

1 ~~LARGE SCALE LANDSLIDE SUSCEPTIBILITY ASSESSMENT USING~~
2 ~~THE STATISTICAL METHODS OF LOGISTIC REGRESSION AND~~
3 **BSA**. STUDY CASE: THE SUB-BASIN OF THE SMALL NIRAJ
4 (TRANSYLVANIA DEPRESSION, ROMANIA)
5

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15
16 **ABSTRACT:** The existence of a large number of GIS models for the identification of landslide
17 occurrence probability makes difficult the selection of a specific one. The present study focuses
18 on the application of two quantitative models: the logistic and the BSA models. The comparative
19 analysis of the results aims at identifying the most suitable model. The territory corresponding
20 to the Niraj Mic Basin (87 km²) is an area characterised by a wide variety of the landforms with
21 their morphometric, morphographical and geological characteristics as well as by a high
22 complexity of the land use types where active landslides exist. This is the reason why it
23 represents the test area for applying the two models and for the comparison of the results. The
24 large complexity of input variables is illustrated by 16 factors which were represented as 72
25 dummy variables, analysed on the basis of their importance within the model structures. The
26 testing of the statistical significance corresponding to each variable reduced the number of
27 dummy variables to 12 which were considered significant for the test area within the logistic
28 model, whereas for the BSA model all the variables were employed. The predictability degree
29 of the models was tested through the identification of the area under the ROC curve which
30 indicated a good accuracy (AUROC = 0.86 for the testing area) and predictability of the logistic
31 model (AUROC = 0.63 for the validation area).
32

33 **Keywords:** Landslide modelling, Logistic regression, BSA, GIS database, GIS modelling,
34 comparison
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36 1. GENERAL CONSIDERATION

37
38 One of the main natural hazards affecting the territory of Romania is represented by landslides
39 which have a high spatial and temporal frequency and cause damages to transport infrastructure and
40 buildings and determine environmental changes (Bălțeanu and Micu 2009; Bilașco et. al 2011; Năsui
41 and Petreuş 2014).

42 EEA European Directive from 2004 underlines the need to mapping and identification areas with
43 vulnerability to landslides using indirect techniques in European and national context (Guzetti 2006; Van
44 Westen et al. 2006; Magliulio et al. 2008; Polemio and Petrucci 2010).

45 Thus, the studies determining their probability of occurrence are highly valuable in the process
46 of reducing their potential negative effects. Among the methods used for determining the spatial

1 probability of landslides, statistical methods are recommended by very good results and high validation
2 rates (Zeze et al 2004; Petrea et al. 2014; Roşca et al. 2015a,b).

3 Considering the increase in the number of possibilities for data processing and the evolution of
4 methods developed in the GIS environment, various methods of landslide susceptibility assessment
5 have been developed, out of which the logistic regression and bivariate statistical analysis methods is
6 one of the most frequently used (Harrell 2001; Kleinbaum and Klein 2002; Ayalew and Yamagishi 2004;
7 Dai and Lee 2002; Ayalew and Yamagishi 2005; Lee 2005; Cuesta et al. 2010; Chiţu 2010; Mancini et
8 al. 2010; Wang et al. 2011; Guns and Vanacker 2012; Jurchescu 2013; Măguţ et al. 2013, Akbari et al.
9 2014; Van den Eeckhaut et al. 2010). This analysis starts from the hypothesis that the combination of
10 factors which led to the occurrence of landslides in the past will have the same effect in the future
11 (Crozier and Glade 2005).

12 Among the advantages of **this method** one must take into consideration the possibility of simultaneously
13 integrating both quantitative and qualitative data in the model and the testing **of v represent dependent**
14 variables while their triggering and preparing factors are the independent (explanatory) variables.

15 The purpose of this study is to identify the **large** scale susceptibility of landslide occurrence by
16 **applying the logistic model** in the sub-basin of the Small Niraj (Fig. 1). The database included a complete
17 landslide inventory and the descriptive data of 16 causing factors used for generating the model. These
18 factors describe the morphometrical, geological and the hydroclimatic characteristics of the territory
19 under analysis.

20 Fig. 1: Geomorphological map of the Small Niraj catchment and geographical position of the study area
21 (1 – flood plain, 2 – slopes and connecting surfaces, 3 – slopes with complex modellation, 4 – active
22 landslides, 5 – permanent hydrographic network, 6 – temporary hydrographic network, 7 – watershed
23 divide, 8 – settlements)
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25 **2. STUDY AREA**

26 The study area is located in the north-east of Transylvania Depression, Romania, and has
27 recorded important economical and environmental losses over in the last two years: 67 persons, 45
28 houses, 115 hectares of land and a country road were affected by landslides. The catchment area is
29 found between 24°47'52" and 24°58'32" eastern longitude and 46°30'53" and 46°37'42" northern
30 latitude, totalizing an area of 68 km² and **including** the territories of ten settlements. The Small Niraj
31 represents the main river of the area.

32 Based on the Romanian National Meteorological Administration Institute the mean temperature

1 varies between – 4.2° C in January and 17.9° C in August. The mean annual rainfall is around 622
 2 mm/year, while the maximum precipitation falls between May (73.5 mm) and June (81.5 mm).

3. DATABASE AND METHODOLOGY

4 GIS spatial analysis models are built upon complex structures and databases generated from
 5 varied sources. One of the main problems to solve during the building of a spatial analysis model that
 6 localizes the areas with different landslide susceptibility values is represented by the identification of its
 7 actual format along with the building and the integrated management of the model input data.

8 The large variety of databases serving as input data in the complex identification model
 9 concerning landslide susceptibility, makes it that the different model structures have a resolution
 10 dependent on the model scale. Bearing in mind that the scale for the models fits within the large scale
 11 category, the authors have built a database both vector (landslide areas, geology, seismicity, land use)
 12 and raster data (slope angle, aspect, fragmentation depth, fragmentation density, elevation, CTI, SPI,
 13 plan and profile curvature etc.) (Table 1).

