

This discussion paper is/has been under review for the journal Natural Hazards and Earth System Sciences (NHESS). Please refer to the corresponding final paper in NHESS if available.

An evaluation of influential factors on landslide mobility during the 2008 Wenchuan earthquake

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Received: 15 June 2013 – Accepted: 6 January 2014 – Published: 21 January 2014

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Published by Copernicus Publications on behalf of the European Geosciences Union.

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Abstract

The 2008 Wenchuan earthquake triggered a huge amount of landslides along the Longmenshan thrust belt zone in southwest China. It is essential to evaluate the effects of numerous influential factors on landslide mobility, as a result of having seriously endangered the lives and properties along travel path. Hence, the relations between equivalent coefficient of friction ($\mu = H_{\max}/L_{\max}$) and other six parameters of 46 landslides, such as three topographical factors, landslide volume, horizontal peak ground acceleration (PHA) and rock type, have been qualitatively analyzed by means of simplified plots and regressions. The quantitative effectiveness of each factor on landslide mobility was revealed by multivariable analysis and it was found that slope height, rock type, slope transition angle and landslide volume were more influential than slope angle and seismic acceleration. The statistical significance tests and predictive results both demonstrated that the empirical-statistical model of landslide mobility yielded a satisfactory agreement between observations and predictions, therefore, the presented model could be practically applicable in similar geological conditions as Wenchuan earthquake affected area.

1 Introduction

A catastrophic earthquake with M_s 8.0 occurs in Wenchuan County, Sichuan Province, in southwestern China on 12 May 2008. The location of the epicenter is 31.0° north latitude, 103.4° east longitude, with 14 km focal depth (China earthquake administration, 2008). It triggers a huge amount of slope failures. The latest result of remote sensing interpretation demonstrates that there are 197 481 slope failures triggered in a range of about $110\,000\text{ km}^2$, and sliding area is totally about 1160 km^2 (Xu et al., 2013a, b).

After Wenchuan earthquake, numerous authors have analyzed the relations between landslide spatial distribution and influential factors (Huang and Li, 2008, 2009a, b; C. Xu et al., 2009, 2010; Chigira et al., 2010; Qi et al., 2010; Dai et al., 2011; Go-

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rum et al., 2011; Xu and Xu, 2012; Guo and Hamada, 2013; Xu et al., 2013a, b). However, there are few studies on landslide mobility in Wenchuan earthquake area, expect Qi et al. (2011) who delineated six typical destructive long travel landslides and listed 66 valuable cases, but having just analyzed the relationships between elevation
 5 loss and travel distance, as well as sliding area and travel distance. In fact, at least 112 relatively large landslides (volume $> 5 \times 10^4 \text{ m}^3$) have been triggered during Wenchuan earthquake along seismic source faults (Q. Xu et al., 2009). High mobility landslides have long runout potential, resulting in the debris to block the valley and generating
 10 landslide dams to endanger down-stream areas, such as Tangjiashan landslide dam. During Wenchuan earthquake, 34 landslide dams accompanied with those large landslides (Xie et al., 2008); meanwhile, slope failures are vulnerable to be triggered at no less than 4970 sites based on field investigation by Yin et al. (2009), hence, it is essential to explore landslide mobilization. In order to assess the hazard caused by high mobility landslides, it is necessary to firstly identify what factors have effect on landslide
 15 mobility, and how to evaluate the mobilization ability of sliding mass after slope failure.

The discussions for landslide mobility and debris flow mobility have been given, for example, Hungr (1995), Corominas (1996), Okura et al. (2000a, 2000b, 2003), Fanin and Wise (2001), Legeros (2002), Hunter and Fell (2003), Berti and Simoni (2007), Hattanji and Moriwaki (2009, 2011), D'Agostino et al. (2010), Tang et al. (2012) and Pudasaini and Miller (2013). A well-known index expressing landslide mobility is the angle of the line connecting the crest of the landslide source to the distal margin of the deposited mass. This angle was firstly designated as the fahrböschung angle (α) (Heim, 1932; Pudasaini and Hutter, 2007); later, the tangent of this angle was called equivalent
 20 coefficient of friction ($\mu = \tan \alpha = H_{\max}/L_{\max}$) (Shreve, 1968 and Scheidegger, 1973), and followed by angle of reach (Corominas, 1996), travel distance angle (Hunter and Fell, 2003). A number of authors discussed the relationship between equivalent coefficient of friction (μ) and sliding volume (Scheidegger, 1973; Hsü, 1975; Corominas, 1996; Legros, 2002; Okura et al., 2000b, 2003), and proposed that the landslide mobility ($1/\mu$) shows an increasing trend with the increment of landslide volume. Other

