use the average  
 275 linear rate to substitute the curve rate. We let  $X$  as the extent of damage to represent the percentage of  
 276 functionality lost, and we let  $t_0(1)$  and  $t_0(2)$  represent the time needed to recover normal operations.  
 277 Based on the principle of the equal area, the community recovery ( $R$ ) can be measured as the slope of  
 278 the average linear rate ( $\alpha$  is the angle of this line). The entire processes of calculating are as follows:

279 
$$\text{Area 1} = \text{Area 2} = \frac{X \times t_0(2)}{2} \rightarrow t_0(2) = \frac{2 \times \text{Area 1}}{X} \rightarrow R = \tan \alpha = \frac{X}{t_0(2)} \quad (1)$$



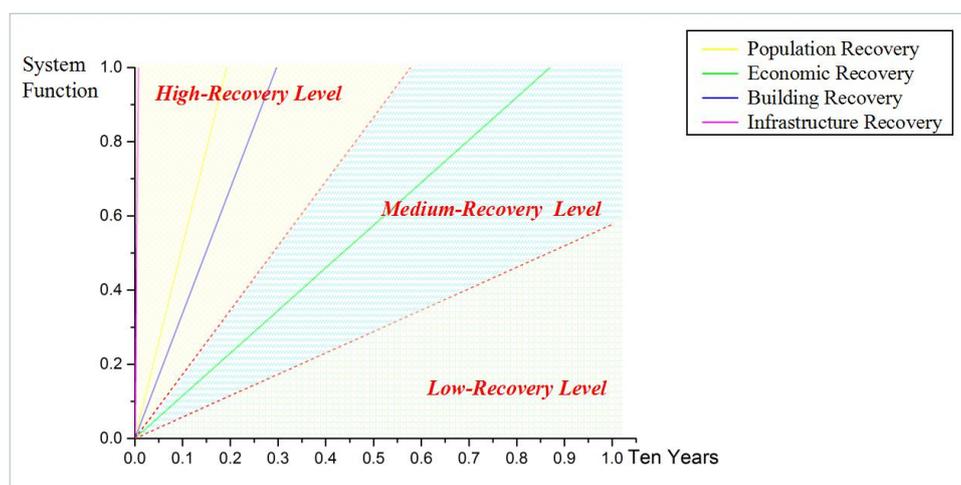
280  
 281 **Figure 5.** The measurement extended from the concept of recovery triangle  
 282

283 **4 Results**

284  
 285 In the result of our study, with the community recovery measuring approach proposed in 3.3 (formula 1),  
 286 we calculate the recovery scores of Wenchuan Community in four dimensions (population recovery,  
 287 economic recovery, building recovery and infrastructure recovery), respectively (seen in Figure 6). And  
 288 three levels (low-recovery, medium-recovery, high-recovery) with the recovery scores of  
 289  $[0-0.577](\alpha=0^\circ-30^\circ)$ ,  $[0.577-1.732](\alpha=30^\circ-60^\circ)$ ,  $[1.732-+\infty](\alpha=60^\circ-90^\circ)$  are adopted in this study to  
 290 assess the degree of recovery. The results suggest that the recovery score of economy ( $R_{\text{economy}}=1.15$ ) is  
 291 minimum, and the recovery score of infrastructure ( $R_{\text{infrastructure}}=135.19$ ) is maximum. And the economic  
 292 recovery of Wenhuan which belongs to the medium-recovery level, the population, buildings and



293 infrastructure recovery belongs to the high-recovery. Based on the definition of community recovery  
294 proposed in this paper, as the capacity of a community to recover and rebuild itself rapidly to an  
295 acceptable level of functioning and structure following the earthquake disasters occur, four key  
296 parameters need to be set: the percentage of functionality lost (X), the initial pre-disaster status, the  
297 acceptable post-disaster level and the recovery time period. The percentage of functionality lost (X) is  
298 classified into four levels, corresponded to low [0%-25%], medium [25%-50%], high [50%-75%] and  
299 extremely-high [75%-100%] level according to the extent of damage. Due to the time of the Wenchuan  
300 Earthquake occurred (May 12, 2008) and the availability of data, we set the status of these four  
301 dimensions at the beginning of 2008 as the initial pre-disaster status. And with reference to the  
302 characteristics of these four dimensions, we use the average growth rate to determine the acceptable  
303 post-disaster level in measuring population recovery, economic recovery, and use the initial pre-disaster  
304 status as the acceptable post-disaster level in measuring the building recovery and infrastructure  
305 recovery. According to National Research Council (2011), the recovery and reconstruction can be  
306 divided into 6 time periods: immediate (< 72 hours), emergency (3-7 days), the recovery focus on very  
307 Short-run (7-30 days), short-run (1-6 months), medium-run (6 months-1 year) and long-run (> 1 year).  
308 The data used to measure the four dimensions of the community recovery are all standardized (by  
309 dimensional analysis, a dimensionless quantity is a quantity without an associated physical dimension)  
310 to eliminate the impact of the different unit of each parameter.  
311



