



1 **Brief communication: Post-seismic landslides, the tough lesson of a catastrophe**

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6

7 **Abstract**

8 The rock avalanche that destroyed the village of Xinmo in Sichuan, China, on June 24<sup>th</sup>, 2017,  
9 brought the issue of landslide risk and disaster chain management in highly seismic regions back  
10 into the spotlight. The long-term post-seismic behaviour of mountain slopes is complex and hardly  
11 predictable. Nevertheless, the integrated use of field monitoring, remote sensing and real-time  
12 predictive modelling can help to set-up effective early warning systems, provide timely alarms,  
13 optimize rescue operations and perform secondary hazard assessments. We believe that a  
14 comprehensive discussion on post-seismic slope stability and on its implications for policy makers  
15 can no longer be postponed.

16

17 **1 Introduction: the 2017 Xinmo landslide, the lasting legacy of earthquakes**

18 On June 24<sup>th</sup>, 2017, after days of not-so-heavy rain, a 13 million m<sup>3</sup> rock and debris  
19 avalanche submerged the village of Xinmo (in the eastern margin of the Tibetan plateau, Sichuan,  
20 China) with impressive energy, rushing towards the river and blocking its course for more than 1  
21 kilometre. The rescue operations were launched promptly and all possible efforts were done by  
22 local heroes and professionals. Nonetheless, 10 people were found dead and further 73 were  
23 reported missing in one of the deadliest landslides in recent history (Fan et al., 2017; Figure 1).

24 Almost a century earlier, in 1933, Xinmo was struck by a magnitude M<sub>s</sub> 7.5 earthquake,  
25 during which large-scale landslides destroyed villages and choke rivers, producing dammed lakes  
26 that, collapsing, produced enormous floods, killing thousands of people (Cheng et al., 2008). Many  
27 coseismic landslides left large amounts of loose material along the slopes which, in turn, caused



28 deadly debris flows and avalanches during every rainy season, for decades. Just like it happened,  
29 and it is still happening since 2008, after the  $M_s$  8.0 Wenchuan earthquake (Fan and Huang, 2013),  
30 not many kilometres apart. However, what does not collapse during the quake is not exempt from  
31 damage. An earthquake can produce cracks and fractures in the rock which, paradoxically, can be  
32 noticed from space by the eyes of satellites, but be hidden to the human eye if covered by dense  
33 vegetation on high-elevation ridges (e.g. Fan et al., 2017). The damaged rock can hold in place for  
34 decades or even centuries, but rainwater can infiltrate within the fractures, dissolve minerals, fill  
35 the cracks, freeze, pull the blocks apart with its pressure until, more or less suddenly, the proverbial  
36 last straw – a rainfall or a minor shake (Qiu, 2016) – will make it collapse.



37  
38 **Figure 1.** Panoramic view of the Xinmo rock avalanche as seen from the opposite slope. Notice  
39 the red trucks for the scale.

40 The long-term effect of strong earthquakes on the geological hazards in mountainous areas  
41 seems to be an underestimated issue. While coseismic landslides are well-described (e.g. Parker et  
42 al., 2011; Zhang et al., 2016), and large attention is being given to the short and mid-term effects  
43 of earthquakes on debris flow occurrence and sediment yield (Hovius et al., 2011), the delayed  
44 effects on slope stability is often neglected. Rock weathering and crack propagation are complex  
45 time-dependent processes. Thus, the occurrence of post-seismic landslides does not follow a clear  
46 trend, and destructive events might happen “randomly”, decades after the quake (see Towhata,  
47 2013). Rock slopes damaged by the  $M_w$  7.7 Chi-Chi earthquake in 1999 in Taiwan (Lin et al.,



48 2006) are still collapsing, year after year during the rainy seasons, causing hundreds of fatalities.  
49 Post-seismic landslides and long-term rock degradation have been reported in several areas of  
50 Japan (Okamoto et al., 2012), and a clear dependency of landslide occurrence with past  
51 earthquakes has been found in New Zealand (Parker et al., 2015). Several recent landslides in  
52 Sichuan, China, have been correlated to the  $M_s$  7.5 Xichang earthquake (Wei et al., 2014), which  
53 occurred more than 160 years ago.