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authors, such as Hunter and Fell (2003), Okura et al. (2000a, 2003), Hattanji and Moriwaki (2009, 2011), revealed a positive correlation between equivalent coefficient of friction (μ) and slope angle. Recent statistical analyses ensure the effect of topography on the landslide mobility of constructed and natural slopes (Hunter and Fell, 2003; Hattanji and Moriwaki, 2009; Fan and Qiao, 2010; Pudasaini and Miller, 2013). However,
 5 most of these studies are limited to discuss the non-seismically induced landslide; it needs to be explored whether the mobility of earthquake-triggered landslide is consistent with previous studies of non-seismically induced landslides. Furthermore, most of these authors either just qualitatively discussed several influential factors on landslide mobility or just quantitatively analyzed landslide mobility with very few influential factors, such as landslide mobility related to landslide volume or slope angle. However,
 10 landslide mobility is simultaneously affected by numerous factors, such as slope angle, slope height, topographic change, landslide volume, rock type, and so on. Therefore, it is necessary to develop a new model to comprehensively consider all of these influences. Hence, this paper is focused on this issue.

Data source is firstly introduced in Sect. 2; the general tendency of equivalent coefficient of friction related to each influential factor is discussed in Sect. 3. Multivariable regression and backward elimination method are used to explore the effectiveness of each influential factor on equivalent coefficient of friction ($\mu = H_{\max}/L_{\max}$) and presents
 20 an empirical model to estimate landslide mobility in Sect. 4. Discussions and conclusions are reported in Sect. 5 and Sect. 6, respectively.

2 Data source

In this section, we give the definitions of each terminology used in this paper, and briefly introduced data collection methodology.

25 Travel distance, L_{\max} , is the horizontal distance between the crest of the sliding source and distal part of debris. Landslide height, H_{\max} , is the maximum elevation loss between sliding source and debris. The equivalent coefficient of friction (Shreve, 1968;

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gests that equivalent coefficients of friction of landslides consisting of hard rock are in a smaller range than those of soft rock, which indicates that landslides consisting of hard rock generally have higher mobility (smaller μ) than those consisting of soft rock. The reason is inferred that the sliding or rolling friction coefficient between soft rock and travel path is larger than that of hard rock; besides, it might be caused by the difference of mobile mechanics. The behavior of soft rock is possible to be viscoplasticity, while the behavior of hard rock is probable to be plasticity; hence, soft rock consumes more kinetic energy than hard rock along travel path, resulting in equivalent coefficients of friction of soft rock landslides distribute within a larger range.

3.4 The effect of landslide volume

There are lots of previous studies on the relationship between landslide mobility and sliding volume induced by non-seismic causes (Scheidegger, 1973; Hsü, 1975; Corominas, 1996; Legros, 2002; Okura et al., 2003; Pudasaini and Miller, 2013). Scheidegger (1973) stated a negative linear correlation between landslide volume and equivalent coefficient of friction in log-log scale when volume beyond 10^5 m^3 ; while Hsü (1975) stated the threshold value of this negative linear correlation is $5 \times 10^5 \text{ m}^3$. However, there are few studies on the relationship between landslide volume and its mobility induced by earthquake. Based on 46 landslides triggered by the 2008 Wenchuan earthquake, there is no significantly empirical formula. Nevertheless, Fig. 10 illustrates a general tendency in log-log scale graph after excluding four landslides smaller than $2.55 \times 10^5 \text{ m}^3$. It suggests that the equivalent coefficient of friction and sliding source volume has negative correlation, which is consistent with previous researches on landslides induced by non-seismic causes.

4 Statistical model of landslide mobility

Based on one by one analysis of single factor on the equivalent coefficient of friction related to six influential factors, the results suggest that each influential factor has more or less effect on landslide mobility, but the statistical correlations between the equivalent coefficient of friction and each influential factor are very weak, even no correlation, it implies that if only considering one influential factor, it is impossible to obtain a reliable regression model to estimate landslide mobility based on these 46 landslides triggered in Wenchuan earthquake area; it also implies that landslide mobility is not affected by one main factor but simultaneously affected by numerous factors. As a result of numerous influences on landslide mobility, in order to clarify which factor/factors is/are predominant, multivariable analysis will be used to quantitatively explore the effectiveness of each factor on the equivalent coefficient of friction in this section.

