

## Point-by-Point response / reviewer # 1

Yasser Hamdi

### General comments

Comments	Responses to comments
<p>The authors corrected a number of syntax errors in the new version, and answered to several questions.</p> <p>However, my main concerns remain, and are even amplified. To put it in a nutshell, after reading both the manuscript and response to reviewers, I realize that I am not able to answer this simple question: what is really the benefit of this paper for the scientific community?</p> <p>I think that this work would be helpful (and thus could be published) if at least one of the three following conditions was satisfied:</p>	<p>A 1<sup>st</sup> paper was published to show the usefulness of historical information (on sea levels, storm surges and coastal flooding) in the frequency estimations of extreme storm surges in the La Rochelle region (Hamdi et al., 2015) and it was concluded that a more exhaustive searching for historical information with the help of historians is necessary. We have therefore started an innovative project to collect the historical information about all the extreme events occurred in the Dunkirk area. A great deal of qualitative and quantitative information about sea levels, storm surges and coastal flooding events in Dunkirk were gathered by historians. Lastly, despite the difficulties of validation and quality control, we obtained the old data presented in the paper and we integrated them in the statistical modeling.</p> <p>The first results of this work are presented in this article. The very important question of the completeness of the information is almost solved in the present work; the confidence intervals have been reduced significantly. Just look at how the fit has improved from one line to another in Figure 4. What is new (apart from the historical information collect) compared to the previous work is the robustness of the results and the best quality of the fit. We are more confident in the estimations. For us (in the nuclear safety field), this is of great importance because we are improving the estimate of the risk associated to coastal flooding.</p> <p><i>The reviewer: What is really the benefit of this paper for the scientific community?</i></p> <p>The present paper has two key benefits for the scientific community.</p> <ol style="list-style-type: none"> <li>1- Engineers who must size coastal works in the Dunkirk area, they now have a much better idea about extreme levels to use. We know now for example that the 1953 exceptional storm surge (considered by many scientists and by the media as the one never seen in the region) occurs once every 200 years (in average), according to our calculations. <b>The data reconstructed using the historical information as well as the results of the statistical analysis presented in our paper are currently used by scientists working in the nuclear safety field in France.</b></li> <li>2- To show that a great deal of information about very old coastal flooding hazards were found in the archives (and where are they!). And also to be aware of the importance of the new data and its influence on extreme levels assessed with statistical methods.</li> </ol>
<p>1-if the method was a first of its kind. Unfortunately, using historical information over long periods and showing that outliers are not exceptional has already be shown previously (e.g. Bulteau et al 2015, Hamdi et al 2015).</p>	<p><i>The reviewer: if the method was a first of its kind.</i></p> <ul style="list-style-type: none"> <li>• The collect of historical information about sea levels, storm surges and coastal flooding events in Dunkirk is a first of its kind and as mentioned above, it is of great importance for scientists working for the safety of the Gravelines nuclear power plant for instance.</li> <li>• The frequency analysis performed in this paper is a first of its kind because a particular work was performed to have some continuity in the data ensuring a better completeness of the added information. The frequency analysis in this paper is then performed without making an assumption about exhaustiveness.</li> </ul>

2-if the authors were really addressing " the technical feasibility" of using long-term historical information to improve the statistical assessment of extreme water level return periods, as they suggest in the abstract. Unfortunately, as I already mentioned in the first review, nothing is said about some of the main challenges for this kind of approach: [how to deal with old data uncertainties?](#) [How to deal with the evolution of bathymetry, topography, land cover of the studied area?](#) To what extent can we be sure that events which occurred hundreds of years ago are representative of the actual risk level? No new information/discussion is brought on these topics in this paper.

*The reviewer: if the authors were really addressing " the technical feasibility" of using long-term historical information to improve the statistical assessment of extreme water level return periods, as they suggest in the abstract.*

"technical feasibility" (the term used in the abstract), refers to what was really a technically challenging:

- Find the right archive, cross with other sources (to find the same information elsewhere and if the event is described in the same way or not). It is then necessary to quantify the information (estimate the value of the storm surge from qualitative information and quantitative information about other physical quantities that can lead to the estimation of storm surges
- There are several types of historical data (**range**, exact value, **lower bound**, **threshold of perception**). Transform the historical information to these different types is not always easy...
- Ensuring the completeness of the information is a task that requires a remarkable effort especially by the historian.

*The reviewer: How to deal with old data uncertainties?* A review of the literature on HI and the role it can play in a frequency analysis has been made by several authors (e.g., Stedinger and Baker, 1987 - Salas et al., 1994 - Ouarda et al., 1998).

Old data are often imprecise, and we should consider their inaccuracy in the analysis (by using a threshold of perception, range and lower bound data, etc). However, as it was shown in the literature, even with important uncertainty, the use of old data improves significantly the frequency estimations of extreme and rare events and it is a viable mean to decrease the influence of outliers by increasing their representativeness in the sample (Hosking and Wallis, 1986a - Wang, 1990 - Salas et al., 1994 - Payraastre et al., 2011).

Our objectives have been defined based on this point which seems to be a key element for the understanding of our work. The purpose of the paper was to collect the good information and to quantify it in order to obtain approximate values of the variable of interest (storm surge), without seeking accurate magnitudes. However, there are other inputs that must be used with reasonable accuracy: the date (the year) of occurrence of the events as well as the systematic and historical durations, the POT threshold as well as the threshold of perception, etc.). The main goal of our work is to examine the potential gain in estimation accuracy with the use of old data even if it is uncertain.

This was explained in §5 (section: Introduction):

"Because HI is often imprecise, its inaccuracy should be considered in the analysis. Nevertheless, the influence of an outlier can be decreased by increasing its representativity in the sample when using the HI, knowing that its uncertainty is sometimes considerable (e.g. Payraastre et al, 2011; Hamdi et al, 2015)".

For more utility and clarity, authors propose to replace this § by the following one:

"Data reconstructed using historical information are often imprecise, and we should consider their inaccuracy in the analysis (by using a threshold of perception, range and lower bound data, etc). However, As it was shown in the literature, even with important uncertainty, the use of HI is a viable mean to decrease the influence of outliers by increasing their representativeness in the sample (Hosking and Wallis, 1986a - Stedinger and Baker, 1987 - Wang, 1990 - Salas et al., 1994 - Ouarda et al., 1998 - Payraastre et al., 2011).

The reference (Wang, Q.J., 1990) has been added in the references section.

	<p><i>The reviewer: To what extent can we be sure that events which occurred hundreds of years ago are representative of the actual risk level? How to deal with the evolution of bathymetry, topography, land cover of the studied area?</i></p> <p>Some tests and analyses were conducted to compare old and new data, old and recent conditions and to identify what could impact the variable of interest throughout the historical period.</p> <p>For example, the reconstructed skew surges were compared to the systematic ones (recorded ones). All historic surges appear to be almost at least as high as the highest systematic surge (almost equal to 1.30 m). The reconstructed skew surge heights obtained from the tide gauge data, the quantified surges from the literature and the reconstructed values from this study were also compared. Skew surges were plotted on the same graphic, as the hypothesis is made, that water levels measured at the tide gauge and the different locations of Dunkirk harbor are comparable.</p> <p>On the other hand, we cannot conclude on the modification on harmonic constituents for the 19th century or the early 20th century because there are no high-frequency tide gauge observations in Dunkirk harbor before 1956. So we do not know to what extent work carried out on the channel (digging ...) and its modification and artificialization may have impacted the local hydrodynamics throughout time. Still, historic tide gauge data from Dunkirk is currently being digitalized and reconstructed at the French Oceanographic Service (SHOM - Service Hydrographique et Océanographique de la Marine) and University of Cote d'Opale: (Latapy et al., 2017) found approximately 10 years of high frequency data between 1865 and 1875. Once this data reconstructed, a detailed analysis of harmonic constituents will be performed, if the data quality is good enough.</p> <p>Further It is worth noting that the current tide gauge is situated at the entrance of the harbor. The predicted water levels may differ within the inner harbor area, where the reconstructed surges were estimated. Hydrodynamic modelling could help estimate the difference between water levels at the entrance of the harbor area (Bulteau et al., 2015).</p>
<p>3-if the results were considered as "final", and could thus be used as such for coastal management in the area of interest. I thought it was the case when I first read this paper. But the authors confirmed that more historical data have been collected and were not used here because it was the "subject of a second article".</p>	<p><i>The reviewer: "... second article"</i></p> <p>The papers don't have the same objectives ... The submission of the 2<sup>nd</sup> paper is still in progress and its content is still confidential...</p> <p>The text talking about a future paper (in lines 445-446 of the 1st submitted version of the paper) were changed in the last version (Appendix 2 - §2) to say that work is ongoing.</p> <p>" A 1740 sketch showing a profile of the Dunkirk harbor dock is presented in the lower panel of Fig. 3 for illustrative purposes only. The use of these plans and sketches in the estimation of some historical storm surges is ongoing."</p> <p>The exploitation of these archives is very difficult. The additional information that will be presented from the second article is certainly important but I confirm that it adds nothing new and nothing more to our frequency estimates that are made in this article. As it is concluded in the present paper, we have achieved some stability and robustness in the results as the addition of new data will not have a significant impact.</p>

Authors propose to add a summary of all these points at the end of the same section “Data quality control”. The following § was already written in section “Data Quality Control” of the current version of the article:

“ Nevertheless, all types of data require quality control and need to be corrected and homogenized if necessary to ensure that the data are reflecting real and natural variations of the studied phenomena rather than the influence of other factors. This is particularly the case for historical data that have been taken in different site conditions and have not been taken using modern standards and techniques (Brázdil et al., 2010).”

It is replaced by the following two paragraphs:

1- at the end of section 3.3 Data quality control:

“ Some other data quality related issues must be dealt with especially when using old data and when merge it with recent ones in a same inference: how to deal with old data uncertainties? How to deal with the evolution of some physiographic parameters around the site of interest (bathymetry, topography, land cover, etc.)? To what extent can we be sure that events which occurred hundreds of years ago are representative of the actual risk level?

All types of data require indeed quality control and need to be corrected and homogenized if necessary to ensure that they are reflecting real and natural variations of the studied phenomena rather than the influence of other factors. This is particularly the case for historical data that have been taken in different site conditions and have not been taken using modern standards and techniques (Brázdil et al., 2010). And finally, as mentioned in the introductory section, the use of old data improves significantly the frequency estimation of extreme events even they are inaccurate. The objective of the present paper is then to collect the information and to quantify it in order to obtain approximate values of the variable of interest, without seeking accurate reconstructions.”

2- at the end of section 3.4 The historical surge dataset :

“It was concluded that all historic surges appear to be almost at least as high as the highest systematic surge. In response to the specific question: what could impact the variable of interest throughout the whole historical period? old and recent data and some physiographic conditions were then compared. For example, the reconstructed skew surges were compared to the systematic ones. The reconstructed skew surge heights obtained from the tide gauge data, the quantified surges from the literature and the reconstructed values from this study were also compared, as the hypothesis is made, that water levels measured at the tide gauge and the different locations of Dunkirk harbor are comparable. At this point we’re not able to conclude on the evolution of the tides throughout the centuries. Historic tide gauge data from cities in the north of France is currently being digitized and reconstructed at the French Oceanographic Service (SHOM - Service Hydrographique et Océanographique de la Marine) and University of Cote d’Opale (Latapy et al., 2017). Further, it is worth noting that the current tide gauge is situated at the entrance of the harbor. The predicted water levels may differ within the inner harbor area, where the reconstructed surges were estimated. Hydrodynamic modelling could help estimate the difference between water levels at the entrance of the harbor area (Bulteau et al., 2015).”

The reference (Latapy et al., 2017) has been added in the references section.

Please see the the track changes version of the paper.