54 Five to ten thousand people die because of a non-coseismic landslide each year in the  
55 world, with China being the most exposed country (Petley, 2012) and with a worrisome increasing  
56 trend over time. A significant number of events can be regarded as post-seismic landslides, such  
57 as the recent one in Xinmo, for which the rock weakening action of past strong earthquakes likely  
58 acted as a predisposing factor.

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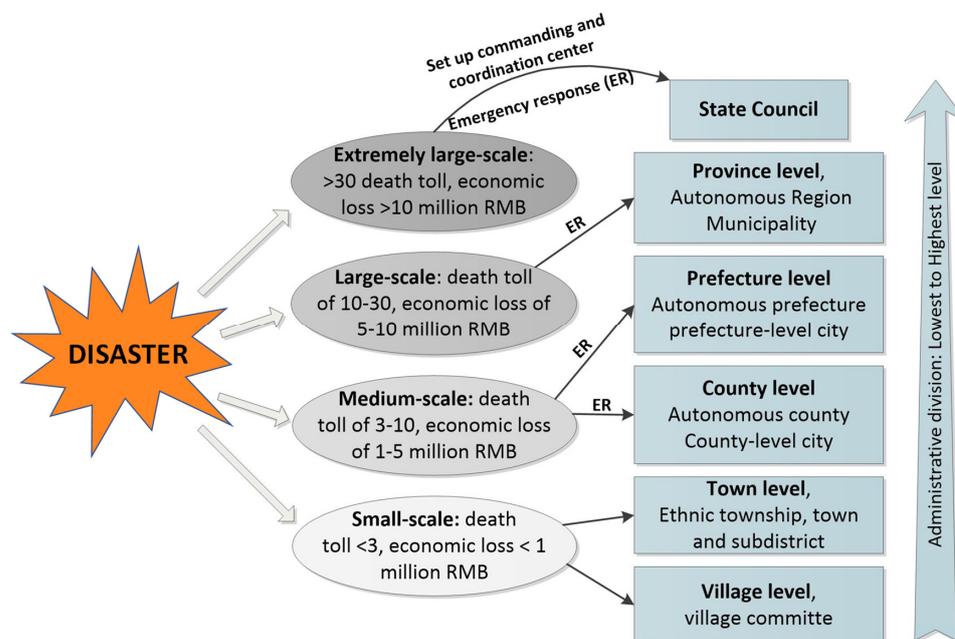
## 60 **2 An example of policy: disaster prevention and emergency response in China**

61 China has been doing great efforts in funding research, allocating special budget to  
62 professional teams and training the general public and government divisions at various levels with  
63 the aim of improving the early reconnaissance, warning and prevention of geological hazards.  
64 Nevertheless, tragedies still happen and, unfortunately, the Xinmo landslide was not an isolated  
65 event. In 2013, the Wulipo landslide – an event with similar characteristics – made 166 victims. It  
66 was considered as a lagged effect of the 2008 Wenchuan earthquake (Yin et al., 2016). According  
67 to Huang (2009), most of the catastrophic landslides in China might be caused by the joint effect  
68 of earthquakes and rainfall (Huang, 2009).

69 On the other hand, China has gained solid experience in the emergency response and rescue  
70 in catastrophic events. With the *leitmotif* “safety first”, the government listed the disaster  
71 prevention and reduction in its economic and social development plan as an important guarantee  
72 of sustainable development. One year after the 2008 Wenchuan earthquake, with the white paper  
73 “China's Actions for Disaster Prevention and Reduction”, the State Council defined the strategic  
74 goals and tasks of disaster reduction and built a legal framework, an institutional setup and an  
75 operative mechanism for disaster reduction (Chinese Government, 2009). To strengthen the  
76 capacity of emergency rescue and relief work, the National Emergency Plan for Sudden Geological  
77 Disasters was also enforced, featuring centralized command, sound coordination, clear division of



78 tasks and level-by-level control with local authorities. The geo-disasters have been classified into  
 79 four size-dependent categories according to the estimated fatalities and money loss (Figure 2).  
 80 Different levels of government have been given responsibility for handling disasters of different  
 81 magnitude.