4.1 Statistical model development

The correlation coefficients among six influential factors are listed in Table 3, it suggests that these influential factors have no significant correlation to each other, except that $\log h$ has medium correlation to $\log V$ and $\sin \beta$. Because landslide mobility ($1/\mu$) is simultaneously affected by numerous factors, the equivalent coefficient of friction is supposed to be linearly correlated to all of these influential factors and obeys the model shown in Table 4. Multiple linear regression is implemented and backward elimination approach is applied to obtain optimization model in the aid of F tests and t tests. The regression procedures and results are listed in Table 4.

In Table 4, $\mu = H_{\max}/L_{\max}$ refers to the equivalent coefficient of friction. h represents slope height (m). RT refers to rock type, it is qualitatively considered and 4, 3, 2, and 1 are respectively assigned to RT1, RT2, RT3 and RT4. β represents slope transition angle ($^\circ$). V represents landslide volume (m^3). θ denotes slope angle ($^\circ$). PHA refers to horizontal peak ground acceleration (m s^{-2}), estimated by Eq. (1). The basis of logarithm is 10. Multiple R means multiple correlation coefficient, which represents

the correlated level between dependent variable and independent variables. Adjusted R^2 represents adjusted coefficient of multiple determination, namely, adjusted squared multiple correlation, which reveals the goodness of fit, ranging from 0 for no correlation to 1 for a perfect correlation. F -stat denotes regressive F value, $F_{0.05}$ denotes F test threshold value with 95 % significance level, if F -stat is bigger than $F_{0.05}$, it suggests overall regression of the model satisfies statistical significance level. t -stat denotes regressive t value of each regression coefficient, $t_{0.05}$ denotes t test threshold value with 95 % significance level, if t -stat is larger than $t_{0.05}$, it suggests corresponding regression coefficient, b_i , satisfies significance level of regression coefficient. However, not all regression coefficients are significant during regression procedures, therefore, the variable with smallest absolute t -stat value (bold digit in the Table 4) is eliminated and then re-regressed, step by step until overall regression of the model and all regressive coefficients both satisfy significance level. These procedures are named as backward elimination regression. Because the units of independent variables affect regression coefficient, b_i , therefore, standardized regression coefficient, b'_i , is applied to exclude the effect of unit dimension, so as to have insight into the effectiveness of each independent variable on dependent variable.

Compared three models in Table 4, F tests suggest that all of these hypothetical models have statistical significance and satisfy linear assumption. Based on those absolute values of standardized regression coefficients in the 4 variables' model and the procedures, they both suggest that slope height, rock type, slope transition angle and landslide volume have predominant effect on the equivalent coefficient of friction. Slope angle and seismic acceleration have relatively weaker influence. Furthermore, the model with 4 variables satisfies not only overall statistical significance but also the significance of each regression coefficient. Hence, the empirical optimization model for the estimation of equivalent coefficient of friction is as follows:

$$\mu = H_{\max}/L_{\max} = 0.564 \log h - 0.077RT - 0.376 \sin \beta - 0.096 \log V \quad (2)$$

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The predicted equivalent coefficients of friction by Eq. (2) and observed values are compared in Fig. 11, which suggests this empirical model is effective for most of landslides in this dataset. Therefore, this model might be useful to predict landslide mobility in Wenchuan earthquake affected area and similar geological and geomorphological areas.

4.2 Comparison with previous models

There are many previous studies on landslide mobility, and several prediction models are worldwide established. They are summarized in Table 5. Making use of these four previous models to estimate equivalent coefficients of friction of these 46 landslides triggered by Wenchuan earthquake, the results are shown in Fig. 12, which suggests that the model proposed by Corominas (1996), Hunter and Fell (2003) yield the lowest agreement with observed values, the average estimation errors of these two models are 43.73 % and 45.97 %, respectively. The reason why these two previous models are not suitable in Wenchuan earthquake affected area is inferred. For Corominas model, it was developed from a dataset including 35 % debris flows (71 debris flows out of 204 landslides). As a result of debris flow appears a special mobile mechanics due to relatively higher water content of failed mass, this type of landslide is very different from other types of landslide, such as, rotational landslide, translational landslide, block slide, and so on. The high water content of sliding mass generally makes it have higher mobility (namely, smaller μ). Since the model of Corominas (1996) partly reflects the characteristics of debris flow, therefore, the predictive equivalent coefficients of friction by Corominas model are generally smaller than the observed in Wenchuan earthquake induced landslides, as shown in Fig. 12. For the model proposed by Hunter and Fell (2003), its lower reliability might be attributable to the amount limitation of data source, where only 11 landslides were used. Compared the model presented in this paper with the models of Scheidegger (1973) and Legros (2000), the results suggest that the average estimation error of Eq. (2) is 10 % lower than these two models. In general,