## Specific Comments

Comment	Response to reviewer
Specific comments -Several syntax errors remain (e.g. "marine flooding").	<ul style="list-style-type: none"><li>• According to us, "marine flooding" is not a syntax error.</li><li>• A major revision of English for errors (syntax, grammar, spelling and vocabulary) was made by professionals (Technicis Translations).</li><li>• Some syntax errors have been corrected: highwater – high water; hightide: high tide; marine floods: coastal floods.</li></ul>
-it is unfortunate that the study justifying the use of the Dunkirk site is not published. The authors might at least indicate which agency made the study, and give some details on how it was done.	The study was made by the Institut de Radioprotection et de Sureté Nucléaire, in France (in collaboration with French historians). It is unfortunately still confidential.

## Point-by-Point response / reviewer # 2

Yasser Hamdi

### General comments

Comments	Responses to comments
line 296 As depicted in Fig. 2 (to the right) -> (to the left)	Ok.
In the discussion, some comments will be changed if you use a different cumulative empirical distribution estimator (Hazen in place of Weibull for example). Indicate what type of estimator you used.	Weibull plotting position rule was used herein ( $p_{emp} = i/(n+1)$ ). <ul style="list-style-type: none"><li>• A sentence was added to the text (last § of section 4.3) ;</li><li>• Two references were also added to the references list.</li></ul>
line 499 "The RLs of interest had increased by only 10 to 20 cm. This is an important element of robustness. Indeed, adding or removing one or more extreme values from the dataset does not significantly affect the desired RLs." This comment is not general but specific to this database.	This is not general. Indeed, because of the exhaustiveness of the information used in the inference, any information you add or you remove from the dataset will not influence significantly the theoretical distribution. So it is specific to this database.
line 504 "The relative widths of CIs with no archival HI included are 1.5 times larger than those given by the full model. This means that the user of the developed model is more confident in the estimations when using the additional HI collected in the archives." Could you precise the method that you use to calculate confidence intervals.	The delta method. It was mentioned in the last sentence of the 1st § - section 5 (Results & Discussion): “ the variance of the RL estimates are calculated with the delta method”

# Analysis of the risk associated to coastal flooding hazards: A new historical extreme storm surges dataset for Dunkirk, France

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## Abstract

This paper aims to demonstrate the technical feasibility of a historical study devoted to French Nuclear Power Plants (NPPs) which can be prone to extreme coastal flooding events. It has been shown in the literature that the use of Historical Information (HI) can significantly improve the probabilistic and statistical modeling of extreme events. There is a significant lack of historical data on coastal flooding (storms and storm surges) compared to river flooding events. To address this data scarcity and to improve the estimation of the risk associated with coastal flooding hazards, a dataset of historical storms and storm surges that hit the Nord-Pas-de-Calais region during the past five centuries was created from archival sources, examined and used in a frequency analysis (FA) in order to assess its impact on frequency estimations. This work on the Dunkirk site (representative of the Gravelines NPP) is a continuation of previous work performed on the La Rochelle site in France. Indeed, the frequency model (FM) used in the present paper had some success in the field of coastal hazards and it has been applied in previous studies to surge datasets to prevent coastal flooding in the La Rochelle region in France.

In a first step, only information collected from the literature (published reports, journal papers and PhD theses) is considered. Although this first historical dataset has extended the gauged record back in time to 1897, serious questions related to the exhaustiveness of the information and about the validity of the developed FM have remained unanswered. Additional qualitative and quantitative HI was extracted in a second step from many older archival sources. This work has led to the construction of storms and coastal flooding sheets summarizing key data on each identified event. The quality control and the cross-validation of the collected information, which have been carried out systematically, indicate that it is valid and complete as regards extreme storms and storm surges. Most of the HI collected is in good agreement with other archival sources and documentary climate reconstructions. The probabilistic and statistical analysis of a dataset containing an exceptional observation considered as an outlier (i.e. the 1953 storm surge) is significantly improved when the additional HI collected in both literature and archives is used. As the historical data tend to be extreme, the right tail of the distribution has been reinforced and the 1953 “exceptional” event does not appear as an outlier any more. This new dataset provides a valuable source of information on storm surges for future characterization of coastal hazards.

**Key-words:** Coastal storms; Storm surges; Coastal flooding; Historical information; Frequency analysis;

## 1 Introduction

As the coastal zone of the Nord-Pas-de-Calais region in Northern France is densely populated, coastal flooding represents a natural hazard threatening the coastal populations and facilities in several areas along the shore. The Gravelines Nuclear Power Plant (NPP) is one of those coastal facilities. It is located near the community of Gravelines in Northern France, approximately 20 km from Dunkirk and Calais. The Gravelines NPP is the sixth largest nuclear power station in the world, the second largest in Europe and the largest in Western Europe.

Extreme weather conditions could induce strong surges that could cause coastal flooding. The 1953 North Sea flood was a major flood caused by a heavy storm that occurred on the night of Saturday, 31 January and morning of Sunday, 1 February. The floods struck many European countries and France had not been the exception. This was particularly the case along the northern coast of France, from Dunkirk to the Belgium border. Indeed, it has been shown in an unpublished study that Dunkirk is fairly representative of the Gravelines NPP in terms of extreme sea levels. In addition, the harbor of Dunkirk is an important military base containing a lot of archives. The site of Dunkirk has therefore been selected as site of interest in the present paper (Fig. 1). An old map of Dunkirk city is presented in the right panel of Fig. 1 (we shall return to this map at a later stage in this paper). It is a common belief today that the Dunkirk region is vulnerable and subject to several climate risks (e.g. Maspataud et al. 2013). More severe coastal flooding events such as

59 the November 2007 North Sea and the March 2008 Atlantic storms could have had much more severe  
60 consequences especially if they had occurred at high tide (Maspataud et al. 2013; Idier et al. 2012). It is  
61 important for us to take into account the return periods of such events (especially in the current context of  
62 global change and projected sea-level rise) in order to manage and reduce coastal hazards, implement risk  
63 prevention policies and enhance and strengthen coastal defence against coastal flooding.

64 The storm surge frequency analysis (FA) represents a key step in the evaluation of the risk associated  
65 with coastal hazards. The frequency estimation of extreme events (induced by natural hazards) using  
66 probability functions has been extensively studied for more than a century (e.g. Gumbel, 1935; Chow, 1953;  
67 Dalrymple, 1960; Hosking and Wallis, 1986, 1993, 1997, Hamdi et al. 2014, 2015). We generally need to  
68 estimate the risk associated with an extreme event in a given return period. Most extreme value models are  
69 based on available at-site recorded observations only. A common problem in FA and estimation of the risk  
70 associated with extreme events is the estimation from a relatively short gauged record of the flood  
71 corresponding to 100-1000 year return periods. The problem is even more complicated when this short  
72 record contains an outlier (an observation much higher than any others in the dataset). This is the case with  
73 several sea-level time series in France and characterizes the Dunkirk surge time series as well.

74 The 1953 storm surge was considered as an outlier in our previous work (Hamdi et al, 2014) and in  
75 previous research (e.g. Bardet et al, 2011). Indeed, although the Gravelines NPP is designed to sustain very  
76 low probabilities of failure and despite the fact that no damage was reported at the French NPPs, the 1953  
77 coastal flooding had shown that the extreme sea levels estimated with the current statistical approaches  
78 could be underestimated. It seems that the local FA is not really suitable for a relatively short dataset  
79 containing an outlier.

80 Indeed, a poor estimation of the distribution parameters may be related to the presence of an outlier in  
81 the sample (Hamdi et al, 2015), and must be properly addressed in the FA. One would expect that one or  
82 more additional extreme events in a long period (500 years for instance) would, if properly included in the  
83 frequency model (FM), improve the estimation of a quantile at the given high-return period. The use of other  
84 sources of information with more appropriate FMs is required in the frequency estimation of extremes. Worth  
85 noting is that this recommendation is not new and dates back several years. The value of using other  
86 sources of data in the FA of extreme events has been recognized by several authors (e.g. Hosking and  
87 Wallis, 1986 and Stedinger and Cohn, 1986). By other sources of information we refer here to events that  
88 occurred not only before the systematic period (gauging period) but also during gaps of the recorded time  
89 series. Water marks left by extreme floods, damage reports and newspapers are reliable sources of  
90 Historical Information (HI). It can also be found in the literature, archives, unpublished written records, etc. It  
91 may also arise from verbal communications from the general public. Paleoflood and dendrohydrology  
92 records (the analysis and application of tree-ring records) can be useful as well. A literature review on the  
93 use of HI in flood FAs with an inventory of methods for its modeling has been published by Ouarda et al,  
94 (1998). Attempts to evaluate the usefulness of HI for the frequency estimation of extreme events are  
95 numerous in the literature (e.g. Guo and Cunnane, 1991; Ouarda et al, 1998; Gaal et al, 2010; Payrastre et  
96 al, 2011; Hamdi, 2011; Hamdi et al, 2015). Hosking and Wallis (1986) have assessed the value of HI using  
97 simulated flood series and historical events generated from an extreme value distribution and quantiles are  
98 estimated by the maximum likelihood method with and without the historical event. The accuracy of the  
99 quantile estimates was then assessed and it was concluded that HI is of great value provided either that the  
100 flood frequency distribution has at least three unknown parameters or that gauged records are short. It was  
101 also stated that the inclusion of HI is unlikely to be useful in practice when a large number of sites are used  
102 in a regional context. Data reconstructed using HI are often imprecise, and we should consider their inaccuracy in the  
103 analysis (by using thresholds of perception, range and lower bound data, etc). However, As it was shown in the literature,  
104 even with important uncertainty, the use of HI is a viable mean to decrease the influence of outliers by increasing their  
105 representativeness in the sample (Hosking and Wallis, 1986 - Wang, 1990 - Salas et al., 1994 - Payrastre et al., 2011) .  
106 A frequency estimation of extreme storm surges based on the use of HI has rarely been studied explicitly in  
107 the literature (Bulteau et al, 2014, Hamdi et al, 2015, 2016) despite its significant impact on social and  
108 economic activities and on NPPs' safety. Bulteau et al. (2014) have estimated extreme sea-levels by  
109 applying a Bayesian model to the La Rochelle site in France. This same site was used as a case study by  
110 Hamdi et al, (2015) to characterize the coastal flooding hazard. The use of a skew surge series containing an  
111 outlier in local frequency estimation is limited in the literature as well. For convenience, we would like to  
112 recall here the definition of a skew surge: It is the difference between the maximum observed water level and  
113 the maximum predicted tidal level regardless of their timing during the tidal cycle (a tidal cycle contains one  
114 skew surge).

115 It is often possible to augment the storm surges record with those that occurred before and after gauging  
116 began. Before embarking on a thorough and exhaustive research of any HI related to coastal flooding that hit  
117 the area of interest, potential sources of historical coastal flooding data for the French coast (Atlantic and  
118 English Channel) and more specifically for the Charente-Maritime region were identified in the literature (e.g.  
119 Garnier and Surville, 2010). The HI collected has been very helpful in the estimation of extreme surges at La  
120 Rochelle, which was heavily affected by the storm Xynthia in 2010 that generated a water level considered

121 so far as an outlier (Hamdi et al, 2015). Indeed, these results for the La Rochelle site have encouraged us to  
122 build a more complete historical database covering all the extreme coastal flooding that occurred over the  
123 past five centuries on the entire French coast (Atlantic and English Channel). This database has been  
124 completed and is currently the subject of a working group involving several French organizations for  
125 maintenance. However, only the historical storm surges that hit the Nord-Pas-de-Calais region during this  
126 period are presented herein.

127 The main objective of the present work is the collection of HI on storms and storm surges that occurred in  
128 the last five centuries and to examine its impact on the frequency estimation of extreme storm surges. The  
129 paper is organized as follows: HI collected in the literature and its impact on the FA results is presented in  
130 sections 2 and 3. The fourth section presents the HI recovered from archival sources, the quality control  
131 thereof, and validation. In section 5, the FM is applied using both literature and archival sources. The results  
132 are discussed in the same section before concluding and presenting some perspectives in section 6.