312  
313 **Figure 6.** The recovery scores of Wenchuan to earthquake in four dimensions  
314

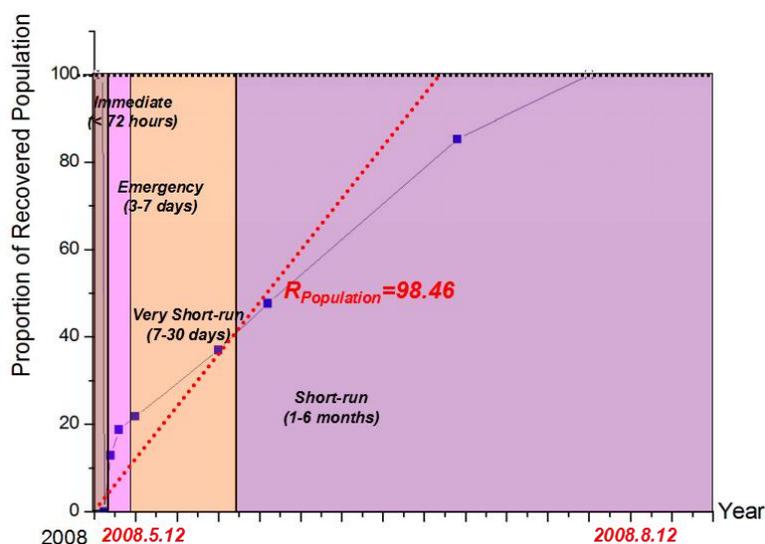
#### 315 **4.1 Analysis of the population recovery of Wenchuan**

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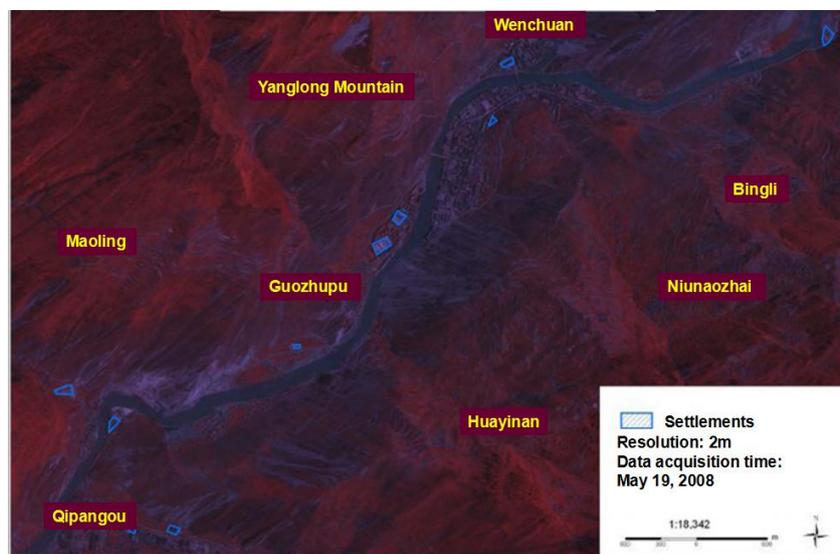
317 In the result of our study, the recovery process and score of population of Wenchuan is showed in Figure  
318 7. By setting the total affected population of Wenchuan as the initial pre-disaster status, and all of these