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the validity of Eq. (2) is much higher than that of previous 4 models in Wenchuan earthquake affected area.

5 Discussions

The disaster caused by high mobility landslide is severe, such as Donghekou landslide travelled about 2.6 km and buried four villages and more than 780 lives (Qi et al., 2011), while landslide mobility is affected by numerous factors, such as topographical factors, the degree of path confinement, geomechanical properties of rock (soil), mobile mechanics, and so on. Therefore, how to express and estimate landslide mobility is a very complicate issue. Corominas (1996) pointed out that travel distance (L_{\max}) was not an appropriate indicator of landslide mobility, because high falls result in long horizontal reaches, but longer horizontal distances do not necessarily correspond to lower travel angle (α) (higher mobility). Hence, this paper used the ratio of H_{\max} to L_{\max} as an index of landslide mobility, which was termed by Shreve (1968) and Scheidegger (1973) as equivalent coefficient of friction. The reasons why we applied the maximum horizontal travel distance, instead of the travel distance of gravity center, are as follows: firstly, maximum horizontal travel distance (L_{\max}) is more applicable to estimate the farthest reach and forecast the potentially endangered area. Secondly, how to estimate the gravity center of sliding mass is so difficult that it is highly possible to result in deviation, thereby affecting the reliability of prediction model.

The effects of six influential factors on the equivalent coefficient of friction are explored by qualitative and quantitative analysis according to 46 landslides triggered by the 2008 Wenchuan earthquake. However, the results suggest that the general tendencies of each influential factor related to the equivalent coefficient of friction are very weak, even no correlation. It implies that the equivalent coefficient of friction is controlled by several factors simultaneously, instead of one main factor. It also might be caused by the discretization of data, because some data are collected from field investigation and remote sensing interpretation, while some data are from the detail descriptions of

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published papers and books. The collection criteria are that: excluding landslides obstructed by river, valley and relatively large infrastructures; excluding travel path confined by lateral steep slope; excluding travel path with large deflection. As a result of these strict criteria, the dataset includes limited amount of landslide. Another problem is that how to judge the degree of path confinement during the investigation. It is so difficult as to be more or less subjective and depend on personal experience. Although it is hard to give a clear definition about the confinement degree of travel path, the multivariable regressive method, shown in Table 4, gives a reasonable approach to obtain an empirical prediction model for estimating landslide mobility ($1/\mu$). The validity of proposed empirical model is verified by comparing prediction and observation, as shown in Fig. 11. However, the plot of prediction vs. observation is a litter scattering, not being close to 1 : 1 solid line. It is inferred that the discretization of data source and statistical error caused the prediction deviation; meanwhile, different types of landslide also contribute to the prediction deviation, because the dataset includes rock (or soil) slide, rock avalanche and debris avalanche. The proposed Eq. (2) here is a general model to express the landslide mobility of above mentioned different types of landslide. 46 landslides are not further classified into several groups based on landslide type; if classified, the regression model of each type of landslide would be uncertainty. Meanwhile, note that, the data of sliding source volume used here ranges within 4.5×10^4 – 2.75×10^7 m³, and horizontal travel distance ranges within 347–4170 m. Due to the difficulty of regional field investigation in Wenchuan earthquake affected area, ground water and geological structures have not been considered in the model of Eq. (2), but these two factors are important for landslide mobile mechanics. Further study is needed to improve this model to consider more influential factors on landslide mobility, and it is necessary to further verify its applicability in much larger volume range and under different geological conditions.