## 133 **2 Use of HI to improve the frequency estimation of extreme storm surges**

134 The systematic storm surge series is obtained from the corrected observations and predicted tide levels. The  
135 tide gauge data is managed by the French Oceanographic Service (SHOM - Service Hydrographique et  
136 Océanographique de la Marine) and measurements are available since 1956. The R package  
137 TideHarmonics (Stephenson, 2015) is used to calculate the tidal predictions. In order to remove the effect of  
138 sea level rise, the initial mean sea level (obtained by tidal analysis) is corrected for each year by using an  
139 annual linear regression, before calculating the predictions. The regression is obtained by calculating daily  
140 means using a Demerliac Filter (Simon 2007). Monthly and annual means are calculated with respect to the  
141 Permanent Service for Mean Sea Level (PSMSL) criteria (Holgate, et al, 2013). This method is inspired by  
142 the method used by SHOM for its analysis of high water levels during extreme events (SHOM, 2015). The  
143 available systematic surge dataset was obtained for the period from 1956 to 2015.

144 The effective design of coastal defense is dependent on how high a design quantile (1000-year storm  
145 surge for instance) will be. But this is always estimated with uncertainty and not precisely known. Indeed, any  
146 frequency estimation is given with a confidence interval (CI) of which the width depends mainly on the size of  
147 the sample used in the estimation. Some other sources of uncertainties (such as the use of trends related to  
148 climate change) can be considered in the frequency estimation (Katz et al, 2002). As mentioned in the  
149 introductory section, samples are often short and characterized by the presence of outliers. The CIs are  
150 rather large and in some cases more than 2 or 3 times (and even more) the value of the quantile. Using the  
151 upper limit of this CI would likely lead to a more expensive design of the defense structure. One could just  
152 use the most likely estimate and neglect the CI but it is more interesting to consider the uncertainty as often  
153 estimated in frequency analyses. The width of the CI (i.e. inversely related to the sample size) can be  
154 reduced by increasing the sample size. In the present work, we focus on increasing the number of  
155 observations by adding information about storm surges induced by historical events. Additional storm surges  
156 can be subdivided into two groups:

- 157 1. HI during gaps in systematic records;
- 158 2. HI before the gauging period (can be found in the literature and/or collected by historians in archives).

## 159 **3 HI during and before the gauging period**

160 A historical research devoted to the French NPPs located on the Atlantic and English Channel coast was  
161 a genuine scientific challenge due to the time factor and the geographic dispersion of the nuclear sites. To  
162 be considered in the FA, a historical storm surge must be well documented; its date must be known and  
163 some information on its magnitude must be available. Mostly, available information concerns the impact and  
164 the societal disruption caused at the time of the event (Baart, 2011).

### 165 **3.1 HI collected in the literature**

166 As mentioned above, a common issue in frequency estimations is the presence of gaps within the datasets.  
167 Failure of the measuring devices and damage, mainly caused by natural hazards (storms, for instance), are  
168 often the origin of these gaps. Human errors, strikes, wars, etc., can also give rise to these gaps.  
169 Nevertheless, these gaps are themselves considered as dependent events. It is therefore necessary to  
170 ensure that the occurrence of the gaps and the observed variable are independent. Whatever the origin and  
171 characteristics of the missing period, the use of the full set of extreme storm surges that occurred during the  
172 gaps is strongly recommended to ensure the exhaustiveness of the information. This will make the estimates  
173 more robust and reduce associated uncertainties. Indeed, by delving into the literature and the web, one can  
174 obtain more information about this kind of events. Maspataud (2011) was able to collect sea-level  
175 measurements that were taken by regional maritime services during a storm event in the beginning of 1995,  
176 a time where the Dunkirk tide gauge was not working. This allowed the calculation of the skew surge, which  
177 was estimated by the author at 1,15m on January 2<sup>nd</sup>, 1995. This storm surge is high enough to be

178 considered as an extreme event. In fact, it was exceeded only twice during the systematic period (January  
179 5<sup>th</sup>, 2012 and December 6<sup>th</sup>, 2013).

180 For the relatively short-term pre-gauging period, a literature review was conducted in order to get an  
181 overview of the storm events and associated surges that hit the Nord-Pas-de-Calais region in France during  
182 the last two centuries. Some documents and storm databases on local, regional or national scales are  
183 available:

- 184 • the "Plan de Prévention de Risques Littoraux (PPRL)": refers to documents made by the French state  
185 on a communal scale, describing the risks a coastal zone is subject to, e.g. coastal flooding and  
186 erosion, and preventive measures in case of a hazard happening. To highlight the vulnerability of a  
187 zone, an inventory of storms and coastal inundation within the considered area is attached to this  
188 document;
- 189 • Deboudt (1997) and Maspataud (2011) describe the impact of storms on coastal areas for the study  
190 region;
- 191 • the VIMERS Project: gives information on the evolutions of the Atlantic depressions that hit Brittany  
192 (DREAL Bretagne 2015);
- 193 • NIVEXT Project: presents historical tide gauge data and the corresponding extreme water and surge  
194 levels for storm events (SHOM, 2015);
- 195 • Lamb 1991: provides synoptic reconstructions of the major storms that hit the British Isles from the 16th  
196 century up till today.

197 According to the literature, the storm of January 31<sup>st</sup> to February 1<sup>st</sup>, 1953 caused the greatest surge and  
198 was the most damaging within the study area. This event has been well analyzed and documented (Sneyers,  
199 1953, Rossiter 1954, Gerritsen, 2005, Wolf and Flather 2005): A depression formed over the Northern  
200 Atlantic Ocean close to Iceland moving eastward over Scotland and then changing its direction to south-  
201 eastwards over the North Sea, accompanied by strong northerly winds. An important surge was generated  
202 by this storm that, in conjunction with a high springtide, resulted in particularly high sea levels. Around the  
203 southern parts of the Northern Sea the maximum surges exceeded 2.25m, reaching 3.90m at Harlingen,  
204 Netherlands. Large areas were flooded in Great Britain, northern parts of France, Belgium, the Netherlands  
205 and the German Bight, causing the death of more than 2,000 people. Le Gorgeu and Guitonneau (1954)  
206 indicate that during this event, the water level exceeded the predicted water level at the Eastern Dyke of  
207 Dunkirk by more than 2.40m (Table 1). Bardet et al. (2011) included a storm surge equal to 2.13m in their  
208 regional frequency analysis. Both authors indicate the same observed water level, i.e. 7.90m, but the  
209 predicted water level differs: While in 1954 the predicted water level was estimated at 5.50m, the predictions  
210 were reevaluated to 5.77m by the SHOM using the harmonic method. A storm surge of 2.13m is therefore  
211 used in the present study. Nevertheless, as also shown in Table 1, some other storms (1897, 1949 and  
212 1995) inducing important storm surges and coastal floods occurred within the area of interest. Appendix 1  
213 presents a description of these events which are quite well documented in the literature. In the appendix, the  
214 description of some other historical events (of which the information provided did not allow the estimation of  
215 a storm surge value) is included as well.

### 216 **3.2 HI collected in the archives**

217 For the longer term, the HI collection process involves the exploration and consultation, in a context of a  
218 permanent multi-scalar approach, of HI which can be seen as a real documentary puzzle with a large  
219 number of historical sources and archives. Indeed, NPPs are generally located, for obvious safety reasons,  
220 in sparsely populated and isolated areas which is why these sites were subject to little anthropogenic  
221 influence in the past. However, this difficulty does not forfeit a historical perspective due to the rich  
222 documentary resources for studying an extreme event on different scales ranging from the site itself to that of  
223 the Region (Garnier, 2015 and 2017 a). In addition, this may be an opportunity for researchers and a part of  
224 the solution because it also allows a risk assessment at ungauged sites.

225 First, it is important to distinguish between "direct data" (also referred to as "direct evidence") and "indirect  
226 data" (also referred to as "proxy data"). The first refers to all information from the archives that describes an  
227 extreme event (a storm surge event for instance) that occurred at a known date. If their content is mostly  
228 instrumental, such as meteorological records presented in certain ordinary books or by the Paris Observatory  
229 (since the 17th century), sometimes accurate descriptions of extreme climatic events are likewise found. The  
230 "proxy data" rather indicate the influence of certain storm initiators and triggers such as wind and pressure.  
231 Concretely, they provide information indirectly on coastal flooding for example.

232 Private documents or "ego-documents" (accounts and ordinary books, private diaries, etc.) are used in  
233 many ways during 16th to 19th centuries. Authors recorded local facts, short news and latest events, and  
234 amongst them, weather incidents. These misidentified historical objects may contain many valuable  
235 meteorological data. These private documents most often take the form of a register or a journal in which the  
236 authors record various events (economic, social and political) as well as weather information. Other authors  
237 use a more integrated approach to describe a weather event by combining observations of extreme events,

instrumental information, phenology (impact on harvests), prices in local markets and possibly its social expression (scarcity, emotions, riots, etc.). All these misidentified sources are another opportunity for risk and climate historians to better understand the natural and coastal hazards (coastal flooding, earthquakes, tsunamis, landslides, etc.) of the past. Some of these private documents may be limited to weather tables completely disconnected from their socio-economic and climatic contexts. Most of the consulted documents and archives describe the history of coastal flooding in the area of interest. Indeed, the historical inventory identifies and describes damaging coastal flooding that occurred on the northern coast of France (Nord-Pas-de-Calais and Dunkirk) over the past five centuries. It presents a selection of remarkable coastal floods that occurred in this area and integrates not only old events but also those occurring after the gauging period began. The information is structured around storms and coastal flooding summary sheets. Accompanied and supported by a historian, several research and field missions were carried out and a large number of archival sources explored and, whenever possible, exploited. The historical analysis began with the consultation of the documentary information stored in the rich library of the communal archive of Dunkirk, Gravelines, Calais and Saint-Omer. The most consulted documents were obtained directly from the Municipal archives because the Municipal Acts guarantee a chronological continuity at least from the end of the 16<sup>th</sup> century up to the French Revolution (1789). Very useful for spotting extreme events, they unfortunately provide poor instrumental information. We therefore also considered data from local chronicles of annals of the city of Dunkirk, as well as reports written by scientists or naturalists to describe tides at Calais, Gravelines, Dunkirk, Nieuport and Oostende. Most of them contain old maps, technical reports, sketches or plans of dykes, sluices and docks designed by engineers of the 18<sup>th</sup> to 20<sup>th</sup> centuries and from which it may be possible to estimate water levels reached during extreme events. Bibliographical documents are mostly chronicles, annals and memoirs written after the disaster. Finally, for the more recent period, available local newspapers were consulted.

Multiplying the sources and trying to crosscheck events allowed us to constitute a database of 73 events. We focused the research on the period between 1500 and 1950, since most of the time tide gauge observations are available after 1950. The first event took place in 1507 and the last in 1995. Depending on how it is mentioned in the archive and as shown in the left panel of Fig. 2, the collated events were split in two groups. Storm surge events are events where there is a clear mention of flooding within the sources. Are considered as storms, events where only information about strong wind and gales are available. Except for the 19<sup>th</sup> century, we have much more storm surge events than storms events. All the collected events are summarized in Table 2.

### 3.3 Data quality control

First of all, it is appropriate to remember that the storm surge is the variable of interest in our historical research. It should, however be stressed here that the total sea level, as it is a more operational information, is likely to be available most often. The conversion to the storm surge is performed afterwards by subtracting the predicted levels (which are calculated using the tide coefficients).

As mentioned earlier, archival documents are of different nature and qualities. We therefore decided to classify them by their degree of reliability according to a scale ranging between 1 and 4:

- The degree 1: not very reliable historical source (it is impossible to indicate the exact documentary origin). It is particularly the case for HI found in the web.
- The degree 2: information found in scientific books talking about storms without clearly mentioning the sources.
- The degree 3: books, newspapers, reports and eyewitness statements citing historical events and clearly specifying its archival sources.
- The degree 4: is the highest level of reliability. Information is taken in a primary source (e.g., an original archival report talking about a storm written by an engineer in the days following the event).