14 Table 1: Database structure

| Nr. | Database | Structure type | Source/resolution | Database type |
|-----|----------------------------------|----------------|--|--------------------|
| 1. | Contour lines | vector | Topographic maps, 1:25.000 | primary |
| 2. | DEM | Raster (grid) | 20 m | modelled |
| 3. | Slope | Raster (grid) | degrees | derived |
| 4. | Lithology | vector | Geological map, 1:200000 | primary |
| | | Raster (Grid) | Conversion – 20 m | derived |
| 5. | Aspect | Raster (grid) | 20 m | derived |
| 6. | Drainage Density | Raster (grid) | m/km | derived |
| 7. | Drainage Depth | Raster (grid) | m | derived |
| 8. | Hydrological soil classes | Raster (grid) | Soil Map, 1:200000 | derived |
| 9. | Distance to settlements | Raster (grid) | Derived from Ortofotoplans | derived |
| 10. | Distance to roads | Raster (grid) | Derived from Ortofotoplans | derived |
| 11. | Distance to hydrography | Raster (grid) | Derived from Ortofotoplans | derived |
| 12. | Stream Power Index | Raster (grid) | 20 m | modelled |
| 13. | Profile curvature | Raster (grid) | 20 m | derived |
| 14. | Plan curvature | Raster (grid) | 20 m | derived |
| 15. | Compound Topographic Index (CTI) | Raster (grid) | 20 m | modelled |
| 16. | Precipitation data | Raster (grid) | Interpolation with a statistical model | modelled |
| 17. | Seismicity | vector | Seismic zonation map, 1:200000 | primary |
| | | Raster (Grid) | Geological map, 1:200000 | derived |
| 18. | Land use | vector | Ortophotoplans, 1:5000; Conversion – 20 m | primary |
| | | Raster (Grid) | Conversion – 20 m | derived |
| 19. | Landslide areas | vector | Spot Images, orthophotographs, GPS points | primary derived |
| | | Raster (Grid) | Conversion – 20 m | derived |

| | | | | |
|-----|---------------------------|---------------|---|----------|
| 20. | Landslide probability map | Raster (Grid) | Equations of spatial analysis (20 m resolution) | modelled |
|-----|---------------------------|---------------|---|----------|

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The spatial distribution of the 16 factors included in the model was determined using GIS functions of spatial analysis included in the ArcGis software.

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The different database sources made their validation mandatory so as to ensure an accurate representation. The validation of the databases was done using the comparison technique (the database was compared to field data) as well as using observation (by visual identification of the correspondence existing between the cartographic representation and the existing situation in the field). Having the certainty that a valid and accurate database is used, the logical schemas of the BSA and logistic model were subsequently completed in order to be used for determining the probability of landslide occurrence.

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The landslide susceptible areas are identified through the BSA model by considering the statistic value specific to each class of the factors included in the initial database, without taking into account the importance of the factor within the informational flux of the model. The statistical model based on the bivariate probability analysis was applied to predict the spatial distribution of landslides by estimating the probability of landslide occurrence based on the assumption that the prediction should start from the existing landslides: Chung et al. 1995; Dhakal et al. 2000; Saha 2002; Sarkar and Kanungo 2004; Magiulio et al. 2008; etc.

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The statistical value of each factor class included in the bivariate model was calculated using the equation proposed by Yin and Yan, 1988, as well as Jade and Sarkar 1993:

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$$I_i = \log \frac{S_i/N_i}{S/N}, (1)$$

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where:
 I_i = Statistical value of the analysed factor
 S_i = Area affected by landslides for the analysed variable
 N_i = Area of the analysed variable
 S = Total landslide area in the analysed basin
 N = Area of the analysed basin

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By using formula (1), the statistical value of each variable is identified, the insignificant variables (characterised by negative values) being integrated with an equal weight in the model structure, occasionally reducing the susceptibility class values.

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In order to predict landslide susceptibility at pixel level in the study area the model of logistic regression was also taken into consideration. This method was mathematically described by Harrel 2001: Ω represents the set of points (pixels from the study area); Y represents the binary variables (0 for pixels without landslides and 1 for pixels with landslides); X_1, \dots, X_n represent independent variables,

1 in this study the 15 factors included in the model, each classified in various categories and represented
2 with the help of dummy variables, out of which one class was not included in the model in order to be
3 used as a control value (Van den Eeckhaut et al. 2006).

4 Thus, the probability of occurrence for a new landslide event is represented by:

$$5 \quad P = \frac{1}{1+e^{-z}}, \quad (2)$$

6
7 where:

$$8 \quad Z = \beta_0 + \beta_1 X_1 + \dots + \beta_n X_n,$$

9 $X_1 \dots X_n$ – preparing and triggering factors

10 β_0 – constant ,

11 $\beta_1 \dots \beta_n$ - multiplication coefficients.

12
13 One can notice that the probability of occurrence becomes a linear function for each variable included
14 in the model (Kleimbaum and Klein 2002). In order to estimate the parameters, a logarithmic
15 transformation of the odds ratio was necessary (represented by the ratio of the probability of success
16 and the probability of failure) which changes the variation interval from (0,1) to a sigmoid curve, in the
17 interval $(-\infty, +\infty)$ (Thiery 2007, cited by Jurchescu 2013). The main methodological stages are described
18 in Fig. 2.

19 Fig. 2: Applied methodological flow chart

20 The Ω study area was divided into two random sub-categories: Ω_1 and Ω_0 . Hence, 500 points
21 were used in the modelling process, 250 points generated at a minimum distance of 60 metres in the
22 landslide areas and 250 points at a minimum distance of 80 meters in the non-landslide areas. A number
23 of 40 landslides were randomly selected for the training stage and 15 landslides were included for the
24 validation of the model. The validation set of points included a total of 200 randomly generated points
25 at a minimum distance of 40 meters (100 points inside the landslides and 100 points outside them). The
26 importance of this stage which relies on a division of the study area in two sets of samples has been
27 repeatedly emphasised by numerous authors with respect to the independence of the validation set of
28 data used to test the results of the logistic regression for landslide susceptibility assessment (Van den
29 Eeckaut et al. 2006; 2010; Mancini et al. 2010, Märgärint et al. 2013, etc).

30 The coefficient values ($X_1, \dots X_n$) of each landslide factor were necessary in order to determine
31 the probability of landslide occurrence for each pixel, these coefficients being considered as
32 representative for Ω_1 and Ω_0 . In order to preserve the independence of the input factors, the 16 variables
33 were transformed into dummy variables, resulting in a total of 73 variables, as each input factor was

1 classified in different categories necessary for the comparative analysis. For each factor, one of the
2 dummy variable was kept for reference (Hilbe 2009).