319 affected population return to normal life (e.g. the injured people were treated, the homeless people were  
320 placed) as the acceptable post-disaster level (black dotted line in Figure 7), the population of Wenchuan  
321 recovered in less than three months (blue line in Figure 7), and the population recovery score of  
322 Wehchuan  $R_{\text{population}}$  is 98.46, which belongs to the high-recovery level, suggesting that the population of  
323 Wenchuan completely recovered from negative effects of earthquake disaster in the short-run time  
324 period. The high-recovery level of population in the process of the post-disaster reconstruction is mainly  
325 due to the rescue principle of the Chinese Central Government that life is of top priority to make the  
326 effective emergency rescue measures. Within 24 hours after the Wenchuan Earthquake occurred, more  
327 than 20,000 soldiers of People's Liberation Army, and 70 medical teams were sent to search and rescue  
328 4,130 wounded, and evacuate more than 3 million affected people. About 1.2 million relief tents,  
329 stretchers and other equipment, more than 800 tons of military food and supplies, 6380 tons of fuel were  
330 transported to the affected area. And 10 settlement sites along the Minjiang River were built around  
331 Wenchuan Community, the remote sensing image of these settlements are showed in Figure 8. The  
332 largest resettlement site is located in Yanmen Township of Wenchuan Community, which covers an area  
333 of about 240 mu. There are more than 2,800 active board houses, which can resettle more than 10,000  
334 affected people.  
335



336  
337 **Figure 7.** The recovery process and score of population of Wenchuan  
338



339

340 **Figure 8.** The remote sensing image of the settlements of Wenchuan

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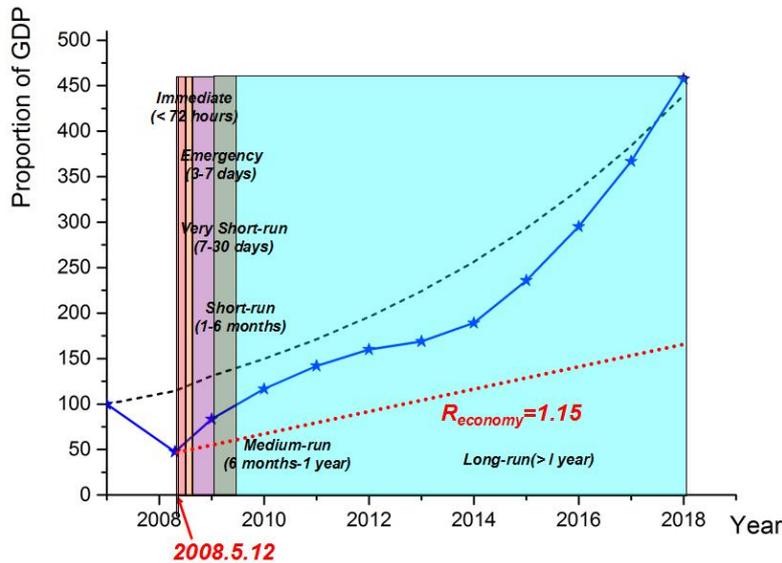
#### 342 **4.2 Analysis of the economic recovery of Wenchuan**

343

344 The economic recovery pertains to ways for post-disaster economic activities to repair and recover  
345 rapidly (Tierney and Bruneau 2007; Rose 2007). Figure 9 can be interpreted as the economic recovery  
346 process and score of Wenchuan. As set the GDP of Wenchuan at the beginning of 2008 to be the initial  
347 pre-disaster status, the GDP of Wenchuan is only 47.53% of the initial pre-disaster status after Wechuan  
348 Earthquake. During the ground shaking, nearly all property damage occurred immediately. The result  
349 can pinpointed that the economy of Wenchuan is medium extent damage after Wenchuan Earthquake.  
350 The main reasons are the rapid urbanization and the increasing economic development, which  
351 emphasized the significantly increased economic exposure and the economic effects (EMDAT 2012;  
352 World Bank and United Nations 2010). Due to the dynamic characteristics of the economic recovery, we  
353 set the average GDP growth rate of Wenchuan (14.4%) before the earthquake as the acceptable  
354 post-disaster level (black dotted line in Figure 9), and the GDP of Wenchuan have not been recovered  
355 before 2015 (blue line in Figure 9), so we use the average GDP growth rate of Wenchuan (25.2%) after  
356 the earthquake (2008-2015) to forecast the GDP of Wechuan in the future, and the economy of  
357 Wenchuan will recover in 2018 as the long-run time period. The economic recovery score of Wehchuan  
358  $R_{\text{economy}}$  is 1.15, which belongs to the medium-recovery level, and is least recovery of these all four  
359 dimensions. Some economic characteristics (a lack of diversified manufacturing and services, a  
360 dependence on specialized entitlements, fragile industrial production chains, low-income settlements,  
361 limited access to economic resources) of Wenchuan contribute to such a long recovery process of the  
362 economy. Aiming to improve the economic recovery to earthquake, built-in a strong and diverse