Although the information classified as a category 1 document is not very reliable, it still gives the information that something happened at a date and is therefore not definitely ignored. Typically this type of document needs to be crosschecked with other documents. As shown in Fig. 2 (to the right), the classification of the data reveals a good reliability of collected information as there are no sources classified in category 1 and less than 10% of the sources are in category 2. It is worth noting that paradoxically, the older the information, the more reliable the archival document is.

Some other data quality related issues must be dealt with especially when using old data and when merge it with recent ones in a same inference: [how to deal with old data uncertainties? How to deal with the evolution of some physiographic parameters around the site of interest \(bathymetry, topography, land cover, etc.\)?](#) To what extent can we be sure that events which occurred hundreds of years ago are representative of the actual risk level?

**All types of data require indeed quality control and need to be corrected and homogenized if necessary to ensure that they are reflecting real and natural variations of the studied**

297 **phenomena rather than the influence of other factors. This is particularly the case for historical**  
298 **data that have been taken in different site conditions and have not been taken using modern**  
299 **standards and techniques (Brázdil et al., 2010). And finally, as mentioned in the introductory**  
300 **section, the use of old data improves significantly the frequency estimation of extreme events**  
301 **even they are inaccurate. The objective of the present paper is then to collect the information**  
302 **and to quantify it in order to obtain approximate values of the variable of interest, without**  
303 **seeking accurate reconstructions. 3.4 The historical surge dataset**

304 The concern is that it is not always possible to estimate a storm surge or a sea level from the information  
305 collected for each event. We focus herein on the reconstruction of some events of the 18th century (1720-  
306 1767) where certain HI makes it possible to estimate water levels. As depicted in Fig. 2 (to the left), out of the  
307 73 events, 40 are identified as events causing coastal floods, but not all the sources contain quantitative data  
308 or at least some information about water level reached. We selected herein the events with the most  
309 information about some characteristics of the event (the water level reached, wind speed and direction and in  
310 some cases measured information). Table 3 shows a synthesis of the six events which we will analyze in  
311 more detail, showing the tide coefficient (obtained from the SHOM website), some wind characteristics and  
312 water levels reached in Dunkirk and other cities. The tide coefficient is a ratio of the semi-diurnal amplitude  
313 by the mean spring neap tide amplitude introduced by Laplace in the 19th century and commonly used in  
314 France since then. Today, the coefficient 100 is attributed by definition to the semi-diurnal amplitude of  
315 equinox springtides of Brest. Therefore the range of the coefficient lies between 20 and 120, i.e. the lowest  
316 and highest astronomical tides. Calculated for each tide at Brest harbor, it is applied to the complete French  
317 metropolitan Atlantic and Channel coastal zone (Simon, 2007). As with the short-term HI, a description of  
318 these events which are quite well documented in the literature is presented in Appendix 2 with a description  
319 of some other historical events (of which the available information did not allow an estimate of a storm surge  
320 value). Some other HI about other extreme storms, occurring in the period 1767-1897, were collected in the  
321 archives and identified as events causing coastal floods. A description of these events is also presented in  
322 Appendix 2. To be able to reduce the CI of the high RLs (the 1000-year one for instance), it is insufficient to  
323 have the time window (the historical period), as the observations or estimates of high surges are unknown. A  
324 fixed time window and magnitudes of the available high storm surges are required to improve the estimates  
325 of probabilities of failure. The exhaustiveness assumption of the HI on this time window will therefore be too  
326 crude and will make no sense. The historical period 1770-1897 was therefore eliminated from inference.  
327 Fortunately, these discontinuities in the historical period can be managed in the FM (Hamdi et al, 2015). Two  
328 non-successive time windows, 1720-1770 and 1897-2015, will therefore be used as historical periods in the  
329 inference.

330 The extreme storm surges that occurred during the 1720–1767 time-window are then analyzed and the  
331 development of a methodology to estimate the surges induced by the events from the last part of the 18th  
332 and the 19th century is undergoing. Table 3 shows estimated water levels (for Dunkirk, Gravelines, Calais,  
333 Oostende and Nieuport) compared to the associated Mean High-Water Springs (MHWS) which is the highest  
334 level reached by springtides (on the average over a period of time often equal to 19 years). De Fourcroy D-  
335 R. (1780) presented the water levels in royal foot of Paris, where 1 foot corresponds to 0.325 m and is  
336 divided into 12 inches (1 inch = 0.027m) except for the Oostende levels that are given in Flemish Austrian  
337 Foot (corresponding to 0.272m and divided in 11 inches). As a first approach the height of the surge above  
338 the MHWS level was estimated, which has the advantage that the local reference level does not need to be  
339 transposed into the French leveling system and as the historic sea level is considered, there is no need to  
340 assess sea level rise which due to climate change can be discarded. De Fourcroy D-R. (1780) gave water  
341 levels for the five cities in their respective leveling system: In Calais, zero corresponds to a fixed point on the  
342 Citadelle sluice, in Gravelines, zero corresponds to a fixed point on the sluice of the river Aa. For Dunkirk,  
343 the “likely low tide of mean springtides” is considered as a zero point and marked on the docks of the  
344 Bergues Sluice; we will subsequently refer to this zero as Bergues Zero. The location of the measure point of  
345 the Bergues Sluice is presented in Fig. 1 (to the right) on an old map of Dunkirk city. The difference between  
346 the observed water levels and the MHWS is the surge above MHWS. The three levels are about the same  
347 height, ranging from 1.46m to 1.62m. We calculated the surge above MHWS for Calais, Gravelines, Nieuport  
348 and Oostende; they are shown in the second-to-last column of Table 3. It is interesting to note that, for the  
349 1763 and 1767 events, the highest levels were reconstructed in Oostende and the lowest levels in Calais.

350 For the sake of convenience and for more precision, we needed to transform the surges above MHWS  
351 presented in the second-to-last column of Table 3 into skew surges. This refinement required the  
352 development of a tide coefficient-based methodology. Indeed, the tide coefficient for each storm event  
353 indicates whether surge above MHWS is over- or underrated or approximately right. As this coefficient is  
354 calculated for the Brest site and applied to the whole coastal zone, a table showing expected mean levels in  
355 Dunkirk for each tide coefficient was established. One tide coefficient estimated at Brest can have different  
356 high water levels at Dunkirk. For this study, it was assumed that the historic MHWS corresponds to the tide  
357 coefficient 95. In the developed methodology, all the 2016 high tides for each tide coefficient are used and

358 the water levels for each tide coefficient are averaged. The difference  $\Delta_{MWS}$  between this averaged level and  
359 the water level corresponding to the tide coefficient 95 (the actual MHWS) is then calculated and added (or  
360 subtracted) to the historic surge above MHWS. Where we have two surges, the mean of the two values is  
361 considered. Results for the Dunkirk surges are shown in the last column of Table 4.

362 In addition to the water levels reached during events and in specific years, other types of HI (lower  
363 bounds and ranges) can be collected. For instance, De Fourcroy D-R. (1780) stated that the highest water  
364 level measured during the period 1720-1767 was the one induced by the 1767 extraordinary storm.  
365 Paradoxical though it may seem at first sight, the skew surge caused by the 1763 storm is greater than the  
366 1767 one. A plausible explanation is that the 1767 event occurred when the tide was higher than that of  
367 1763. Fig. 3 shows two examples of HI collected in the archives.

368 For the Dunkirk series, it is interesting to see that it is easier to estimate storm surges induced by events  
369 from the 18th century, as the water levels were either measured or reconstructed only a few years after the  
370 events took place. During research for his thesis, N. Pouvreau (2008) started an inventory of existing tide  
371 gauge data available in different archive services in France. According to him, the first observations of the  
372 sea level in Dunkirk were made in the years 1701 and 1702, where time and height were reported.  
373 Observations were also made in 1802 and another observation campaign was held during 1835. The first  
374 longer series dates from 1865 to 1875. For the 20th century, only sparse data is available for the first half of  
375 the century. Pouvreau (2008) only listed the data found in the archives of the National Geographic Institute  
376 (Institut Géographique National IGN), the Marine Hydrographic and Oceanographic Service (Service  
377 Hydrographique et Océanographique de la Marine SHOM) and the Historical Service of Defense (Service  
378 Historique de la Défense SHD). During the present study we found evidence that sea levels were measured  
379 at the Bergues sluice during the 18th century and that various hydrographic campaigns were carried out  
380 during the 19th century (De Fourcroy D-R., 1780). This research and first analysis of historical data shows  
381 the potential of the data collected, as we were able to quantify some historical skew surges, but it also shows  
382 how difficult and time-consuming the transformation of descriptive information into skew surge values is, and  
383 that more detailed analysis will be necessary to estimate the other historical surges. It was concluded that all  
384 historic surges appear to be almost at least as high as the highest systematic surge. [In response to the  
385 specific question:](#) what could impact the variable of interest throughout the whole historical period? old and  
386 recent data and some physiographic conditions were then compared. For example, the reconstructed skew  
387 surges were compared to the systematic ones. The reconstructed skew surge heights obtained from the tide  
388 gauge data, the quantified surges from the literature and the reconstructed values from this study were also  
389 compared, as the hypothesis is made, that water levels measured at the tide gauge and the different  
390 locations of Dunkirk harbor are comparable. At this point we're not able to conclude on the evolution of the  
391 tides throughout the centuries. Historic tide gauge data from cities in the north of France is currently being  
392 digitized and reconstructed at the French Oceanographic Service (SHOM - Service Hydrographique et  
393 Océanographique de la Marine) and University of Cote d'Opale (Latapy et al., 2017). Further, it is worth noting  
394 that the current tide gauge is situated at the entrance of the harbor. The predicted water levels may differ within the inner  
395 harbor area, where the reconstructed surges were estimated. Hydrodynamic modelling could help estimate the difference  
396 between water levels at the entrance of the harbor area (Bulteau et al., 2015).

## 398 4 Frequency estimation of extreme storm surges using HI

399 In this work, we suggest a method of incorporating the HI developed by Hamdi et al. (2015). The proposed  
400 FM (POTH) is based on the Peaks-Over-Threshold with HI. The POTH method uses two types of HI: Over-  
401 Threshold Supplementary (OTS) and Historical Maxima (HMax) data which are structured in historical  
402 periods. Both kinds of historical data can only be complementary to the main systematic sample. The POTH  
403 FM was applied to the Dunkirk site to assess the value of historical data in characterizing the coastal flooding  
404 hazard and more particularly in improving the frequency estimation of extreme storm surges.

### 405 4.1 Settings of the POT frequency model

406 To prepare the systematic POT sample and in order to exploit all available data separated by gaps, the  
407 surges recorded since 1956 were concatenated to form one systematic series. However, it makes for  
408 subjectivity in what should be taken as a reasonable threshold for the POT frequency model. Indeed, the use  
409 of a too-low threshold can introduce a bias in the estimation by using observations which may not be  
410 extreme data, which violates the principle of the extreme value theory. On the other hand, the use of a too-  
411 high threshold will reduce the sample of extreme data. Coles (2001) has shown that stability plots constitute  
412 a graphical tool for selecting the optimal value of the threshold. The stability plots are the estimates of the  
413 GPD parameters and the mean residual life-plot as a function of the threshold when using the POT  
414 approach. It was concluded that a POT threshold equal to 0.75m (corresponding to a rate of events equal to  
415 1,4 events/year) is an adequate choice. The POT sample with an effective duration  $w_s$  of 46,5 years (from  
416 1956 to 2015) is represented by the grey bars in the left panel of Fig. 4 (a, b and c). As homogeneity,

417 stationarity and randomness of time series are prerequisites in a FA (Rao & Hamed, 2001), non-parametric  
 418 tests such as the Wilcoxon test for homogeneity (Wilcoxon, 1945), the Kendall test for stationarity (Mann,  
 419 1945), and the Wald-Wolfowitz test for randomness (Wald & Wolfowitz, 1943) are applied. These tests were  
 420 passed by the Dunkirk station at the 5% level of significance.