3 The multiplication coefficient of each variable was determined by applying the logistic regression
4 (Table 2). The $\beta_0 \dots \beta_n$ parameters were estimated using the maximum likelihood ratio (i.e. inverse
5 probability) (Harrel 2011). This stage identifies the difference between the model which does not include
6 the X_1 parameter in the input database and the model which includes in its input database the X_n
7 parameter. The variables with the highest influence were identified with the help of the AIC criterium
8 which indicates the statistical significance of the variable.

9 A value below 0.05 is considered optimal, representing the threshold for the data acceptable within the
10 model database. A statistical threshold value of <0.1 determines the elimination of that specific variable
11 from the present database, as it would raise multicollinearity issues (Cuesta et al. 2010). The coefficients
12 resulting from the logistic regression were implemented in a GIS environment using the Raster
13 Calculator functions, by multiplying them with the raster variables which represent the landslide
14 preparing and triggering factors.

15 The goodness of fit was determined by generating the area under the ROC curve using the
16 training data, while the prediction capacity of the model was identified using the validation data set
17 (Hosmer and Lemeshow 2000; Guzzetti 2006). The quality of the information included in the input
18 variables for the landslide susceptibility model as well as the number of variables need to be considered
19 in the process of variable selection, in order to reduce redundancy (Chițu 2010).

20 The 16 variables (elevation, slope angle, average precipitation, slope aspect, drainage density,
21 drainage depth, hydrological soil classes, distance to streams, distance to roads and settlements,
22 Stream Power Index (SPI), land use, lithology, plan curvature and profile curvature, Topographic
23 Wetness Index (CTI) were included in the model, their selection being performed according to their
24 statistical relevance in the logistic regression.

25 **4. RESULTS, VALIDATION AND DISCUSSION**

26 The establishing of the research methodology applied in the present study needs a comparative
27 approach of the methods and of the results obtained through the implementing of the previously
28 mentioned models.

29 The comparison of the spatial analysis methods integrated within the two models emphasises
30 the difference among the necessary databases, as well as the complexity and implementation possibility

1 of the models. The comparative approach of the results on the different levels of the modelling process
 2 as well as of the final results shows the practical utility of such databases within each model, as well as
 3 the accuracy of the representation.

4 4.1. Applied logistic regression to landslide susceptibility assessment

5 The statistical correlation between the mapped landslides from the Niraj river basin and their causing
 6 factors was determined for the logistic model using the statistical software R. The training variables were
 7 included in the logistic regression and the AIC was used to perform an automated stepwise selection of
 8 the best model, namely the combination of variables which best explains the occurrence of landslides
 9 in the analysed territory.

10 The model with the best AIC value (AIC = 524) is given by the following expression:

$$11 \text{ fit3} = \text{glm}(\text{alunec} \sim \text{Indse_8} + \text{spi_1} + \text{dst_h5} + \text{as_10} + \text{as_7} + \text{dst_dr6} + \text{Indse_3} + \text{dns_f4} + \\ 12 \text{as_6} + \text{slop_4} + \text{pp_2} + \text{dst_dr7} + \text{dst_lc7}, \text{family} = \text{binomial}, \text{data} = \text{model_df2}) \\ 13 (3) \\ 14$$

15 According to the values of the multiplication coefficients (Table 2), the landslides from the Small
 16 Niraj river basin are due to the following combination of favourable factors: slope angles ranging
 17 between 10° and 15° (Slop_4: 0.675), predominantly south-western and southern slope aspect (As_7:
 18 1.374, As_6: 0.818), drainage density ranging between 1.5 and 2 m/km² (Dns_4: 1.017) and distance
 19 to streams ranging between 200 and 400 m (Dst_h5: 1.123). The negative coefficient values are caused
 20 by a reduced landslide density in the respective factor classes, thus being interpreted as restrictive
 21 classes for landslide occurrence.
 22

23 Table 2: Regression coefficients of the input variables

| Regression coefficients | Coefficient symbols | Coefficient values | Probability (Odds difference) | Reference variable |
|--|---------------------|--------------------|-------------------------------|--------------------|
| Constant | | -1.1381 | | |
| Broad leaved forests | <i>Indse_8</i> | -2.0400 | -0.87% | Indse_6 |
| 0 < SPI < 5 | <i>spi_1</i> | -1.3942 | -0.75% | spi_2 |
| 201 m < Distance to streams < 400 m | <i>dst_h5</i> | 1.1238 | 108% | dst_h7 |
| Northern aspect | <i>as_10</i> | -1.5113 | -0.78% | as_1 |
| South-western aspect | <i>as_7</i> | 1.3744 | 195% | as_1 |
| 401 m < Distance to roads < 800 m | <i>dst_dr6</i> | 0.9694 | 63% | dst_dr8 |
| Vineyards | <i>Indse_3</i> | -2.3552 | -0.90% | Indse_6 |
| 1.5 m/km ² < Drainage density < 2 m/km ² | <i>dns_f4</i> | 1.0179 | 77% | dns_f5 |
| Southern aspect | <i>as_6</i> | 0.8183 | 27% | as_1 |
| 10,1° < Panta > 15° | <i>slop_4</i> | 0.7655 | 15% | slop_1 |
| Average precipitation = 650 mm/year | <i>pp_2</i> | 0.8281 | 29% | pp_1 |
| 801 < Distance to roads < 1600 | <i>dst_dr7</i> | -0.7583 | -0.53% | dst_dr8 |
| 801 < Distance to settlements < 1600 | <i>dst_lc7</i> | 0.8739 | 40% | dst_lc8 |

1 The large area under the ROC indicates a high sensitivity of the model as well as a low false
 2 positive rate which account for a satisfying precision of the results. The smaller ROC area in the case
 3 of the validation data, though still above the threshold of 0.5, is due to a smaller landslide set available
 4 for validation.

5 The classification of the results in the final susceptibility classes was based on the success rate,
 6 (Chung and Fabbri, 1999, 2003, 2008; Van Westen et al., 2003; Remondo et al., 2003) resulting the
 7 map in Fig. 5.

8
 9 **4.2. Applied bivariate probability analysis (BSA) to landslide susceptibility assessment**

10 The processing of the derived and modelled database by means of the ArcGis software using the
 11 specific functions of conversion, analysis and spatial integration has led to the generation of landslide
 12 susceptibility maps and their corresponding raster databases according to the statistical values of each
 13 coefficient class.
 14

15 The results of the models are included in a raster database which highlights the probability of
 16 landslide occurrence for each pixel of the analysed area with a statistical value ranging from -6.727 to
 17 +2.756. The final susceptibility map was classified using the Natural Breaks method in five susceptibility
 18 classes (very low, low, medium, high and very high) (Fig. 5).