82  
 83 **Figure 2.** The emergency response to disasters in the People’s Republic of China.

84 In the wake of a highly catastrophic event, the local-level divisions are required to report  
 85 to the State Council directly within no more than 4 hours, and this latter shall take immediate  
 86 action. The commanding and coordination headquarter, led by the Council directly, shall be set up  
 87 with a cross functional steering committee, consisting of experts from different fields, to conduct  
 88 rescue, evacuation, temporary relocation, information and data gathering, geological survey,  
 89 weather forecast, medical and epidemic prevention, lifeline engineering repair, and so on. The  
 90 headquarter has also the power to command the People’s Armed Police (the Chinese army) directly.  
 91 Conversely, in case of small and medium-scale disasters, the local government shall trigger the  
 92 emergency response immediately and autonomously, and set up a local emergency command, with  
 93 the local government’s heads serving as chief commanders, to jointly set up the emergency  
 94 response and disaster relief, organize the field work and report on the disaster details and work  
 95 progress to the higher governmental level.

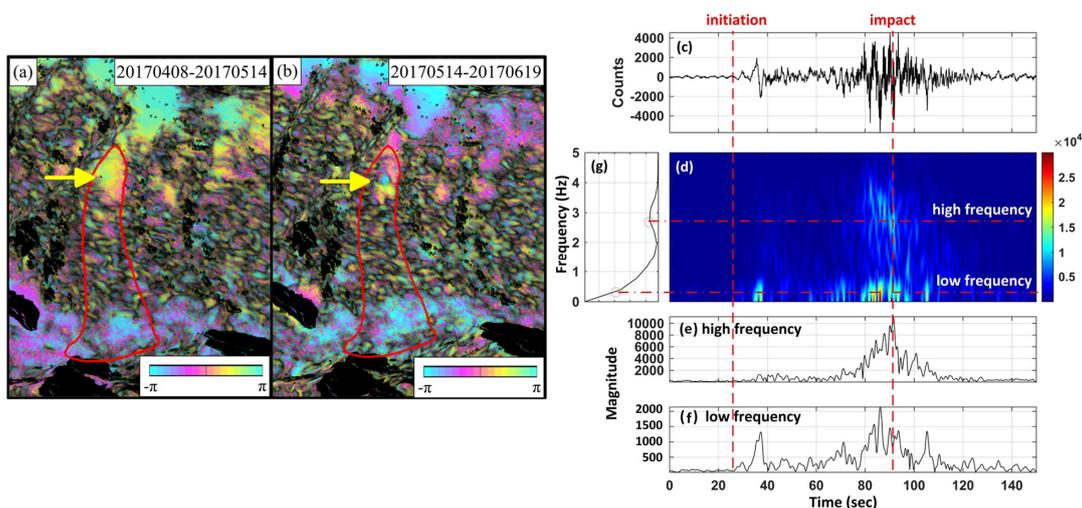


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### 97 **3 Open discussion: the lesson of a killer landslide**

98 The recent catastrophic event in Xinmo received considerable attention by the scientific  
99 community and by the media worldwide, bringing the issue of landslide risk and disaster chain  
100 management in highly seismic regions back into the spotlight. Through this brief, work-in-progress  
101 paper, we hope to trigger a comprehensive open discussion within the scientific community and  
102 gather and share ideas on the best handling of long-term post-seismic slope stability problems and  
103 on its implications for policy makers.

104 Soon after the Xinmo landslide, the Sichuan Province Administration began an inch-by-  
105 inch investigation to identify potential geohazards before the beginning of the rainy season. The  
106 satellite radar interferometry technique (InSAR) has been applied to identify hot spots of  
107 deformation within the large search region. Laser scanning (LiDAR) and drone flights (UAV) have  
108 then been used to further confirm the potential hazardous sites. We think that this is perhaps the  
109 most effective way to proceed in densely vegetated mountainous areas. Satellite images of the  
110 Xinmo village in the visible spectrum taken since 2003 showed, indeed, tens of meters of  
111 interconnected cracks in the landslide source area. InSAR images highlighted noticeable  
112 deformations (Figure 3a, b) in the rock mass during the months preceding the landslide and,  
113 reasonably, the infiltration of recent rainfalls within the cracks speeded up the failure process (Fan  
114 et al., 2017).