## 421 **4.2 The POTH frequency model**

422 The HI is used in the present paper as HMax data. A HMax data period corresponds to a time interval of  
 423 known duration  $w_{HMax}$  during which historical  $n_k$ -largest values are available. Periods are assumed to be  
 424 potentially disjoint from the systematic period. The distribution of the HMax exceedances is assumed to be a  
 425 Generalized Pareto one (GPD). The observed distribution function of HMax and systematic data are  
 426 constructed in the same way with the Weibull rule. To estimate the distribution parameters by using the  
 427 maximum likelihood technique in the POTH model, let us assume a set of POT systematic observations  $X_{sys,i}$   
 428 with a set of historical HMax surges  $X_{HMax,i}$  and assume that the systematic and historical storm surges are  
 429 available with a density function  $f_X(\cdot)$ . Under the assumption that the surges are iid, the global likelihood  
 430 function of the whole data sample is any function  $L(G|\underline{\theta})$  proportional to the joint probability density function  
 431  $f_X(\cdot)$  evaluated at the observed sample and it is the product of the likelihood functions of the particular types  
 432 of events and information. The global log-likelihood can be expressed as

$$433 \quad \ell(G|\underline{\theta}) = \overbrace{\ell(X_{sys,i}|\theta)}^{\text{systematic data}} + \overbrace{\ell(X_{HMax,i}|\theta)}^{\text{HMax data}} \quad (1)$$

434 Let us assume a set of  $n$  POT systematic observations  $X_i$  and a selected threshold  $u_s$  and consider  $w_s$  the  
 435 total duration. For a Homogeneous Poisson Process with rate  $\lambda$ , the log-likelihood  $\ell(X_{sys,i}|\theta)$  is

$$436 \quad \ell(X_{sys,i}|\theta) = n \log(\lambda w_s) - \log(n!) - \lambda w_s + \sum_{i=1}^n \log f(X_{sys,i}, \theta) \quad (2)$$

437 For the HMax data, it takes the form

$$438 \quad \ell(X_{HMax,i}|\theta) = n_k \log(\lambda w_{HMax}) - \lambda w_{HMax} \left[ 1 - F(X_k, \theta) \right] + \sum_{i=1}^{n_k} \log f(X_{HMax,i}, \theta) \quad (3)$$

439 The reader is referred to Hamdi et al. (2015) for more details about each term of these expressions.

## 440 **4.3 Settings of the frequency model with HI (POTH)**

441 An important question arises with regard to the exhaustiveness of the HI collected in a well-defined time  
 442 window (called herein the historical period). In order to properly perform the FA, this criterion must be  
 443 fulfilled. Indeed, we have good evidence to believe that other than the 1995 storm surge, the surges induced  
 444 by the 1897, 1949 and 1953 storms are the biggest for the period 1897-2015. The POTH FM was first  
 445 applied with a single historical datum which is that of 1953 represented by the red bar in Fig. 4-a. It not  
 446 complicated to demonstrate that this event is undoubtedly an outlier. Indeed, in order to detect outliers, the  
 447 Grubbs-Beck test was used (Grubbs and Beck, 1972). As mentioned in the previous section, some historical  
 448 extreme events experienced by Dunkirk city are available in the literature. Only this information (including the  
 449 1953 event) is considered in this first part of the case study.

450 Otherwise, HI is most often considered in the FA models for pre-gauging data. Less or no attention has  
 451 been given to non-recorded extreme events that occurred during the systematic missing periods. As  
 452 mentioned earlier in this paper, the sea level measurement induced by the 1995 storm was missed and a  
 453 value of the skew surge (1.15m) was reconstructed from information found in the literature (Maspataud,  
 454 2011). As this event is of ordinary intensity and has taken place very recently, it is considered as systematic  
 455 data even if this type of data can be managed by the POTH FM by considering it as HI (Hamdi et al, 2015).  
 456 The HI collected from both literature and archives with some model settings are summarized in Table 5 and  
 457 the POTH sample with a historical period of 72.51 years is presented in Fig. 4-b. Parameters characterizing  
 458 datasets including both systematic and HI were introduced in Hamdi et al, (2015). The HI is used herein as  
 459 HMax data that complements the systematic record (with an effective duration  $D_{eff}$  equal to  $w_s$ ) on one  
 460 historical period (1897-2015) with a known duration  $w_h = w_{HMax} = 2015 - 1897 + 1 - D_{eff}$  ( $w_h = 72,51 \text{ years}$ ) and  
 461 three historical data ( $n_k = 3$ ). Other features of the POTH FM have been used. A parametric method (based  
 462 on the Maximum Likelihood) for estimating the Generalized Pareto Distribution (GPD) parameters  
 463 considering both systematic and historical data have been developed and used. The maximum likelihood  
 464 method was selected for its statistical features especially for large series and for the ease with which any  
 465 additional information (i.e. the HI) is incorporated in it. On the other hand, the plotting positions exceedance

466 formula based on both systematic observations and HI (Hirsch, 1987; Hirsch and Stedinger, 1987; Guo,  
467 1990) is proposed to calculate the observed probabilities and has been incorporated into the POTH FM  
468 considered herein. For systematic data, there are several formulas that can be used to calculate the  
469 observed probabilities. Based on several studies (e.g. Alam et al., 2005, Makkonen, 2006) the Weibull  
470 plotting position rule was used herein ( $p_{emp} = i/(n+1)$ ). The reader is referred to Hamdi et al. (2015) for  
471 more theoretical details on the POTH model and on the Renext package used to perform all the estimations  
472 and fits.

## 473 5 Results and discussion

474 We report herein the results of the FA applied to the Dunkirk tide gauge. As with any sensitive facility, high  
475 Return Levels (RLs) (100, 500 and 1000-year extreme surges, for instance) are needed for the safety of  
476 NPPs. The results are presented in the form of probability plots in the right panel of Fig. 4 (d, e and f). The  
477 theoretical distribution function is represented by the solid line in this figure, while the dashed lines represent  
478 the limits of the 70% CIs. The HI is depicted by the empty red circles, while the black full ones represent the  
479 systematic sample. The results (estimates of the desired RLs and uncertainty parameters) are also  
480 summarized in Table 6. Fitting the GPD to the sample of extreme POTH storm surges yields the relative  
481 widths  $\Delta CI/S_T$  of the 70% CIs (the variance of the RL estimates are calculated with the delta method).

482 The FA was firstly performed considering systematic surges and the 1953 storm surge as historical data.  
483 It can be seen that the fit of the POTH sample including the 1953 historical event (with  $w_h$  equal to 16.5  
484 years) presented in Fig. 4-d (called hereafter the initial fitting), is poor at the right tail and more specifically, at  
485 the largest storm surge (the historical data of 2.13 m occurred in 1953) which have a much lower observed  
486 return period than its estimated one. The estimates of the RLs of interest and uncertainty parameters (the  
487 relative width  $\Delta CI/S_T$  of the 70% CIs) are presented in columns 2-3 of Table 6. These initial findings are an  
488 important benchmark as we follow the evolution of the results to evaluate the impact of additional HI. 100-,  
489 500- and 1000-year quantiles given by the POTH FM with the 1897, 1949 and 1953 historical storm surges  
490 included are about 3-6% higher than those obtained by the initial POTH FM. This result was expected as the  
491 additional historical surges are higher than all the systematic ones. The relative widths of the CIs are about  
492 20-25% narrower.

493 Unlike the 1897 historical event, the 1949 and 1953 ones have a lower observed return period than their  
494 estimated one. A plausible explanation for this result is that the body of the distribution is better fitted than  
495 the right tail one and this is a shortcoming directly related to the exhaustiveness assumption used in the  
496 POTH FM. Indeed, as stated in Hamdi et al. (2015) and as mentioned above, a major limitation of the  
497 developed FM arises when the assumption related to the exhaustiveness of the information is not satisfied.  
498 This is obviously worrying for us because the POTH FM is based on this assumption. Overall, using  
499 additional data in the local FM has improved the variances associated with the estimation of the GPD  
500 parameters but did not conduct to robust estimates with a better fitting (particularly at the right tail, the high  
501 RLs being very sensitive to the historical values) if the assumption of exhaustiveness is still strong. This first  
502 conclusion is likewise graphically backed by the CIs plots shown in Fig. 4-e. Nevertheless, as the impact of  
503 historical data becomes more significant, there is an urgent need to carry out a deeper investigation of all the  
504 historical events that occurred in the region of interest (Nord-Pas-de-Calais) over the longest historical  
505 period. In order to have robust estimates and reduced uncertainties, it is absolutely necessary that the  
506 collected information be as complete as possible.

507 The robustness of the POTH FM is one of the more significant issues we must deal with. The main focus of  
508 this discussion is the assessment of the impact of the additional HI (collected from the archives) on the  
509 frequency estimates for high RLs. The same FM was performed but with the long-term additional HI  
510 (collected in the archives) and different settings (Table 5). The results of the POTH FM using HI from both  
511 literature and archives (called hereafter the full FM) are likewise summarized in the last two columns of Table  
512 6. The results are also presented in the form of a probability plot (Fig. 4-f). Fig. 7 consists of two subplots  
513 related to the FA of the Dunkirk extreme surges. The left side (Fig. 4-c) shows collected data: the systematic  
514 surges are represented by the grey bars, the historical surges extracted from the literature by red bars and  
515 those extracted from the archives (estimated and corrected with regards to the tide coefficients) are  
516 represented by the green ones. We can also see the two time windows (the blue background areas in the  
517 graph) 1720-1770 and 1897-2015 used in the POTH FM as historical periods. The right side shows the  
518 results of the full FM. As mentioned earlier in this paper, to consider the full POTH FM, six historical storm  
519 surges distributed equally ( $n_k = 3$ ) over two not-successive time windows: 1720-1770 ( $w_{HMax1} = 50$  years) and  
520 1897-2015 ( $w_{HMax2} = 72.5$  years, knowing that  $w_s = 46.5$  years) are used as historical data. In the plotting  
521 positions, the archival historical surges are represented by green squares, while those found in the literature  
522 are depicted by red circles. The fitting presented in Fig. 4-f shows a good adequacy between the plotting  
523 positions and theoretical distribution function (calculated probabilities of failure). Indeed, all the points of the

524 observed distribution are not only inside the CI, but even better, they are almost on the theoretical  
525 distribution curve. The results of Table 6 show that:

- 526 - The RLs of interest had increased by only 10 to 20 cm. This is an important element of robustness. Indeed,  
527 adding or removing one or more extreme values from the dataset does not significantly affect the desired  
528 RLs. In other words, it is important that the developed model is not very sensitive (in terms of RLs used as  
529 design bases) to a modification in the data regarding very few events. As a matter of fact, the model owes  
530 this robustness to the exhaustiveness of the available information.
- 531 - The relative widths of CIs with no archival HI included are 1.5 times larger than those given by the full  
532 model. This means that the user of the developed model is more confident in the estimations when using  
533 the additional HI collected in the archives.

534 After collecting HI about the most extreme storm surge events in the 18<sup>th</sup> and 20<sup>th</sup> centuries, it was first  
535 found that the 1953 event is still the most important one in terms of magnitude. The developed POTH FM  
536 attributed a 200-year return period to this event. The value of the surge induced by the 1953 storm is  
537 between 1.75m and 2.50m. That said, it is interesting to note that this CI includes the value of 2.40m  
538 estimated by Le Gorgeu and Guitonneau (1954). This may be a reason to think that the continuation of our  
539 work on the quantification of the skew surges that occurred in the 19<sup>th</sup> century will perhaps reveal extreme  
540 surges similar to that induced by the 1953 storm.