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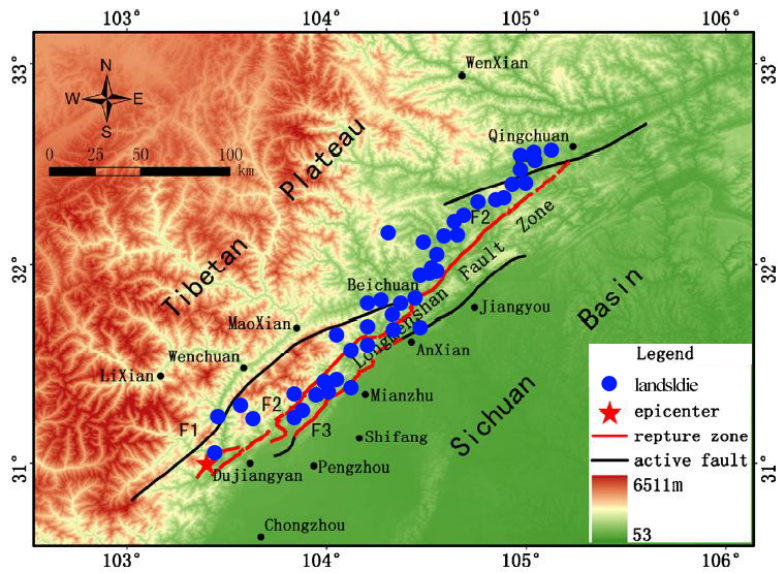


Fig. 2. The location of 46 landslides; F1 represents Wenchuan–Maoxian fault, F2 represents Yingxiu–Beichuan fault, F3 represents Guanxian–Anxian fault (based on Qi. et al., 2011).

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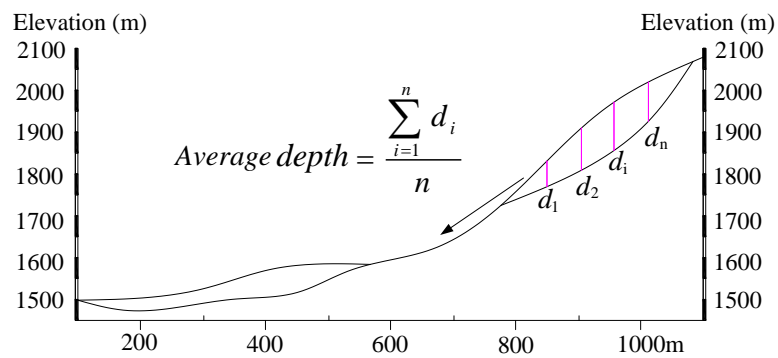


Fig. 3. Typical longitudinal profile of a landslide.

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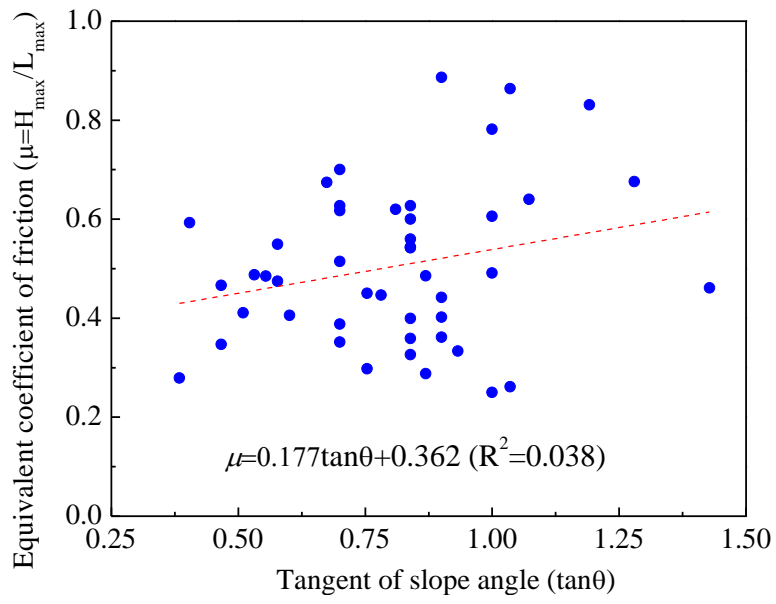


Fig. 4. Equivalent coefficient of friction related to the tangent of slope angle.