115



116 **Figure 3.** (a, b) Differential interferogram of the landslide obtained by processing Sentinel-1  
117 satellite data. The arrow points to the deformation occurred in the landslide source area. (c-g)  
118 Seismic signal of the Xinmo landslide event recorded by the Maoxian MXI station, about 43 km  
119 apart (modified from Fan et al., 2017): vertical component (c); frequency-time Hilbert spectrum  
120 (d); high-frequency time-magnitude spectrum,  $f = 2.73$  Hz (e); low-frequency time-magnitude  
121 spectrum,  $f = 0.4$  Hz (f); frequency-magnitude spectrum (g).

122 Tragedies such as the one occurred in Xinmo might be avoided if the same scrupulous and  
123 systematic early reconnaissance and monitoring activity is carried out in due time. Satellite  
124 imagery can help detect and prioritize potential hazardous areas. Then, through field and aerial  
125 investigations, using UAV, LiDAR and InSAR, a detailed mapping can be done. Potentially  
126 critical situations can be recognized and then handled through continuous monitoring, for instance  
127 through ground-based SAR, ambient noise recordings and acoustic sensors. These monitoring  
128 data, together with rainfall data, would be extremely precious to set-up early warning systems and  
129 help the authorities to take informed decisions on possible evacuation or relocation of the exposed  
130 people.

131 And, if the event happens too suddenly to take countermeasures, something could still be  
132 done to optimize the alarm-and-rescue chain, for instance, by using the existing seismic networks.  
133 In fact, it is known that landslides generate seismic signals, “landquakes”, which contain a specific  
134 signature: low-frequency waves released by the bedrock when the mass detaches, and high-  
135 frequency waves produced by the landslide mass while it is sliding, peaking when it impacts the  
136 deposition area (e.g. Yamada et al., 2012). If analysed separately, they can give information on  
137 both landslide initiation and impact (Figure 3c-g). In theory, two distinct epicentres can be  
138 identified automatically by the seismic networks, if they are sensitive enough and they are taught  
139 to do so. In the case of the Xinmo landslide, the seismic recording showed that just one minute  
140 elapsed from the initiation to the deposition, during which the mass slid along the slope for more  
141 than 2.5 km, with more than 1000 m of height relief, and hit the population at an impressive  
142 velocity of over 250 km/h. The energy released by the event could be estimated, 290 TJ, and so  
143 the volume involved, 13 million  $\text{m}^3$  (which is pretty close to the estimation based on field  
144 observation and topographic difference). If this all was done automatically, within seconds from  
145 the event, the authorities would have received a detailed alarm report containing the coordinates  
146 and magnitude of the landslide, the runout, the rock/soil involved, the volume and impact velocity,



147 the number of people and infrastructures potentially affected and the estimated damage. Such  
148 quantitative information can be extremely useful to launch the rescue operations in the most  
149 efficient way. Some work has been done on this path already (Chao et al., 2017), and seems very  
150 promising.