## 541 6 Conclusion & perspectives

542 To improve the estimation of risk associated with exceptional high surges, HI about storms and coastal  
543 flooding events for the Nord-Pas-de-Calais was collected by historians for the 1500-1950 period. Qualitative  
544 and quantitative information about all the extreme storms that hit the region of interest were extracted from a  
545 large number of archival sources. In this paper, we presented the case study of Dunkirk in which the  
546 exceptional surge induced by the 1953 violent storm appears as an outlier. In a second step, the information  
547 collected (in both literature and archives) was examined. Quality control and cross validation of the collected  
548 information indicate that our list of historic storms is complete as regards extreme storms. Only events that  
549 occurred in the periods 1720-1770 and 1897-2015 were estimated and used in the POTH FM as historical  
550 data. To illustrate challenges and opportunities for using this additional data and analyzing extremes over a  
551 longer period than was previously possible, the results of the FA of extreme surges was presented and  
552 analyzed. The assessment of the impact of additional HI is carried out by comparing theoretical quantiles  
553 and associated confidence intervals, with and with no archival historical data, and constitutes the main result  
554 of this paper.

555 The conclusions drawn in previous studies were examined in greater depth in the present paper. Indeed,  
556 on the basis of the results obtained previously (Hamdi et al, 2015) and in the present paper, the following  
557 conclusions are reached:

- 558 - The use of additional HI over longer periods than the gauging one, can significantly improve the  
559 probabilistic and statistical treatment of a dataset containing an exceptional observation considered as an  
560 outlier (i.e. the 1953 storm surge).
- 561 - As the HI collected in both literature and archives tend to be extreme, the right-tail distribution has been  
562 reinforced and the 1953 “exceptional” event does not appear as an outlier any more.
- 563 - As this additional information is exhaustive (relatively to the corresponding historical periods), the RLs of  
564 interest increased very slightly and the confidence intervals were reduced significantly.

565 An in-depth study could help to thoroughly improve the quantification method of the historical surges and  
566 apply the developed model on other sites of interest. Finally, an attempt is undergoing to carry out the  
567 estimation of the surges induced by the events from 1767 to the end of the 19<sup>th</sup> century is undergoing.

### 568 *Appendix 1: HI collected in the literature*

569 01/03/1949: A violent storm with mean hourly wind speeds reaching almost  $30\text{m}\cdot\text{s}^{-1}$  and gusts of up to  
570  $38.5\text{m}\cdot\text{s}^{-1}$  (Volker, 1953) was the cause of a storm surge that reached the coast of Northern France and  
571 Belgium in the beginning of March 1949. The tide gauge of Antwerp in the Escaut estuary measured a water  
572 level higher than 7m TAW (a reference level used in Belgium for water levels) which classifies this event as a  
573 “*buitengewone stormvloed*”, an extraordinary storm surge (Codde and De Keyser 1967). For the Dunkirk  
574 area two sources reporting water levels were found: the first saying that 7.30m was reached as a maximum  
575 water level at the eastern Dike in Dunkirk, exceeding the predicted high tide, i.e. 5.70m, with 1.60m (Le  
576 Gorgeu and Guitonneau 1954). A second document relates that the maximum water level reached was about  
577 7.55m at Malo-les-Bains, which would mean a surge of 1.85m (DREAL Nord-Pas-de-Calais). It is worth  
578 noting that the use of proxy data (i.e. the descriptions of events in the historical sources summarized in Table  
579 1) to extract sea-level values and to create storm-surge databases is seriously limited. For the 1791 and  
580 1808 storms, there is sufficient evidence that extreme surge events took place (extreme water level on  
581 Walcheren Island) but the sources are not informative enough to estimate water levels reached in Dunkirk. A  
582 surge of 1.25m is given for the storm of 1921. The problem is that the type of surge (instantaneous or skew),

583 the exact location at which it was recorded and the hydro-meteorological parameters are not reported. For  
584 the skew surge of 1949, two different values at two locations are given. There are predicted and observed  
585 water levels for the storms of 1905 and 1953 in Calais, which indicate that the difference is a skew surge, but  
586 likewise neither the exact location nor the information about the reference level are furnished. The need for  
587 tracing back to “direct data” describing a storm and its consequences becomes clear, as well as performing a  
588 cross-check of the data on a spatial and factual level, as Brázdil (2000) also suggests.

589 28/11/1897: What was felt as stormy winds in Ireland on November 27<sup>th</sup>, 1897 became an eastward-moving  
590 storm with gale-force winds over Great Britain, Denmark and Norway (Lamb, 1991). This storm caused  
591 interruption of telephone communications between the cities of Calais, Dunkirk and Lille and great damage to  
592 the coastal areas (Le Stéphanois, November 30<sup>th</sup>, 1897). At Malo-les-Bains, a small town close to Dunkirk,  
593 the highest water level reached 7.36m although the high tide was predicted at 5.50m, resulting in a skew  
594 surge of 1.86m that caused huge damage to the port infrastructures (DREAL Nord-Pas-de-Calais).

595 14/01/1808: During the night from January 14<sup>th</sup> to 15<sup>th</sup>, 1808, “a terrible storm, similar to a storm that hit the  
596 region less than one year before on February 18, 1807” hit the coasts of the most northern parts of France  
597 up to the Netherlands. This storm caused severe flooding as well in the Dunkirk area as in the Zeeland area  
598 in the south western parts of the Netherlands where the water rose up to 25 feet on the isle of Walcheren  
599 (i.e. 7.62m). The journal also reports more than 200 deaths. For the Dunkirk area, the last time the water  
600 levels rose as high as in January 1808 was February 2<sup>nd</sup>, 1791. Unfortunately, this source does not provide  
601 any information that we can quantify or any information on the meteorological and weather conditions that we  
602 can use to reconstruct the storm surge value.

## 603 *Appendix 2: HI collected in the archives*

604 1720-1767: In essays written by a mathematician of the royal academy of science, De Froucroy D-R, who  
605 describes the tide phenomenon on the Flemish coast, some extreme water levels observed within the study  
606 area are reported and described. The author refers to five events that occurred during the period 1720 to  
607 1767. The same information is confirmed by a Flemish scientist, Dom Mann (1777, 1780). De Froucroy D-R  
608 witnessed the water levels induced by the 1763 and 1767 storms and reconstructed the level induced by the  
609 1720 event in Dunkirk. Water levels at that time are given for the cities of Dunkirk, Gravelines and Calais in  
610 the “pied du roi” unit (“foot of the king” was a French measuring unit, corresponding to 0.325m) above local  
611 mean low-water springs. The French water levels are completed by measurements made in ancient Flemish  
612 feet above the highest astronomical tides for the cities of Oostende and Nieuport (De Fourcroy D-R., 1780;  
613 Mann, 1777, 1780). The upper panel of Fig. 3 shows an example of HI as presented in the archives (De  
614 Fourcroy D-R., 1780).

615 The 1720 event is a memorable event for the city of Dunkirk, as the water level during springtide was  
616 increased by the strong gales blowing from north-western direction which destroyed the cofferdam built by  
617 the British in the year 1714, cutting the old harbor off from sea access and prohibiting any maritime trade,  
618 thus slowly causing the ruin of the city. The socio-cultural impact of the natural destruction of the cofferdam  
619 was huge, as it restarted trading in the city (Chambre de Commerce de Dunkerque 1895, Plocq, 1873,  
620 Belidor, 1788). In 1736, the only sea level available is given for Gravelines harbor, but extreme water levels  
621 are confirmed in the sources as they mention at least 4 feet of water in a district of Calais, and water levels  
622 that overtopped the docks of the harbor in Dunkirk (Municipal Archive of Dunkirk DK291, Demotier, 1856). As  
623 mentioned above, communal and municipal archives contain plans of dykes, docks and sluices in Dunkirk  
624 harbor designed by engineers with the means available at that time, and such sketches were recovered. A  
625 1740 sketch showing a profile of the Dunkirk harbor dock is presented in the lower panel of Fig. 3 for  
626 illustrative purposes only. The use of these plans and sketches in the estimation of some historical storm  
627 surges is ongoing. The lower-lying streets of Gravelines were accidentally flooded by the high water levels in  
628 March 1750. The fact that an extreme water level was also reported in Oostende for the same day confirms  
629 the regional aspect of the event. The surge of 1763 occurred in a period with mean tidal range, but water  
630 level exceeded the level of mean spring high tide in Dunkirk, Calais and Oostende. Unfortunately no more  
631 information about the flooded area is available. Strong west-north-westerly winds caused by a quick drop in  
632 pressure produced high water levels from Calais up to the Flemish cities. It is, at least for the period from  
633 1720 to 1767, the highest water level ever seen and known. The 1720 and 1767 events show good evidence  
634 of the wind direction and wind intensity, while in various sources, except for the water levels reported, the  
635 events from 1736, 1750 and 1763 are always cited together and described as “*extraordinary sea-levels that*  
636 *are accompanied or caused by strong winds blowing from South-West to North*” (De la Lande, 1781, De  
637 Fourcroy D-R., 1780, Mann, 1777, 1780). As with the 1897-2015 historical/systematic periods, the same  
638 question related to the exhaustiveness of the HI collected in the 1720-1770 historical period arises. As our  
639 historical research on extreme storm surges occurred in this time window was very thorough, we have good  
640 reasons to believe that the surges induced by the 1720, 1763 and 1767 storms are the biggest for that  
641 historical period.

642 1767–1897: For the 1778, 1791, 1808 and 1825 events, the sources report strong that winds were blowing  
643 from north-westerly directions and that in Dunkirk the quays and docks of the harbor were overtopped as the  
644 highest water levels were reached. We know that, after the event of February 1825, at least 19 storm events  
645 occurred and we have good evidence to believe that some of them induced extreme surges, but either the  
646 information available is not sufficient to draw an approximate value of the water level, or the quantification of  
647 the storm surges induced by these events is complicated and time-consuming.

648 1936: The 1936 event can be considered as a lower bound, as the document from the archive testifies that  
649 the “water level was at least 1m higher than the predicted tide” during the storm that occurred on the night of  
650 December 1<sup>st</sup>, 1936 (Municipal Archives of Dunkirk 4S 881). The 1936 event, which can be described as a  
651 moderately extreme storm, is the only one collected on the 50-year time window (1897-1949). As the surge  
652 lower bound value induced by this event is too small (i.e. exceeded more than 10 times during the systematic  
653 period), it could be exceeded several times during the 1897-1949 period. Its involvement in the statistical  
654 inference will have the opposite effect and will not only increase the width of the CI but will also degrade the  
655 quality of the fit. The 1936 historical event was therefore eliminated from inference.

656

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**Table 1** Date, localization, water and surge levels (m) of collected storms within Nord-Pas-de-Calais area.