19
 20 Fig. 5: Landslide susceptibility map generated using the BSA model

21 When analysing the classified susceptibility map one can note the vast expansion of the high and very
 22 high susceptibility classes (65% of the analysed area) which correspond to the slopes from the upper
 23 river basin of the Small Niraj (in the administrative territory of the Şirea Nirajului settlement), as well as
 24 in the hilly sector of the lower river basin (in the administrative territories of Miercurea Nirajului, Drojdi
 25 and Maia).

26 The validation of the results was performed in a first stage using the percentage of the landslide
 27 areas in each class (Fig. 6). Thus, there is a very good validation of the results as the largest proportion
 28 of the active landslides (71.23%) are included in the very high susceptibility class which also represents
 29 the second largest area in the Small Niraj river basin (28.3 km²).

30 Fig. 6: Percentage distribution of active landslide on the probability classes and ROC curve value

31 Table 4: Spatial distribution of susceptibility classes

| | Susceptibility class | Statistical value | Area |
|--|----------------------|-------------------|------|
|--|----------------------|-------------------|------|

| | | | (km ²) | % |
|----|-----------|-----------------|--------------------|-------|
| 1. | Very low | -6.727...-3.231 | 4.410 | 5.07 |
| 2. | Low | -3.231...-1.743 | 9.353 | 10.76 |
| 3. | Medium | -1.743...-0.516 | 16.372 | 18.83 |
| 4. | High | -0.516...0.524 | 28.486 | 32.76 |
| 5. | Very high | 0.524...2.756 | 28.330 | 32.58 |

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2 By comparing the two databases it becomes obvious that 92.8% of the active landslides overlay the
3 high and very high susceptibility areas and only 6.55% are included in the medium susceptibility class.
4 This high degree of model fit is represented by the large area under the ROC (0.983) which indicates a
5 good correlation between the model results and the landslides in the field (Fig. 6).

6 **4.3. Comparison of results**

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8 The spatial distribution of the susceptibility classes in the case of the map generated with the
9 help of the logistic model highlights a similar distribution in for the middle slope sectors from the lower
10 and middle river basin, in the administrative territory of Miercurea Nirajului, Eremitu and Maia, but on
11 the western slope of Măgherani Hill there are some obvious differences (Fig. 7).

12 Fig. 7: Regional differences of susceptibility classes obtained through BSA model or by applying logistic
13 model

14

15 The results differ between the application of the BSA model and the logistic model (Fig.8). By applying
16 the BSA model in which all the classes of the 16 factors were included in the model, namely all the 72
17 dummy variables, there is an overestimation of the high susceptibility class (32.7%) and of the very high
18 susceptibility class (32.5%). By applying the logistic model, these values decrease to 15.2% for the high
19 susceptibility class and to 10.9% for the very high susceptibility class, as the variables corresponding to
20 statistically insignificant classes were eliminated.

21 Fig. 8: Comparative percentage distribution on susceptibility classes obtained by applying BSA model
22 (8.A) and logistic model (8.b)

23 When comparing the input databases for the two models, there is a decrease in the initial
24 number of variables (16) in the case of the logistic regression due to the application of the likelihood test
25 (Table 6.21). Hence, the variable classes with a very reduced spatial expansion were excluded from the
26 model as they would lead to additional errors (for example: the territories ranging between 700 and 800
27 m, slope angle values between 25 and 30°, territories at less than 50 m from settlements and at 25-50
28 m from the street network, a lithology dominated by sands, gravels alternating with marl and vineyards
29 land use).

1 Another series of variable classes were excluded from the analysis, for example the territories
 2 with a drainage density between 0.5-1 m/km², a drainage depth between 51-100 m, the territories
 3 situated at 25-50 m from streams, pastures as well as the slopes with positive values of the plan
 4 curvature due to their low statistical significance.

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Table 5: Comparative statistical values (for BSA and logistic regression)

| Criterion /symbol | Variable classes | Statistical value (BSA) | Regression coefficients (Logistic Regression) |
|-------------------------------------|------------------|----------------------------|---|
| 1. ELEVATION | <i>Mde_1</i> | 338 – 400 m | -0.306 |
| | <i>Mde_2</i> | 401-500 m | 0.135 |
| | <i>Mde_3</i> | 501-600 m | 0.008 |
| | <i>Mde_4</i> | 601-700 m | 0.018 |
| | <i>Mde_5</i> | 701-800 m | 0 |
| | <i>Mde_6</i> | 801-900 m | 0 |
| | <i>Mde_7</i> | 901-1000 m | 0 |
| | <i>Mde_8</i> | 1001-1081 m | 0 |
| 2. ASPECT | <i>As_1</i> | Horizontal | -0.015 |
| | <i>As_2</i> | N | 0.075 |
| | <i>As_3</i> | NE | 0.215 |
| | <i>As_4</i> | E | 0.047 |
| | <i>As_5</i> | SE | -0.123 |
| | <i>As_6</i> | S | 0.147 |
| | <i>As_7</i> | SV | 0.308 |
| | <i>As_8</i> | V | -0.828 |
| | <i>As_9</i> | NV | 0.055 |
| 3. SLOPE ANGLE | <i>Slop_1</i> | 0-2 ° | -0.216 |
| | <i>Slop_2</i> | 2.1-5 ° | -0.402 |
| | <i>Slop_3</i> | 5.1-10 ° | -0.106 |
| | <i>Slop_4</i> | 10.1-15 ° | 0.264 |
| | <i>Slop_5</i> | 15.1-20 ° | 0.209 |
| | <i>Slop_6</i> | 20.1-25 ° | 0.14 |
| | <i>Slop_7</i> | 25.1-30.4 ° | -0.789 |
| 4. DRAINAGE DENSITY | <i>Dns_f1</i> | 0.1-0.5 m/km ² | 0.35 |
| | <i>Dns_f2</i> | 0.5-1 m/km ² | 0.249 |
| | <i>Dns_f3</i> | 1.1-1.5 m/km ² | -0.328 |
| | <i>Dns_f4</i> | 1.5-2 m/km ² | 0.728 |
| | <i>Dns_f5</i> | 2.1-2.51 m/km ² | 0.001 |
| 5. DRAINAGE DEPTH | <i>Ad_f1</i> | <50 m | 0 |
| | <i>Ad_f2</i> | 51-100 m | -0.0001 |
| | <i>Ad_f3</i> | 101-150 m | 0.026 |
| | <i>Ad_f4</i> | 151-200 m | 0.055 |
| | <i>Ad_f5</i> | 201-255 m | 0 |
| 6. HYDROLOGICAL SOIL CLASSES | <i>Gr_sol1</i> | A | 0 |
| | <i>Gr_sol2</i> | B | 0.039 |
| | <i>Gr_sol3</i> | C | 0 |
| | <i>Gr_sol4</i> | D | -0.041 |