363 regional economy will be the most effective scenario. The resilient-economy is not merely make the  
 364 best of the resources available to return to a previous level of economic function rapidly after the  
 365 earthquake disasters, but also to increase the capacity of the economic support mechanisms in order to  
 366 keep the built environment operational and adaptable with the support of post-disaster recovery  
 367 activities (including contextualizing local economic conditions and prioritizing development projects).  
 368



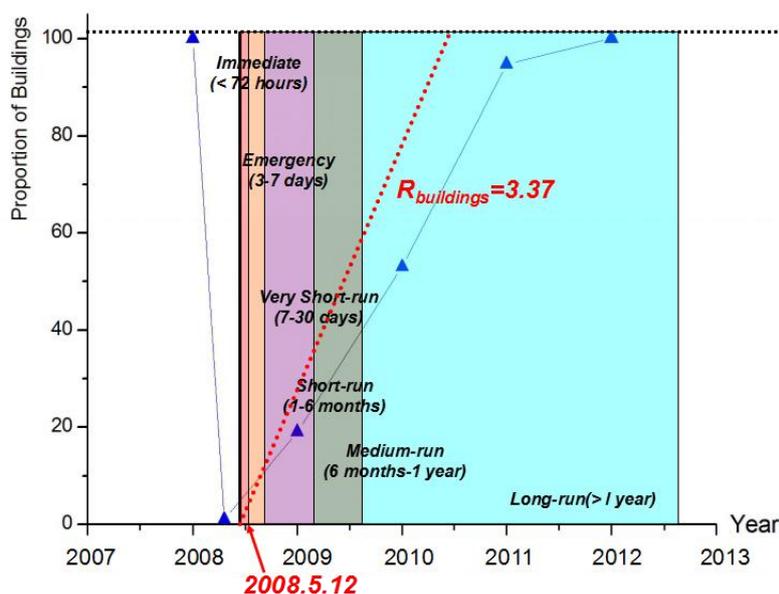
369  
 370 **Figure 9.** The recovery process and score of economy of Wenchuan  
 371

372 **4.3 Analysis of the building recovery of Wenchuan**

373  
 374 Buildings built without adequate consideration of the earthquake effects weaken the community  
 375 recovery to earthquake. The resulting illustrates that the building recovery process and score of  
 376 Wenchuan in Figure 10. The total amount of buildings of Wenchuan at the beginning of 2008 can be set  
 377 as the initial pre-disaster status, and most of these buildings are collapsed in Wenchuan Earthquake,  
 378 which can be interpreted that the extremely-high extent of damage of buildings with the weakest  
 379 capacity to resist Wenchuan Earthquake. The low-quality building stock and lack of the  
 380 earthquake-resistant building codes are the directly and important influencing factor of the  
 381 extremely-high extent of damage (Jie and Shaoyu 2015). By setting the initial pre-disaster status of  
 382 buildings as the acceptable post-disaster level (black dotted line in Figure 10), the reconstruction  
 383 process of buildings of Wenchuan is completed in 2012 as the long-run time period (blue line in Figure  
 384 10), and the recovery score of buildings  $R_{buildings}$  is 3.37, which belongs to the high-recovery level.  
 385 According to the guidelines of the central government and heavy financial support (\$ 3.5 billion), the  
 386 local government is almost equivalent to build a “new” Wenchuan Community just over three years,



387 which highlights the extremely high building recovery of Wenchuan. In Wenchuan Earthquake, the poor  
388 quality of building stock is the key factor responsible for the buildings to be seismically vulnerable. The  
389 new buildings are designed and built with the application of current high seismic design standards,  
390 which can support recovery by helping the built environment prevent or minimize damage during  
391 earthquake disasters.  
392