Date	Location	Predicted WL	Observed WL	Surge	Source
28/11/1897	Malo-les Bains Dunkirk	5.50 <sup>1</sup>	7.36 <sup>1</sup>	1.86 <sup>1</sup>	DREAL Nord – Pas de Calais
01/03/1949	Dunkirk	5.70 NGF	7.30 NGF <sup>2</sup>	1.60	Le Gorgeu & Guitonnau, 1954
			7.55 NGF <sup>2</sup>	1.85	DREAL Nord–Pas de Calais
01/02/1953	Antwerpen (BE)	---	> 7 TAW <sup>3</sup>	---	Codde and De Keyser 1967
	Sangatte, Calais	6.70	8.20	1.50	Deboudt, 1997
	Dunkirk	5.50	7.90	2.40	Le Gorgeu & Guitonnau, 1954
	Dunkirk	5.77	7.90	2.13	Bardet et al., 2011

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<sup>1</sup> no reference leveling given; <sup>2</sup> NGF : the French Ordnance Datum (Nivellement Général Français); <sup>3</sup> TAW = Tweede Algemeene Waterpassing(a reference level used in Belgium for water levels); <sup>4</sup> no indication which feet (royal french feet / flemish austrian feet);  
<sup>5</sup> Newspapers: Journal Politique de Mannheim 26, 30 Janvier 1808 ;

Year/Date	Data Type	Quality Index	Source Name	Observer occupation	Year/Date	Data Type	Quality Index	Source Name	Observer occupation
1507	Surge	3	L'Abbé Harrau (1901)	Historian	1807	Surge	3	Victor Derode (1852)	Historian
01/11/1570	Surge	3	Pierre Faulconnier (1730)	Mayor of Dunkirk	18/02/1807	Storm	3	Mannheim, 26/01/1808	Newspaper
1605	Surge	3	Victor Derode (1852)	Historian	02/12/1807	Storm	3	Augstin Lemaire (1857)	Regent
12/01/1613	Surge	4	MAS-O (XVIII <sup>th</sup> century) - Jean Hendricq	Bourgeois and merchant of the city	14/01/1808	Surge	4	MAC, « floods » sheets	Archivists (Dunkirk)
01/11/1621	Surge	4	bourgeois	the city	14/11/1810	Storm	2	Christian Gonsseume (1988)	Historian
03/11/1641	Surge	3	Céléstin Landrin (1888)	Archivist (Calais)	03/01/1825	Surge	2	MAC, « storms » sheets	Archivists (Dunkirk)
1644	Surge	4	M. Lefebvre (1766)	Priest	04/02/1825	Surge	4	MAD, ref. 5O6	Harbor Engineer
1663	Surge	3	Victor Derode (1852)	Historian	19/10/1825	Storm	4	MAC, « storms » sheets	Archivists (Dunkirk)
12/1663	Surge	3	Baron C. de Wareghien (1924)	Historian	29/11/1836	Storm	3	Union Faulconnier(1936)	Mayor of Dunkirk
1665	Surge	3			02/01/1846	Surge	3	Victor Derode (1852)	Historian
1671	Surge	3	Victor Derode (1852)	Historian	02/10/1846	Surge	3		
1675	Surge	3			26/09/1853	Storm	3		
16/02/1699	Surge	3	L'abbé Harrau (1903)	Historian	26/10/1859	Storm	3	Dr. Zandyck (1861)	Military Surgeon & Physician
1715	Surge	3	Victor Derode (1852)	Historian	02/11/1859	Storm	3		
1720	Surge	3			16/01/1867	Storm	2	Gilles Peltier «Amis du Vieux Calais»	Unknown
31/12/1720	Surge	4	De La Lande (1781)	Astronomer	02/12/1867	Storm	2	Bernard Barron (2007)	Journalist
25/12/1730	Storm	3	Charles Demotier (1856)	Local Historian	30/01/1877	Storm	4	MAC, « storms » sheets	Archivists (Dunkirk)
1734	Surge	4	MAD (AncDK15)	Unknown	21/12/1892	Storm	3	Céléstin Landrin (1888)	Archivist (Calais)
19/01/1735	Storm	4			10/01/1893	Storm	4	MAD, reference 5 S 1	Harbor Engineer
27/02/1736	Surge	4	MAD, (AncDK291)/C. Demotier (1856)	Historian	18/11/1893	Storm	2	Gilles Peltier «Amis du Vieux Calais»	Unknown
01/10/1744	Storm	3	Jean Louis le Tellier (1927)	Local of Dunkirk	11/10/1896	Storm	2	Christian Gonsseume (1988)	Historian
11/03/1750	Surge	3	De La Lande (1781)	Astronomer	27/01/1897	Storm	2	Christian Gonsseume (1988)	Historian
06/07/1760	Storm	3	Almanach de Calais (1845)	Unknown	29/11/1897	Surge	4	MAD, reference 4 S 874	Architect Gontier
02/12/1763	Surge	3	De La Lande (1781)	Astronomer	02/03/1898	Storm	4	Le Gravelinois, (19/03/1989)	Unknown
28/09/1764	Surge	2	J. Goutier «Amis du Vieux Calais»	Unknown	13/01/1899	Storm	4	Le Nord Maritime, (January, 1899)	Unknown
02/01/1767	Surge	3	M.A. Bossaut (1898)	Librarian	10/12/1902	Storm	2	Christian Gonsseume (1988)	Historian
05/1774	Surge	4	MAD, ref. 2 Fi 169	Unknown	11/09/1904	Storm	3	Emile Bouchet (1911)	Man of Letters
01/01/1777	Surge	3	Raymond de Bertrand (1855)	Writer	08/01/1928	Storm	2	Christian Gonsseume (1988)	Historian
01/01/1778	Storm	3	Leon Moreel (1931)	Lawyer	07/12/1929	Storm	2	Christian Gonsseume (1988)	Historian
31/12/1778	Surge	4	Pigault de Lespinoy, 19 <sup>th</sup> cent. - a	Mayor of Calais	28/11/1932	Storm	4	MAD, ref. 4 S 881	City council of Dunkirk
02/02/1791	Surge	4	Pigault de Lespinoy, 19 <sup>th</sup> cent. - b		01/12/1936	Surge	4		
17/11/1791	Surge	2	Bernard Barron (2007)	Journalist	01/03/1949	Surge	4	La Voix du Nord, 2-4/03/1949	Unknown
04/09/1793	Surge	3	L'abbé Harrau (1898)	Historian	01/02/1953	Surge	4	La Voix du Nord, 4-6/02/1953	Unknown
30/10/1795	Storm	3	Céléstin Landrin (1888)	Archivist (Calais)	16/09/1966	Surge	4	La Voix du Nord, 17/09/1966	Unknown
13/11/1795	Storm	3	Charles Demotier (1856)	Historian	02/01/1995	Surge	3	Maspataud A., (2011)	PhD student
09/11/1800	Storm	4	MAD, ref. 2Q9	Unknown					
29/03/1802	Storm	3	Augstin Lemaire (1857)	Regent					
03/11/1804	Storm	3	Augstin Lemaire (1857)	Regent					

MAS-O : Saint-Omer Municipal Archives - Historical collection of Jean Hendricq bourgeois of Saint Omer; MAD : Municipal Archives Dunkirk; MAC : Municipal Archives Calais – thematic sheets

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**Table 3** HI about water levels in Dunkirk and other cities (unless otherwise stated, Heights are given in French royal foot which corresponds to 0.325m).

Date & N°	Tide Coefficient <sup>1</sup>	The event characteristic	Wind direction	City	Water level (ft)	Surges above MHWS (m)	Source name
31/12/1720							
1	104-104	Violent storm	NW	Dunkirk	22 ft 3 in**	---	- De Fourcroy D-R. (1780); - Plocq (1873).
27/02/1736							
2	110-114	Accompanied by strong winds	SW to N	Gravelines Calais	13 ft 2 in** > 1767	1.38 1.06	- De La Lande, (1781) ; - De Fourcroy D-R. (1780).
11/03/1750							
3	115-111	Generally accompanied by strong winds	SW to N	Gravelines Oostende	12 ft 2 in 13 ft 6 in*	1.05 ---	- De La Lande, (1781) ; - De Fourcroy D-R. (1780); - Mann, D. (1777,1780).
02/12/1763							
4	78-81	Generally accompanied by strong winds	SW to N	Dunkirk Calais Gravelines Oostende Nieuport	22 ft 17 ft 2 in 14 ft 2 in 14 ft* 14 ft*	--- 0.57 0.97 1.10 0.97	- De La Lande, (1781) ; - De Fourcroy D-R. (1780); - Mann, D. (1777, 1780)
02/01/1767							
5	93-96	Horrible storm	WNW- NNW	Dunkirk Calais Gravelines Oostende Nieuport	22 ft 6 in 18 ft 8 in 15 ft 10 in 16 ft* 17 ft 1 in*	--- 1.06 1.51 1.60 1.94	- Histoire de l'Académie Royale des Sciences (1767) ; - De Fourcroy D-R. (1780); - Mann, D. (1777, 1780)
01/12/1936							
6	99-96	Violent storm		Dunkirk	1 m>pred	---	- MAD 4S 881

<sup>1</sup> Source: SHOM; \*\* reconstructed water levels; \* foot of Brussels (1 ft = 0.273m).

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814 **Table 4** Historical skew surges induced by the 1720-1767 events. Heights are given in m.

Date	Tide Coef	Surge above MHWS	$\Delta_{WL}$	Skew surge
1720	104	1.54	-0.17	1.37
1763	78/81	1.46	0.29/0.24	1.75/1.7
1767	93	1.62	0.01	1.63

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818 **Table 5** The HI dataset (from literature and archives). Surges are given in m and  $w_{HMax}$  and  $w_s$  in years.

Year	1720	1763	1767	Events exist ( $n_k \neq 0$ ) but cannot be estimated	1897	1949	1953
Surge (m)	1.37	1.75	1.63		1.86	1.60	2.13
	<ul style="list-style-type: none"> <li>• HI from archives, <math>n_k = 3</math></li> <li>• 1720-1770 time-window</li> <li>• <math>w_{HMax1} = 50</math></li> </ul>	<ul style="list-style-type: none"> <li>• HI from archives, <math>n_k \neq 0</math></li> <li>• 1770-1897 time-window</li> <li>• Not used in the inference</li> </ul>	<ul style="list-style-type: none"> <li>• HI from literature, <math>n_k = 3</math></li> <li>• 1897-2015 time-window</li> <li>• <math>w_{HMax2} = 72,5</math>; <math>w_s = 46,5</math></li> </ul>				

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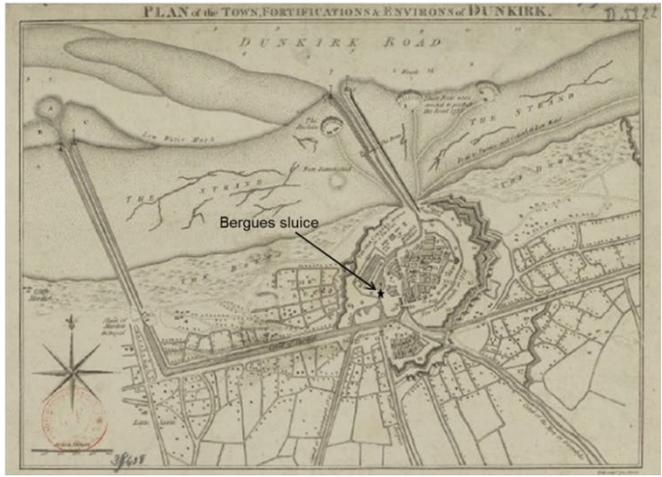
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**Table 6** The T-year quantiles & relative widths of their 70% CI (all the duration are given in years)

<i>T</i> (years)	+1953 event		+ literature HI		+ literature & archives HI	
	$w_{HMax1} = 16,5$		$w_{HMax} = 72,5$		$w_{HMax1} = 50 ; w_{HMax2} = 72,5$	
	$S_T$	$\Delta CI/S_T$	$S_T$	$\Delta CI/S_T$	$S_T$	$\Delta CI/S_T$
100	1.76	40%	1.82	32%	1.84	26%
500	2.46	71%	2.59	56%	2.61	48%
1000	2.86	86%	3.03	69%	3.05	59%

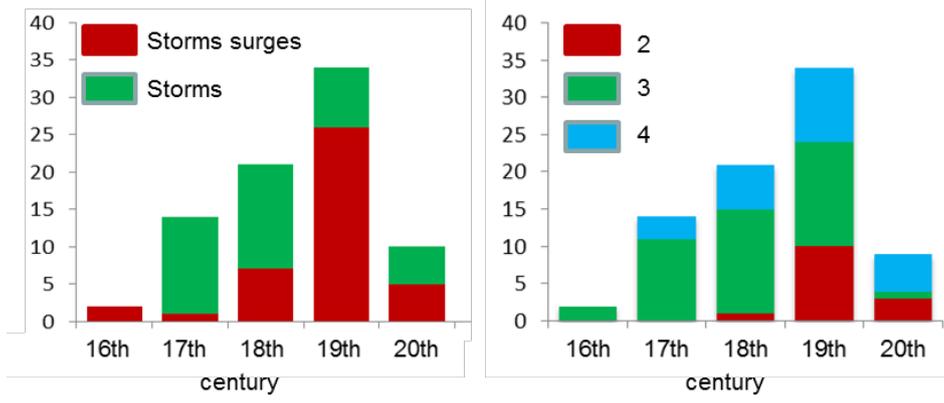
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**Fig. 1.** Map of the location (to the left) and an old plan of the Dunkirk city with the measure point of Bergues Sluice (to the right)

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**Fig. 2.** Distribution in time of the type of the events in the data base (left); Quality of the data (right).