| | | | | |
|-----------------------------------|------------------|--|--------|----------------|
| 7. DISTANCE TO SETTLEMENTS | <i>Dst_lc1</i> | 0-25 m | 0 | 0 |
| | <i>Dst_lc2</i> | 26-50 m | -1.401 | 0 |
| | <i>Dst_lc3</i> | 51-100 m | -0.394 | - |
| | <i>Dst_lc4</i> | 101-200 m | -0.268 | - |
| | <i>Dst_lc5</i> | 201-400 m | -0.096 | - |
| | <i>Dst_lc6</i> | 401-800 m | 0.003 | - |
| | <i>Dst_lc7</i> | 801-1600 m | 0.225 | <i>0.873</i> |
| | <i>Dst_lc8</i> | 1601-3200 m | -0.186 | - |
| 8. DISTANCE TO STREAMS | <i>Dst_h1</i> | 0-25m m | -0.694 | - |
| | <i>Dst_h2</i> | 26-50 m | -0.419 | 0 |
| | <i>Dst_h3</i> | 51-100 m | -0.216 | - |
| | <i>Dst_h4</i> | 101-200 m | -0.009 | - |
| | <i>Dst_h5</i> | 201-400 m | 0.127 | <i>1.123</i> |
| | <i>Dst_h6</i> | 401-800 m | 0.025 | - |
| | <i>Dst_h7</i> | 801-1600 m | -0.108 | - |
| 9. LITHOLOGY | <i>Lit_1</i> | Conglomerates | 0 | - |
| | <i>Lit_2</i> | Marly clays, gravel | 0.078 | 0 |
| | <i>Lit_3</i> | Gravel, sand | -0.495 | 0 |
| | <i>Lit_4</i> | Marly clays, gravel | 0 | - |
| 10. LAND USE | <i>Lnduse_1</i> | Urban and rural area | -0.823 | - |
| | <i>Lnduse_2</i> | Predominantly agricultural areas | -0.02 | - |
| | <i>Lnduse_3</i> | Vineyards | -0.158 | <i>-2.355</i> |
| | <i>Lnduse_4</i> | Orchards | 0 | 0 |
| | <i>Lnduse5_</i> | Pastures | 0.376 | 0 |
| | <i>Lnduse_6</i> | Areas with complex use | 0.358 | - |
| | <i>Lnduse_7</i> | Heterogeneous agricultural territories | 0.125 | - |
| | <i>Lnduse_8</i> | Broad leaved forests | -0.683 | <i>- 2.040</i> |
| | <i>Lnduse_9</i> | Coniferous forests | 0 | - |
| | <i>Lnduse_10</i> | Natural pastures | 0 | - |
| | <i>Lnduse_11</i> | Bush transit areas | -0.61 | - |
| 11. CTI | <i>Cti_1</i> | 0-5 | -0.109 | - |
| | <i>Cti_2</i> | 5...10 | 0.053 | - |
| | <i>Cti_3</i> | 10...15 | -0.14 | - |
| | <i>Cti_4</i> | 15...17 | -0.384 | - |
| 12. STI | <i>Spi_1</i> | 0-5 | -0.443 | <i>-1.394</i> |
| | <i>Spi_2</i> | 5...10 | 0.157 | - |
| | <i>Spi_3</i> | 10...15 | -0.031 | - |
| | <i>Spi_4</i> | 15...21 | 0 | - |
| 13. DISTANCE FROM ROADS | <i>Dst_dr1</i> | 0-25 | -1.147 | - |
| | <i>Dst_dr2</i> | 26-50 | -1.319 | 0 |
| | <i>Dst_dr3</i> | 51-100 | 0.085 | - |
| | <i>Dst_dr4</i> | 101-200 | -0.663 | - |
| | <i>Dst_dr5</i> | 201-400 | -0.064 | - |
| | <i>Dst_dr6</i> | 401-800 | 0.18 | <i>0.969</i> |
| | <i>Dst_dr7</i> | 801-1600 | -0.062 | <i>-0.758</i> |
| | <i>Dst_dr8</i> | 1601-3200 | 0.26 | - |
| 14. AVERAGE PRECIPITATION | <i>Pp1</i> | 525 | 0.206 | - |
| | <i>Pp2</i> | 650 | -0.118 | <i>0.828</i> |
| 15. PLAN CURVATURE | <i>Crb_pl1</i> | -1.64 | -0.007 | - |
| | <i>Crb_pl2</i> | 0-2,24 | 0.011 | - |
| 16. PROFILE CURVATURE | <i>Crb_pr1</i> | 0-0,31 | -0.524 | - |
| | <i>Crb_pr2</i> | 0,31-2,3 | 0.083 | 0 |

1 0 - excluded classes due to low sample size; **0** (bold) – excluded classes due to lack of statistical
2 significance; bold values represent the classes included in the model due to their statistical significance.

1 The italic values (ex. *-0.758*) are used as reference classes due to their vast spatial expansion in the
2 study area.

3 As a result of the landslide susceptibility assessment performed with the help of the two
4 quantitative models (bivariate statistical analysis and logistic regression) the areas with a high probability
5 of landslide occurrence were highlighted in the study area as well as the stable territories. These results
6 are considerably superior to previous analyses (surse) which used the legislative semi-quantitative
7 Romanian methodology (H.G. 447/2003) (Rosca et all. 2015a). However, there is still the necessity of
8 increasing the quality of the databases corresponding to the causing factors and the number of the
9 landslides included in the modelling processes, as well as a more thorough analysis of the relationships
10 between the parameters.

11

12 **4. CONCLUSIONS**

13

14 The two models under analysis in the present study, the logistic and the BSA models, have
15 shown the high complexity of the databases involved, the multiple correlation between several factors
16 determining landslide activation as well as the obvious practical utility of the logistic model in future
17 similar studies.