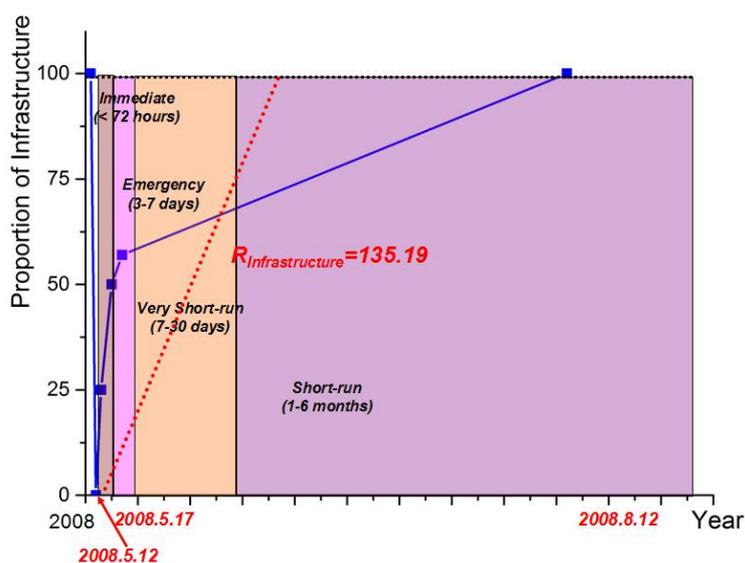
393  
394 **Figure 10.** The recovery process and score of buildings of Wenchuan

#### 395 396 **4.4 Analysis of the infrastructure recovery of Wenchuan**

397  
398 From Figure 11, we can conclude that infrastructure recovery process and score of Wenchuan. We set  
399 the total amount of infrastructure of Wenchuan at the beginning of 2008 to be the initial pre-disaster  
400 status, and all of the top four critical infrastructure systems (including electricity, roads,  
401 telecommunications, and water supply) were disrupted and destroyed in the immediate aftermath of  
402 Wenchuan Earthquake, which belonged to the extremely-high extent of damage. And the result shows  
403 that the critical infrastructure systems are the most serious damage to this earthquake of all these four  
404 dimensions, in large part due to the inadequate and aging infrastructure systems (Kathleen et al. 2010;  
405 Whitman et al. 2013). As the initial pre-disaster status of critical infrastructure systems to be the  
406 acceptable post-disaster level (black dotted line in Figure 11), the emergency critical infrastructure and  
407 services was all restored just in three month (blue line in Figure 11): the emergency water supply and  
408 telecommunications were recovered in the immediate time period, the emergency electricity in the  
409 emergency time period, and the emergency roads in the short-run time period. The recovery score of



410 infrastructure  $R_{\text{infrastructure}}$  is 135.19, which belongs to the high-recovery level, and is expected to be most  
411 recovery compared with other three dimensions. The reliable and resilient infrastructure system is a  
412 priority goal for earthquake-resilient communities, which is designed to continue functioning and  
413 recover quickly within a shortly time period during and after earthquake disasters. Many researches  
414 addressed the importance of enhancing defence infrastructure design to optimize mitigation, disaster  
415 planning, and response and recovery efforts, which played a vital role in improving the community  
416 recovery to earthquake disasters (Chang et al. 2011; National Infrastructure Advisory Council 2010).  
417



418  
419 **Figure 11.** The recovery process and score of infrastructure of Wenchuan

## 420 421 **5 Discussion**

422  
423 The overall results of our study highlight the community recovery process which is considered to be an  
424 uncertain, complex, conflict-laden, multidimensional and nonlinear process. The extent of damage, land  
425 use, building codes, available recovery resources, the broader structural changes, social disparities,  
426 prevailing pre-disaster trends, decision making, and organization capacity are factors all directly related  
427 to the rate of recovery. “Both long-term trends and an urgent desire to return to normal, exert an  
428 important influence on the reconstruction processes” (Haas et al. 1977). And higher recovery scores  
429 mean higher recovery levels and lower recovery scores mean lower recovery levels. The population,  
430 building and infrastructure dimensions have high-recovery levels, particularly the infrastructure  
431 recovery is highest. However, the economic recovery score is poor which tends to have lowest recovery  
432 level in contrast to other three dimensions and needs more consideration in the near future. While the  
433 external resources will be not sufficient to meet the needs of disaster-affected areas throughout the