# OBSERVATIONS SUR LES MARÉES, A LA CÔTE DE FLANDRE,

OU

*RECHERCHES sur la hauteur convenable aux  
Digues, Quais, Écluses, Batardeaux, & autres  
Ouvrages contre la Mer.*

Par M. DE FOURCROY DE RAMECOURT,  
Brigadier des Armées du Roi, Ingénieur en Chef en Calais.

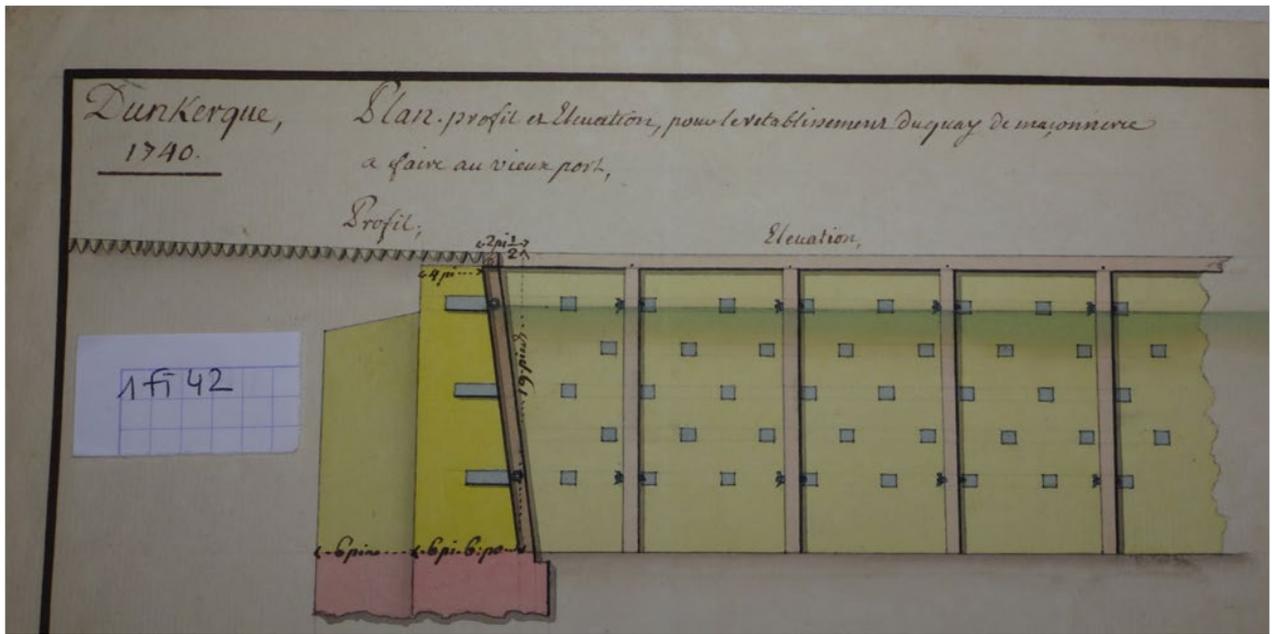
**LA MARÉE** extraordinairement haute, du 2 Janvier de cette année, dont j'ai envoyé, à M. Duhamel du Monceau, pour l'ACADÉMIE, l'Observation faite à la Côte de Flandre, m'a donné occasion de mettre en ordre plusieurs Notes, que j'avois recueillies, sur les mouvemens ordinaires & extraordinaires de la Mer, le long de cette Côte, & de les comparer à la surface du Pays. Ces Remarques sont en elles-mêmes de peu d'importance; cependant il m'a paru que l'on pouvoit en tirer quelques conséquences utiles à la petite Province où elles ont été faites.

I. Des points ordinaires où s'élève la pleine-Mer, à Calais, à Gravelines, à Dunkerque & à Ostende.

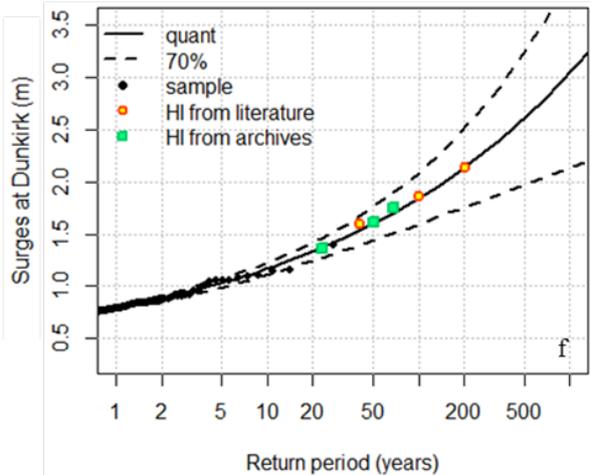
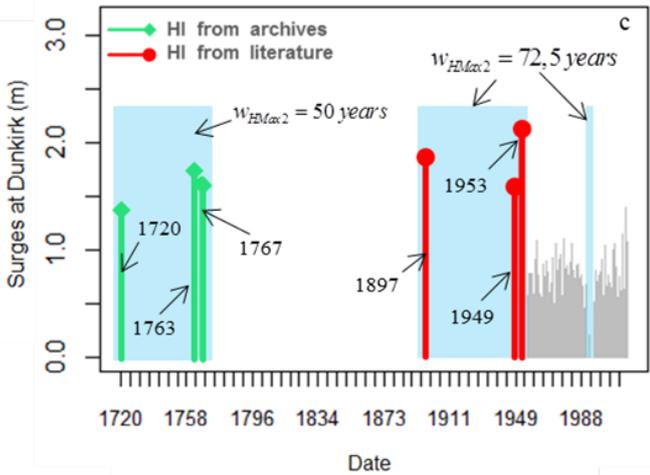
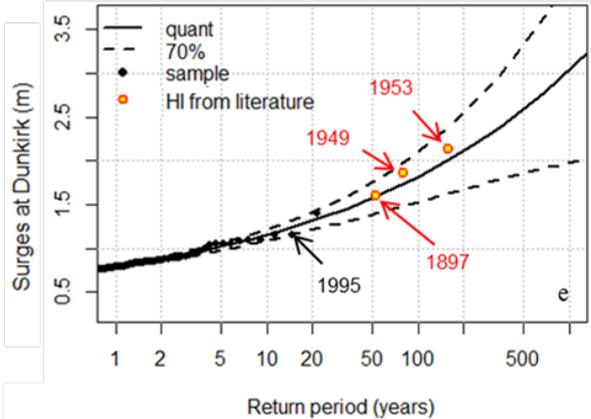
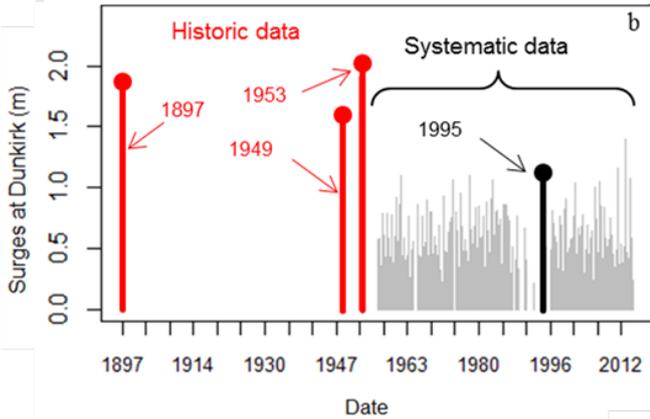
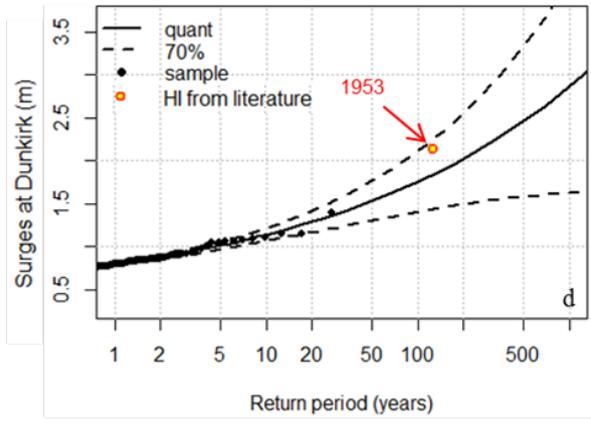
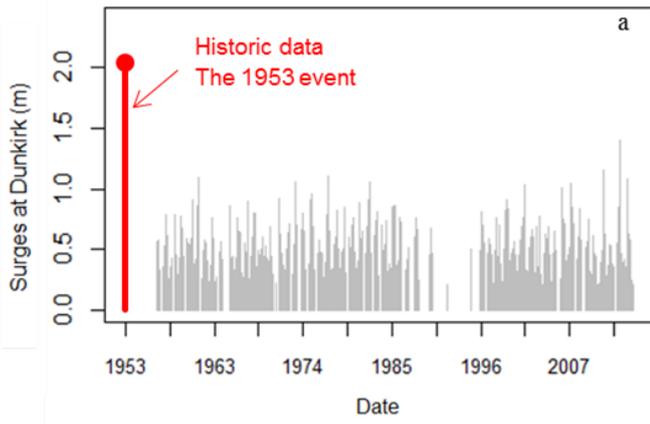
1. On a observé, depuis long-temps, les points où parvient la hauteur du flot, dans nos Ports de Flandre: il est fait  
*Tom. VIII, a*

Rapport des points avec le niveau réduit de la Mer.		Rapport des points avec les divisions de l'Éch. de Dunkerque.		Points déterminés à Dunkerque, & aux environs.	
Pieds.	Pouces.	Pieds.	Pouces.		
24	10	30	8	...	Repaire, marqué sur le socle, dans le Portail de la Paroisse, au Sud * (n <sup>o</sup> 1).
22	1	27	11	...	Niveau du milieu de la Place d'Armes.
20	4	26	2	...	Niveau réduit des Rues.
19	2	25	*	...	Sommet convenable aux Digues, dans la Plaine.
17	11	23	9	...	Sommet convenable aux Digues, sous Dunkerque.
16	8	22	6	...	Pleine-Mer du 2 Janvier 1767.
16	5	22	3	...	Pleine-Mer probable du 31 Décembre 1720.
16	2	22	*	...	Pleine-Mer du 2 Décembre 1763, en □.
14	6	20	4	...	Pleine-Mer, la plus haute des ○.
11	8	17	6	...	Pleine-Mer moyenne des ○.
11	2	17	*	...	Niveau des plus hautes Terres, vers Dunkerque.
9	11	15	9	...	Pleine-Mer, la moins haute des ○, & la plus haute des □.
8	7	14	5	...	Pleine-Mer moyenne des □.
7	3	13	1	...	Pleine-Mer, la moins haute des □.
6	2	12	*	...	Niveau des Terres autour de Furnes.
5	5	11	3	...	Niveau des Terres autour de Bergues.
4	8	10	6	...	Niveau des Terres autour d'Uxem.
			5	10	Niveau réduit de la Mer.
1	7	4	3	...	Niveau des Terres des Moeres, desséchées par M. d'Hérouville.
5	10	*	*	...	Point fixe de l'échelle de Dunkerque, à l'Écluse nommée de Bergues.
				...	Niveau probable de la basse-Mer moyenne des ○.

\* Ce point est le dessus même du socle, ou jambage droit du portail, en entrant.



**Fig. 3.** Two examples of HI as presented in the archives. (Top :) the 1767 extreme storm surge event in Dunkirk (De Fourcroy D-R., 1780); (Bottom :) a profile of the Dunkirk harbor dock from the municipal archives of Dunkirk (ref. 1Fi42, 1740).



**Fig. 4.** The GPD fitted to the POTH surges in Dunkirk: (Top :) with the 1953 event as a historical data; (Middle :) with historical data from literature and (Bottom :) with historical data from literature and archives. The 1995 event is considered as systematic.

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