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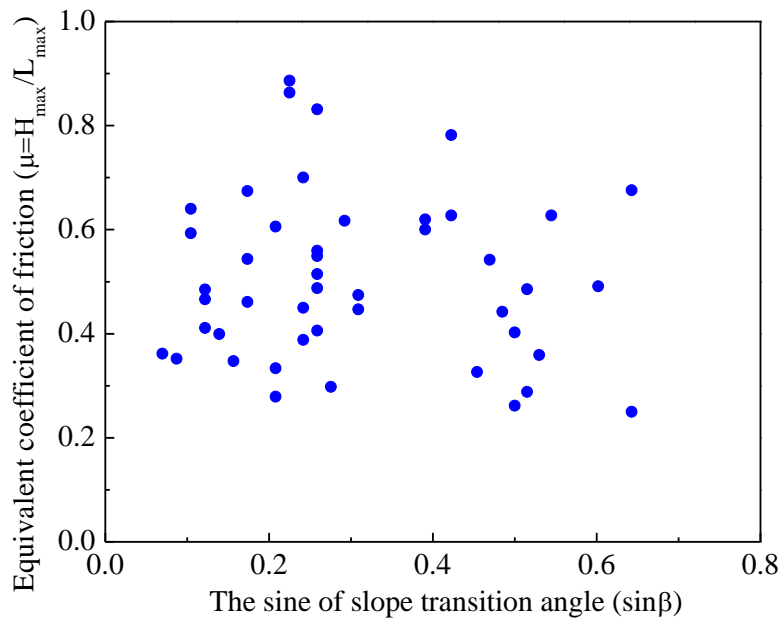


Fig. 5. Equivalent coefficient of friction related to the sine of slope transition angle.

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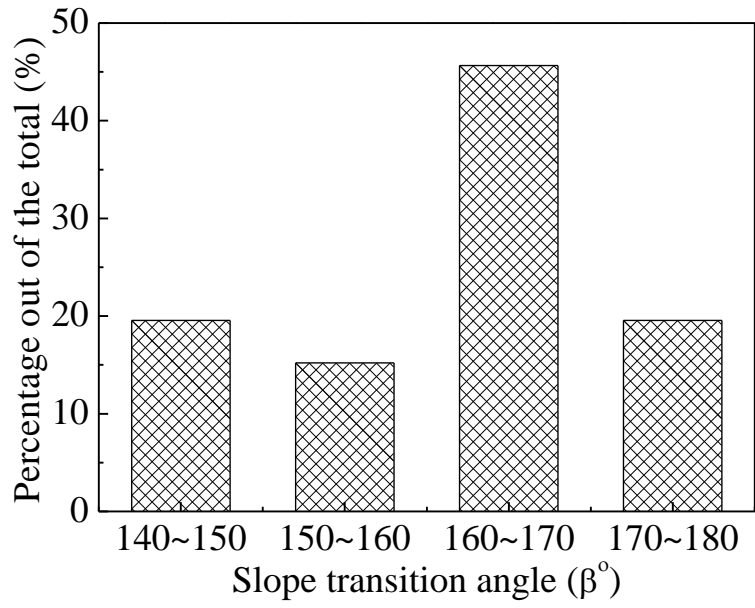


Fig. 6. Statistical histogram of slope transition angle.

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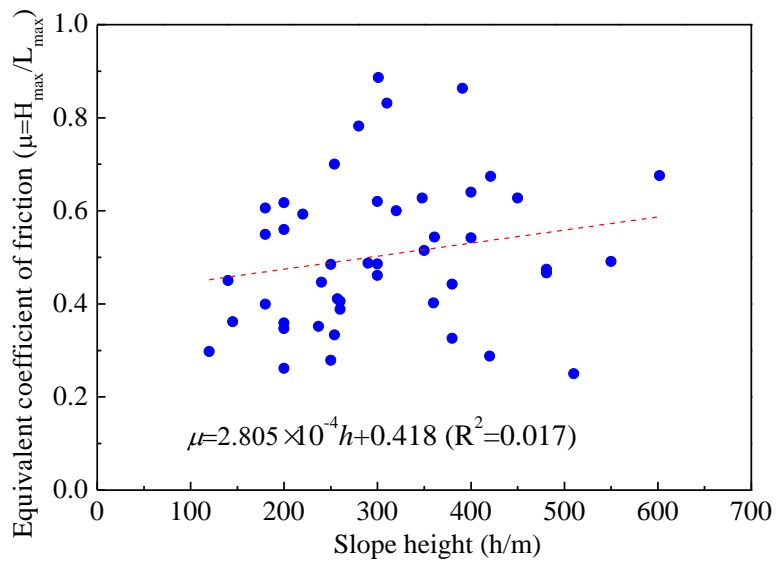


Fig. 7. Equivalent coefficient of friction related to slope height.