18 The use of the logistic model has allowed the testing of variable interdependencies leading to a
19 reduction of the input data, hence a shorter modelling time. The BSA model operates with all databases,
20 16 variables represented as 72 dummy variables, hence it takes longer for the model to be implemented
21 and leads to an increased redundancy of the data, while the database management is slower and needs
22 better software and hardware resources. One needs to consider that the database quality is essential
23 for creating the model and that the inventory list of active landslides used in this study needs to be
24 completed in order to successfully validate the BSA model in a similar way with the validation of the
25 logistic model performed at this point.

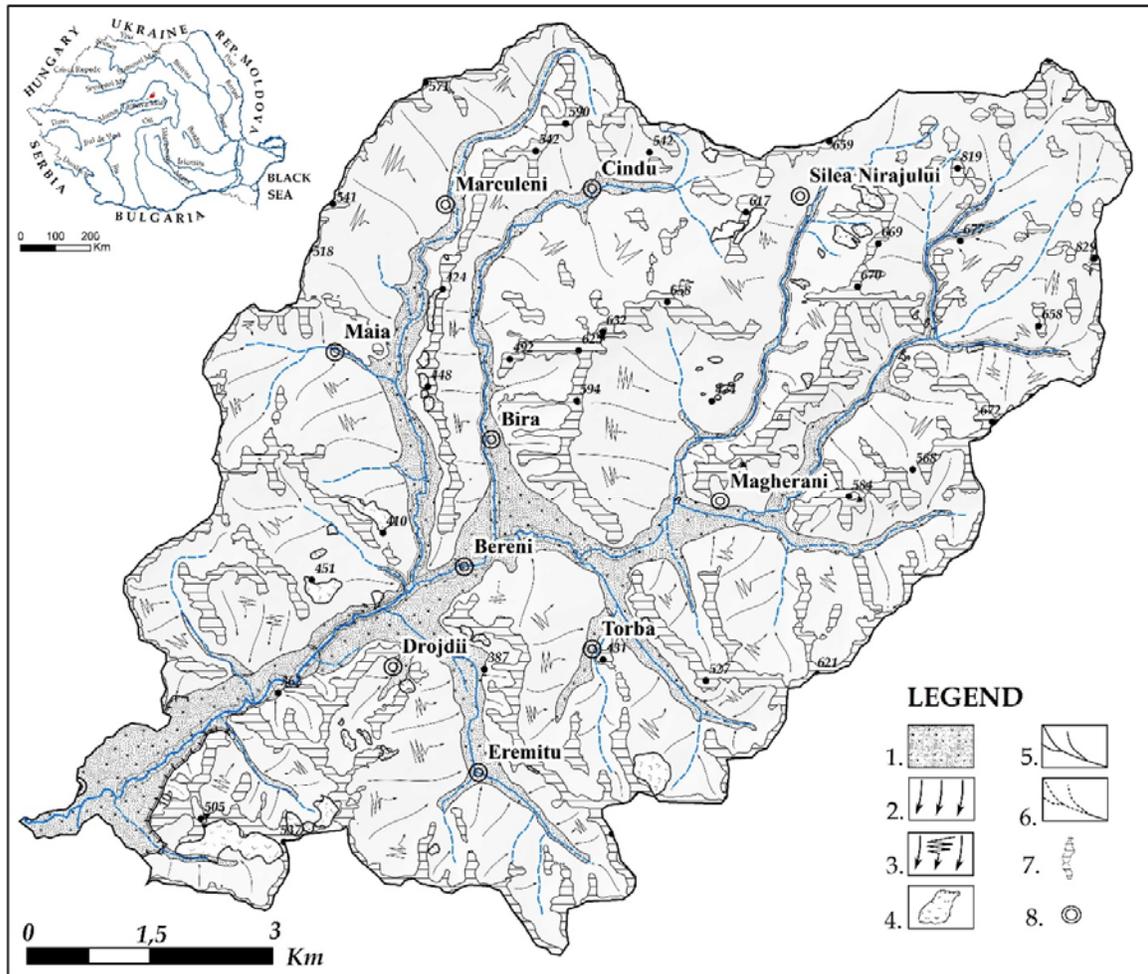
26 However, the better validation results given by the BSA model (0.98), as compared to the 0.86
27 value resulted from the logistic model, indicates a better model fit of the BSA model. This fact is
28 explained by the use within the BSA model of input data consisting of all the active digitised landslides
29 which were also used to determine the landslide density for each of the existing classes of the variables,
30 namely their statistical value. This can be analysed from a two-point perspective: it can be seen as an
31 advantage when evaluating the ability of the model to correctly determine the existence or inexistence
32 of the phenomenon, although with a slight overestimation of the results, and it can be seen as a
33 disadvantage when a prediction is desired, just like in the case of the present study.