151 Finally, after the landslide event, an accurate and continuous secondary hazard assessment  
152 is fundamental. Fan et al. (2017) reported on a preliminary evaluation of the secondary hazard  
153 deriving from potential further failures in the source area and its surroundings. Various potentially  
154 unstable masses have been identified. Among them, a large-scale deformation of a 4.5 million m<sup>3</sup>  
155 mass was detected through the interpretation of UAV images. The mass was likely displaced by  
156 the shearing and dragging action of the Xinmo landslide, but it stabilized after sliding for about 40  
157 m after encountering a natural obstacle. During the emergency rescue operations, the mass was  
158 believed to be in a state of incipient failure and received considerable attention. In order to provide  
159 a reliable evaluation of its stability condition, and to ensure the safety of people near the landslide  
160 deposition area and preventing further disasters, a ground-based SAR was installed. Subsequently,  
161 numerical modelling with various methods (finite elements, discrete elements) was carried out to  
162 evaluate the potentially affected areas in case of a new failure (Scaringi et al., 2017). The model  
163 results showed that the potential new landslide would likely affect several more buildings and a  
164 further portion of river and of road infrastructure. Furthermore, the resulting river damming would  
165 pose a serious risk for the population living downstream in case of dam breach and for the  
166 population living upstream for the possible water level rise. In the wake of these results, different  
167 modelling approaches have been discussed comparatively, and the opportunity of an integrated  
168 real-time monitoring-and-modelling system arose. As pointed out by Molinari et al. (2014), a  
169 physically-based numerical model capable of re-computing a new solution in a very short time  
170 (i.e. within seconds) based on spatially distributed real-time field monitoring data can be extremely  
171 useful in dynamic risk assessment systems at a scale of detail to provide early-warning to the  
172 authorities and implement timely risk mitigation countermeasures.

173

#### 174 **4 Concluding remarks**

175 The Xinmo tragedy taught us a tough lesson, but also showed us how the use of new  
176 technologies and the collaborative work of experts and professionals can prove successful in



177 identifying potential hazards and performing quick assessments in “inaccessible” areas. As the  
178 hazard chain of earthquakes can last for centuries, we argue that a dedicated hazard prevention and  
179 mitigation department should be established in every region where strong earthquakes can strike.  
180 It would provide the necessary coordination and integration of resources, information, equipment  
181 and manpower. It would be able to set up big data centres and platforms, automatic reconnaissance,  
182 warning and alarm algorithms. It would carry out comprehensive research on geological hazard  
183 prevention and promote the practical application of new technologies. Finally, it would  
184 comprehensively enhance our capacity of preventing and mitigating geological hazards, and avoid  
185 tragedies.

186

### 187 **Acknowledgments**

188 After the occurrence of the June 24th Xinmo landslide, the State Council, the Ministry of Land  
189 and Resources, and various governmental departments at all levels in Sichuan Province  
190 immediately devoted their efforts into the emergency rescue operations, secondary hazard relief  
191 and geological surveying and monitoring. To them goes our most sincere appreciation and  
192 gratitude.

193 We thank the Sichuan Provincial Surveying and Mapping Geographic Information Bureau,  
194 the High-resolution Earth Observation System Sichuan Data and Application Center, the Sichuan  
195 Shu Tong Geotechnical Engineering Company, the Beijing Digital Greenfield Technology Co.  
196 Ltd. We express our gratitude to Prof. Yueping Yin from China Geological Environment  
197 Monitoring Institute, to Prof. Xiao Li from China Geological Survey, to Prof. Zhenhong Li from  
198 Newcastle University, to Prof. Lu Zhang and Prof. Mingsheng Liao from Wuhan University, to  
199 Prof. Qin Zhang from Chang'an University, to Prof. Shizhong Hong from Chengdu Earthquake  
200 Prevention and Hazard Mitigation Bureau, to Dr. Xinghui Huang from China Earthquake  
201 Networks Center, to Prof. Yong Li from Chengdu University of Technology, to Prof. Chong Xu  
202 from the Institute of Geology, China Earthquake Administration, for providing valuable  
203 information at the earliest time. We express sincere thanks to Dr. Yanan Jiang, Dr. Jing Ran,  
204 Xianxuan Xiao, Weiwei Zhan, Jing Ren, Yuanzhen Ju, Chen Guo, and other postgraduate students  
205 from Chengdu University of Technology for their hard work on the UAV aerial photography,  
206 ground-based SAR monitoring and other field work.



207 This research is financially supported by National Science Fund for Distinguished Young  
208 Scholars of China (Grant No. 41225011), the Funds for Creative Research Groups of China (Grant  
209 No. 41521002), National Science Fund for Outstanding Young Scholars of China (Grant No.  
210 41622206), the Fund from Land and Resources Department of Sichuan Province (Grant No. KJ-  
211 2015-01).

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