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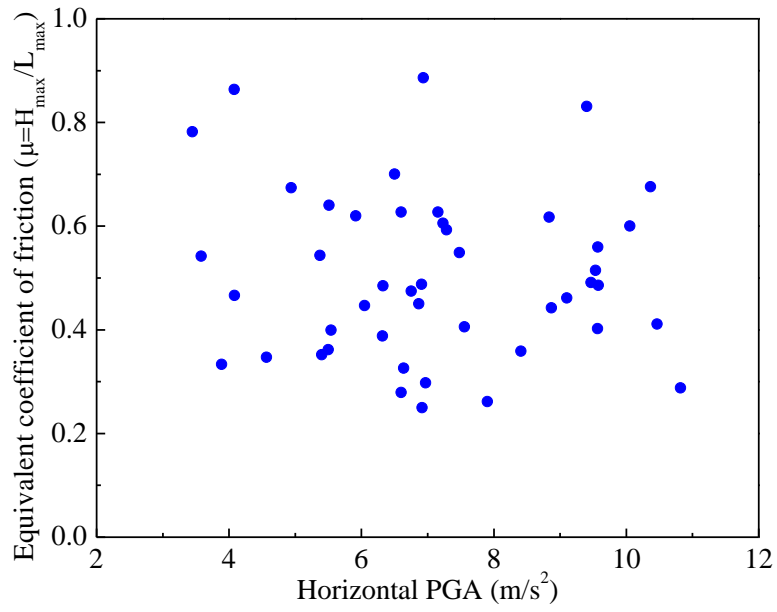


Fig. 8. Equivalent coefficient of friction related to seismic acceleration.

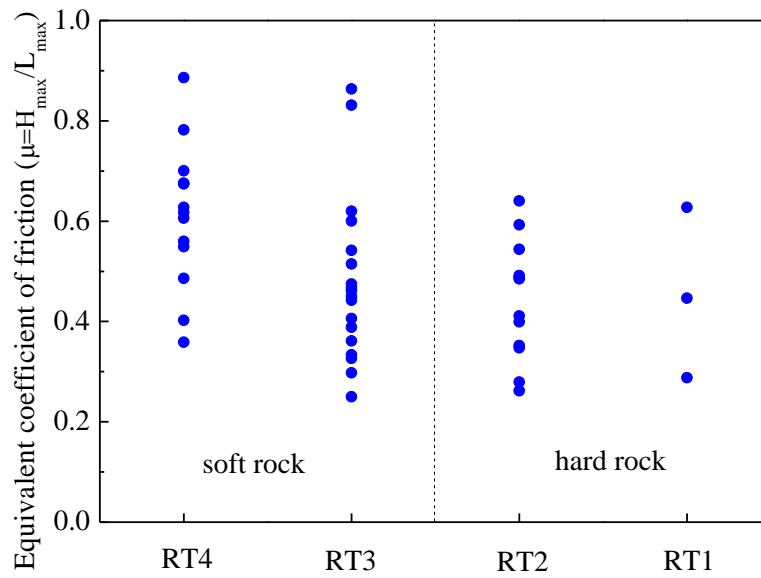


Fig. 9. Equivalent coefficient of friction related to rock type.