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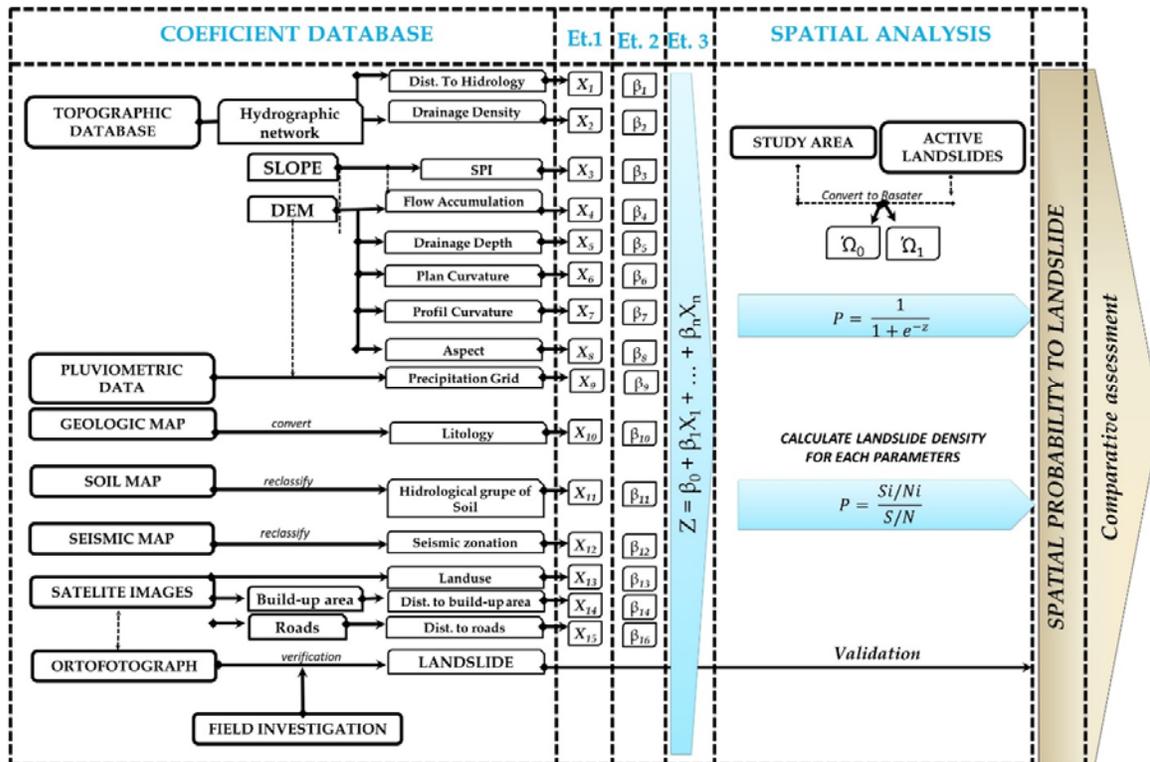
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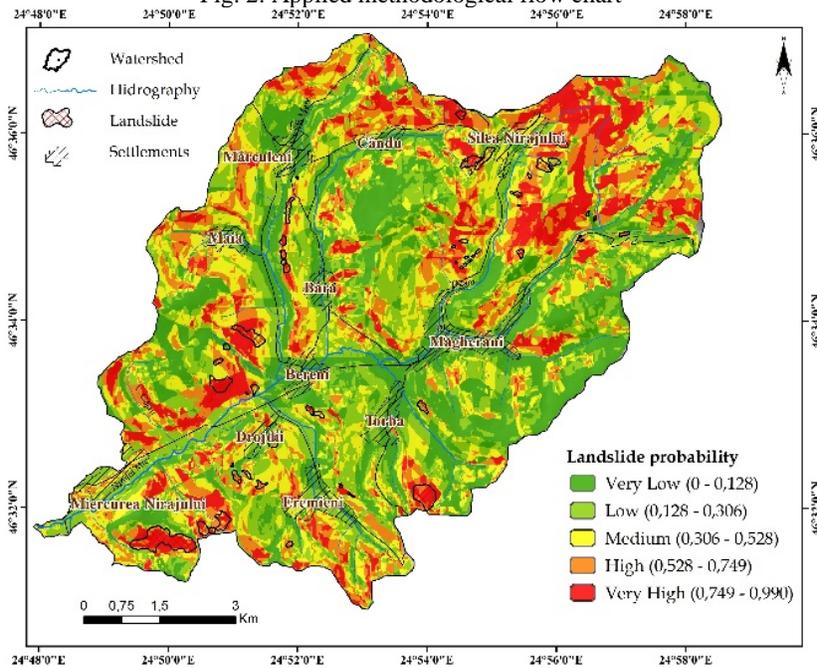


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 2 Fig. 1: Geomorphological map of the Small Niraj catchment and geographical position of the study area
 3 (1 – flood plain, 2 – slopes and connecting surfaces, 3 – slopes with complex modelling, 4 – active landslides, 5
 4 – permanent hydrographic network, 6 – temporary hydrographic network, 7 – watershed divide, 8 – settlements)



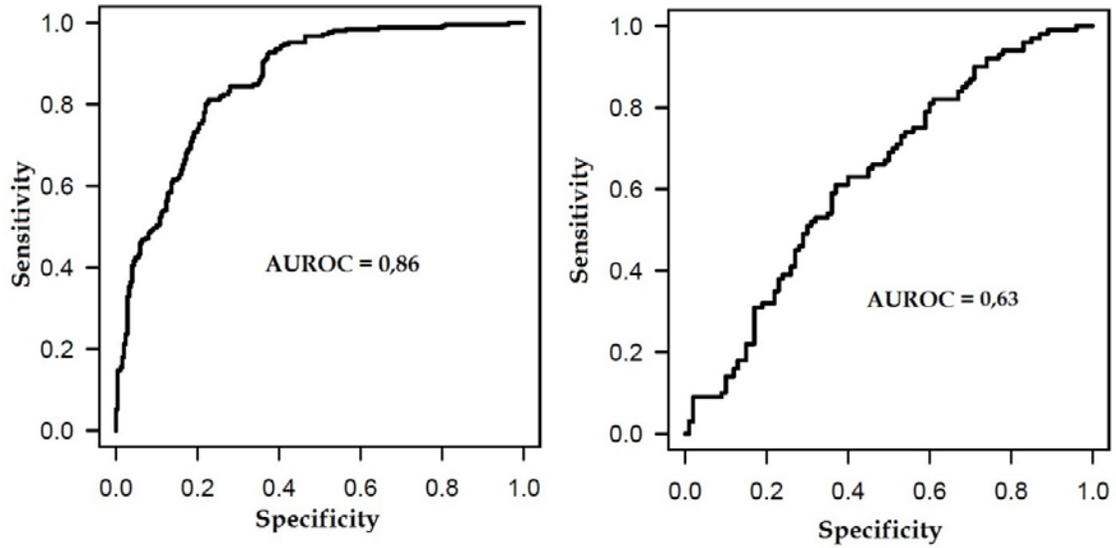
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Fig. 2: Applied methodological flow chart