434 recovery process of Wenchuan. The decision-makers of local government must learn how to address the  
435 challenges of disaster response and recovery at the community level, how to leverage community  
436 capacity from the earliest stages of disaster response, and to use external resources to bolster and  
437 supplement local capacities. In the rebuilding and recovery process of Wenchuan, the community has  
438 received a large number of external resources from Chinese Central Government and other provinces  
439 and cities to enhance community recovery to earthquake, including incorporating long-term recovery  
440 goals into disaster response and pre-disaster planning, expanding the knowledge base by incorporating  
441 research into recovery and harnessing lessons learned from international experiences, and developing an  
442 outcome-oriented approach to disaster recovery planning, which makes Wenchuan exhibit a high  
443 recovery and the reconstructed community be more resilient to the next earthquake (Figure 2). The  
444 rebuilding and recovery process of Wenchuan supports perspective of recent research that returning to  
445 pre-disaster levels does not necessarily mean building back for the better (Ganapati et al. 2012). From a  
446 dynamic and development oriented viewpoint, there is no exact returning to “pre-disaster” conditions  
447 once a disaster has happened. Regardless of whether the disaster has stimulated positive change or has  
448 hastened the development trend of a community, the community will never be exactly the same as it was  
449 before the disaster occurred (Greene 2006). Furthermore, recovering to the pre-disaster situation implies  
450 restoring the pre-event inequality, exploitation and vulnerability as well (Oliver-Smith 1990). The idea  
451 of “build back better” (Lyons et al. 2010) or “recover better” should be adopted, especially in the case  
452 of developing countries where “build back better” is indeed possible (Mulligan and Nadarajah 2012) if  
453 the ideas of development, vulnerability and risk reduction are integrated into recovery activities (Shaw  
454 2006), with the physical and social planning integrated with one another to address local needs in  
455 culturally appropriate ways (Mulligan et al. 2012). And the post-disaster recovery activities provide an  
456 opportunity to learn constantly and grow stronger from the previous natural disasters, which can be used  
457 to support the proactive mitigation strategies-to rebuild stronger, change land-use patterns, and reduce  
458 development in hazardous areas, and also to reshape those negative social, political, and economic  
459 conditions that existed pre-event (NHC 2006; Reddy 2000; Olshansky 2006; Birkland 2006). Mitigation  
460 can be a powerful tool for anticipating the unknown, for reducing losses, and for facilitating recovery  
461 following a hazard impact. Mitigation strategies, for instance, may reduce potential losses by steering  
462 development to the less hazardous areas of a proposed community or by modifying building design to  
463 reduce potential losses (Burby et al. 1999). They are also useful in preparing communities to deal with  
464 post-disaster scenarios by identifying actions that should be done prior to and immediately following  
465 events to help guide recovery processes and to reduce future losses.

## 466 467 **6 Conclusion** 468

469 During the past few years a range of high profile, complex and uncertain earthquake disasters occurred  
470 in China, such as the Wenchuan earthquake (May 12, 2008), the Yushu earthquake (April 14, 2010), and



471 the Ya'an earthquake (April 20, 2013), which have stimulated an escalation in theoretical developments  
472 concerning the way to be quickly recovered from the earthquake damage. An examination of the current  
473 and expected capabilities of communities to confront a potential shock yields understanding the  
474 effective risk reduction strategies from another perspective, that build-in the resilient communities are  
475 one of the key goals for emergency managers and decision makers to improve the local earthquake  
476 prevention and response, and prioritize efforts that need to be undertaken in order to maximize the  
477 effectiveness of various recovery measures. Effects to address these needs have focused upon new  
478 approaches for analyzing the concept of community recovery and proposing community recovery  
479 measurement methodologies. Thus, our research summarized some of the key themes emerging from  
480 much of the current literature that defined a range of concepts of recovery, and proposed a new  
481 perspective to identify the inherent characteristics of community recovery as the capacity to recover and  
482 rebuild itself rapidly to an acceptable level of functioning and structure following the earthquake  
483 disasters occur. By extending the recovery triangle, and on the basis of the principle of the equal area,  
484 this paper developed a quantitative approach for measuring and characterizing the community recovery  
485 to earthquake of Wenchuan in four dimensions (population, economy, building, and infrastructure). The  
486 results suggest that most dimensions of Wenchuan represented the characteristics high recovery, while  
487 infrastructure recovery is highest, and the economic recovery is lowest. The perspectives contributed to  
488 understand the different recovery levels of different dimensions of Wenchuan for guiding planning of  
489 appropriate response and reconstruction policies to enhance the community recovery to earthquake, and  
490 emphasizing that the community recovery is strongly influenced by the decision making of local  
491 governments. The measuring approach presents in this paper is intended to provide a quantitative  
492 foundation for the future research of community recovery. It would be worthwhile conducting further  
493 study to learn from the past recovery and rebuilding process for the development of appropriate  
494 techniques of designing new mathematical models to measure and characterize community recovery,  
495 which can help local government and policy makers develop the scientific and effective disaster  
496 recovery plan for the next devastating earthquake disaster.

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499  
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