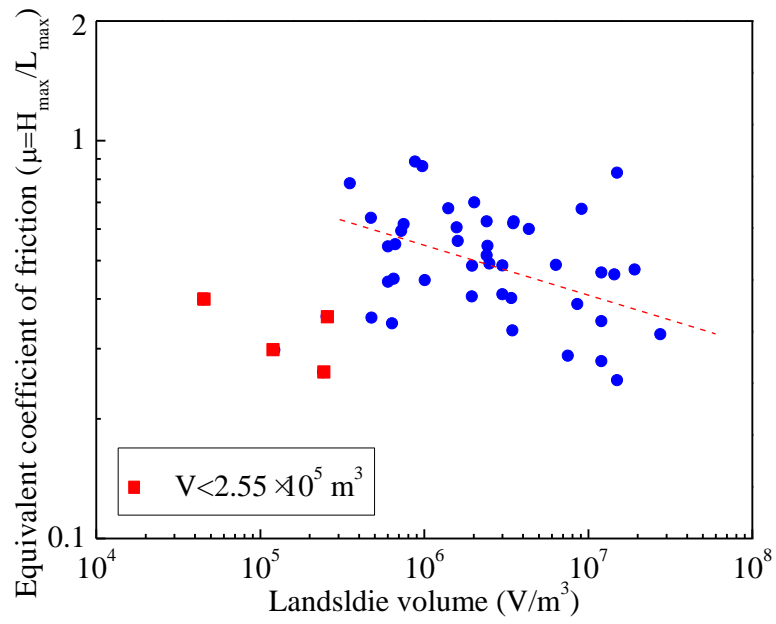


Fig. 10. Equivalent coefficient of friction related to landslide source volume.

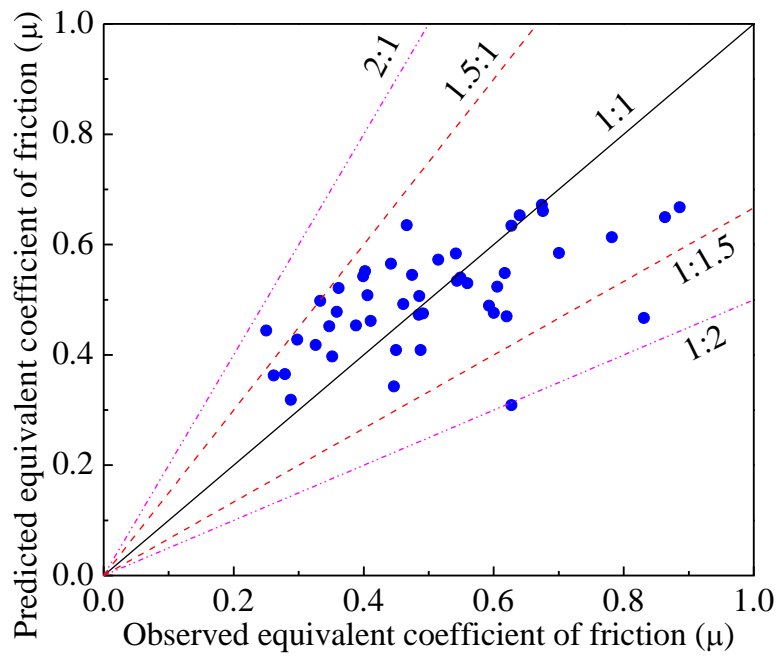


Fig. 11. Predicted vs. observed equivalent coefficient of friction.

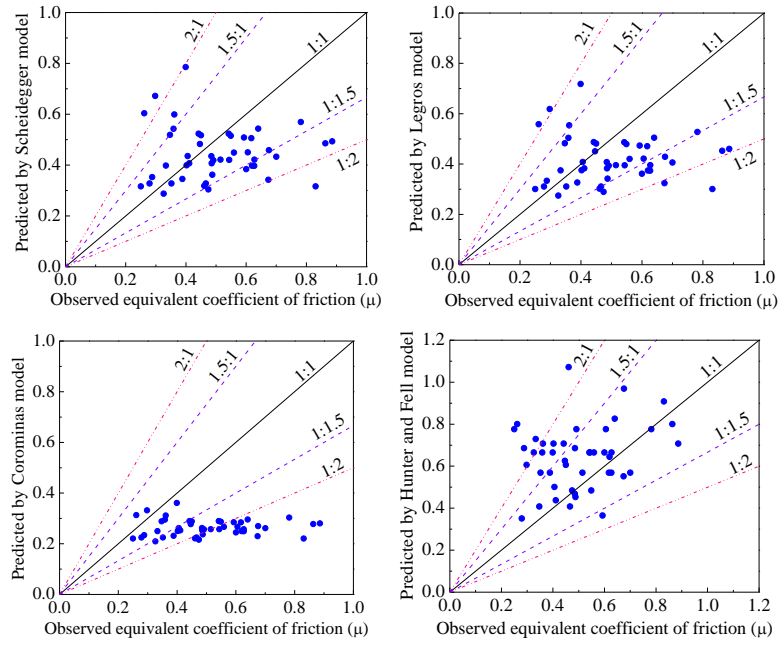


Fig. 12. Predicted equivalent coefficient of friction by previous models vs. the observed.