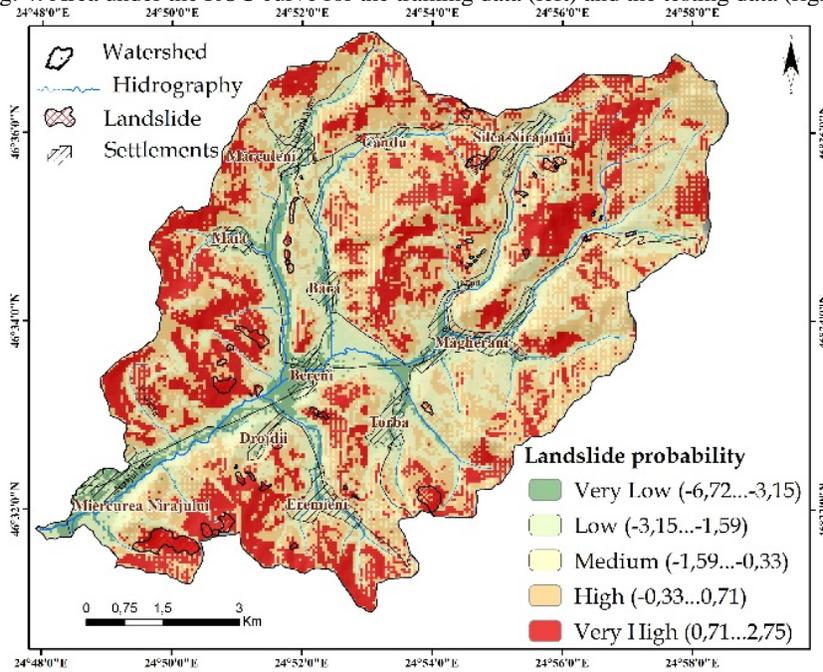
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Fig. 3: Landslide susceptibility map generated using the logistic model



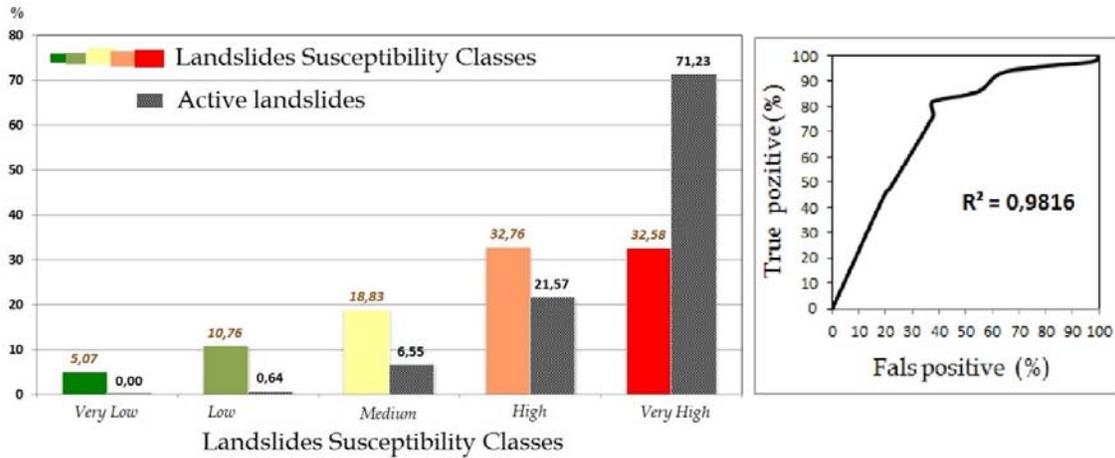
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Fig. 4: Area under the ROC curve for the training data (left) and the testing data (right)



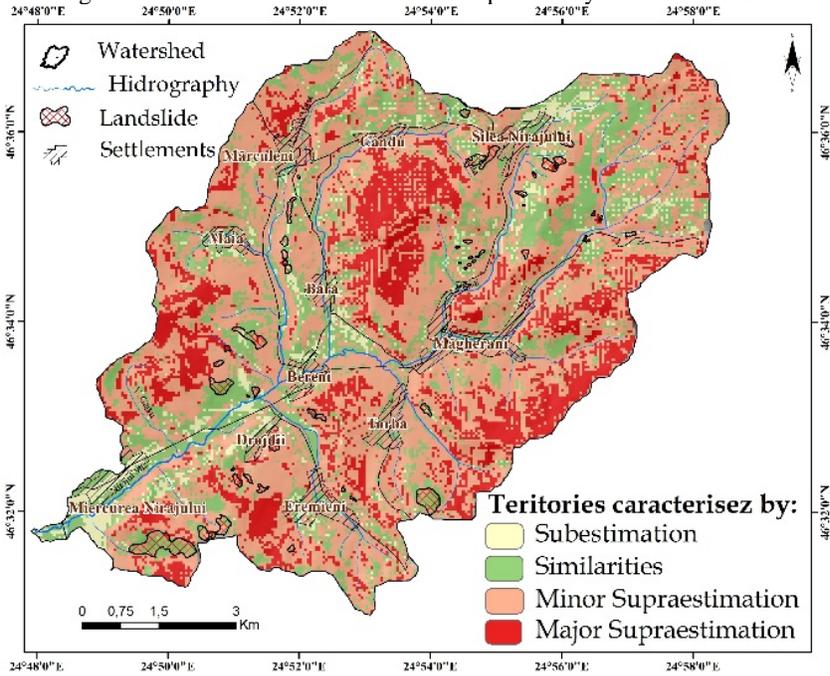
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Fig. 5: Landslide susceptibility map generated using the BSA model



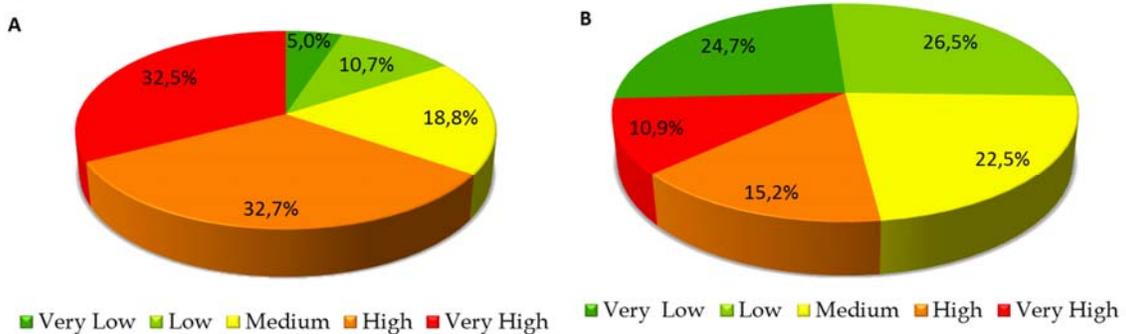
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Fig. 6: Percentage distribution of active landslide on the probability classes and ROC curve value



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Fig. 7: Regional differences of susceptibility classes obtained through BSA model or by applying logistic model



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Fig. 8: Comparative percentage distribution on susceptibility classes obtained by applying BSA model (8.A) and logistic model (8.b)

